

If you plan to submit a bid directly to the Department of Transportation

PREQUALIFICATION

Any contractor who desires to become pre-qualified to bid on work advertised by IDOT must submit the properly completed pre-qualification forms to the Bureau of Construction no later than 4:30 p.m. prevailing time twenty-one days prior to the letting of interest. This pre-qualification requirement applies to first time contractors, contractors renewing expired ratings, contractors maintaining continuous pre-qualification or contractors requesting revised ratings. To be eligible to bid, existing pre-qualification ratings must be effective through the date of letting.

REQUESTS FOR AUTHORIZATION TO BID

Contractors wanting to bid on items included in a particular letting must submit the properly completed "Request for Proposal Forms and Plans & Request for Authorization to Bid" (BDE 124) and the ORIGINAL "Affidavit of Availability" (BC 57) to the proper office no later than 4:30 p.m. prevailing time, three (3) days prior to the letting date.

WHO CAN BID ?

Bids will be accepted from only those companies that request and receive written **Authorization to Bid** from IDOT's Central Bureau of Construction.

WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID?: When a prospective prime bidder submits a "Request for Proposal Forms and Plans" he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a **Proposal Denial and/or Authorization Form**, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If **Authorization to Bid** cannot be approved, the **Proposal Denial and/or Authorization Form** will indicate the reason for denial.

ABOUT AUTHORIZATION TO BID: Firms that have not received an authorization form within a reasonable time of complete and correct original document submittal should contact the department as to status. This is critical in the week before the letting. These documents must be received three days before the letting date. Firms unsure as to authorization status should call the Prequalification Section of the Bureau of Construction at the number listed at the end of these instructions.

WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?: Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

ABOUT SUBMITTING BIDS: It is recommended that bidders deliver bids in person to insure they arrive at the proper location prior to the time specified for the receipt of bids. Any bid received at the place of letting after the time specified will not be accepted.

WHO SHOULD BE CALLED IF ASSISTANCE IS NEEDED?

Questions Regarding	Call
Prequalification and/or Authorization to Bid	217/782-3413
Preparation and submittal of bids	217/782-7806
Mailing of plans and proposals	217/782-7806

ADDENDUMS TO THE PROPOSAL FORMS

Planholders should verify that they have received and incorporated the revisions prior to submitting their bid. If plans/proposals were requested prior to the date of the addendum, an addendum package should have been mailed to the planholder. If plans/proposals were ordered after the date of the addendum, the plans/proposal package should already include all revisions and an identifying addendum sheet immediately after the proposal cover sheet. Failure by the bidder to include an addendum could result in a bid being rejected as irregular. If a planholder has not received an addendum within 5 days after the addendum date noted, they should call 217-782-7806.

18

RETURN WITH BID

Proposal Submitted By

Name

Address

City

Letting November 7, 2003

NOTICE TO PROSPECTIVE BIDDERS

This proposal can be used for bidding purposes by only those companies that request and receive written AUTHORIZATION TO BID from IDOT's Central Bureau of Construction.
(SEE INSTRUCTIONS ON THE INSIDE OF COVER)

Notice To Bidders, Specifications, Proposal, Contract and Contract Bond



Illinois Department
of Transportation

Springfield, Illinois 62764

Contract No. 90730
CLARK County
Section 1RS-4&(25,24,23)RS-3
Project ACNHF-332(70)
Routes FAP 332 & FAS 1658
District 5 Construction Funds

PLEASE MARK THE APPROPRIATE BOX BELOW:

☐ A Bid Bond is included.

☐ A Cashier's Check or a Certified Check is included

Prepared by

F

Checked by

(Printed by authority of the State of Illinois)

BIDDERS NEED NOT RETURN THE ENTIRE PROPOSAL
(See instructions inside front cover)

INSTRUCTIONS

ABOUT IDOT PROPOSALS: All proposals issued by IDOT are potential bidding proposals. Each proposal contains all Certifications and Affidavits, a Proposal Signature Sheet and a Proposal Bid Bond required for Prime Contractors to submit a bid after written **Authorization to Bid** has been issued by IDOT's Central Bureau of Construction.

HOW MANY PROPOSALS SHOULD PROSPECTIVE BIDDERS REQUEST?: Prospective bidders should, prior to submitting their initial request for plans and proposals, determine their needs and request the total number of plans and proposals needed for each item requested. There will be a nonrefundable charge of \$15 for each set of plans and specifications issued.

WHO CAN BID?: Bids will be accepted from only those companies that request and receive written **Authorization to Bid** from IDOT's Central Bureau of Construction. To request authorization, a potential bidder must complete and submit Part B of the Request for Proposal Forms and Plans & Request for Authorization to Bid form (BDE 124) and submit an original Affidavit of Availability (BC 57).

WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID?: When a prospective prime bidder submits a "Request for Proposal Forms and Plans" he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a **Proposal Denial and/or Authorization Form**, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If **Authorization to Bid** cannot be approved, the **Proposal Denial and/or Authorization Form** will indicate the reason for denial. If a contractor has requested to bid but has not received a **Proposal Denial and/or Authorization Form**, they should contact the Central Bureau of Construction in advance of the letting date.

WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?: Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

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RETURN WITH BID



**Illinois Department
of Transportation**

PROPOSAL

TO THE DEPARTMENT OF TRANSPORTATION

1. Proposal of _____

for the improvement identified and advertised for bids in the Invitation for Bids as:

**Contract No. 90730
CLARK County
Section 1RS-4&(25,24,23)RS-3
Project ACNHF-332(70)
Routes FAP 332 & FAS 1658
District 5 Construction Funds**

14.61 miles of 22 feet and variable width of bituminous resurfacing on Illinois Route 1 and County Highway 5 from Marshall to the Clark/Crawford County Line.

2. The undersigned bidder will furnish all labor, material and equipment to complete the above described project in a good and workmanlike manner as provided in the contract documents provided by the Department of Transportation. This proposal will become part of the contract and the terms and conditions contained in the contract documents shall govern performance and payments.

RETURN WITH BID

3. **ASSURANCE OF EXAMINATION AND INSPECTION/WAIVER.** The undersigned further declares that he/she has carefully examined the proposal, plans, specifications, form of contract and contract bond, and special provisions, and that he/she has inspected in detail the site of the proposed work, and that he/she has familiarized themselves with all of the local conditions affecting the contract and the detailed requirements of construction, and understands that in making this proposal he/she waives all right to plead any misunderstanding regarding the same.
4. **EXECUTION OF CONTRACT AND CONTRACT BOND.** The undersigned further agrees to execute a contract for this work and present the same to the department within fifteen (15) days after the contract has been mailed to him/her. The undersigned further agrees that he/she and his/her surety will execute and present within fifteen (15) days after the contract has been mailed to him/her contract bond satisfactory to and in the form prescribed by the Department of Transportation, in the penal sum of the full amount of the contract, guaranteeing the faithful performance of the work in accordance with the terms of the contract.
5. **PROPOSAL GUARANTY.** Accompanying this proposal is either a bid bond on the department form, executed by a corporate surety company satisfactory to the department, or a proposal guaranty check consisting of a bank cashier's check or a properly certified check for not less than 5 per cent of the amount bid or for the amount specified in the following schedule:

<u>Amount of Bid</u>			<u>Proposal Guaranty</u>	<u>Amount of Bid</u>			<u>Proposal Guaranty</u>
Up to		\$5,000	\$150	\$2,000,000	to	\$3,000,000	\$100,000
\$5,000	to	\$10,000	\$300	\$3,000,000	to	\$5,000,000	\$150,000
\$10,000	to	\$50,000	\$1,000	\$5,000,000	to	\$7,500,000	\$250,000
\$50,000	to	\$100,000	\$3,000	\$7,500,000	to	\$10,000,000	\$400,000
\$100,000	to	\$150,000	\$5,000	\$10,000,000	to	\$15,000,000	\$500,000
\$150,000	to	\$250,000	\$7,500	\$15,000,000	to	\$20,000,000	\$600,000
\$250,000	to	\$500,000	\$12,500	\$20,000,000	to	\$25,000,000	\$700,000
\$500,000	to	\$1,000,000	\$25,000	\$25,000,000	to	\$30,000,000	\$800,000
\$1,000,000	to	\$1,500,000	\$50,000	\$30,000,000	to	\$35,000,000	\$900,000
\$1,500,000	to	\$2,000,000	\$75,000	over		\$35,000,000	\$1,000,000

Bank cashier's checks or properly certified checks accompanying proposals shall be made payable to the Treasurer, State of Illinois, when the state is awarding authority; the county treasurer, when a county is the awarding authority; or the city, village, or town treasurer, when a city, village, or town is the awarding authority.

If a combination bid is submitted, the proposal guaranties which accompany the individual proposals making up the combination will be considered as also covering the combination bid.

The amount of the proposal guaranty check is _____ \$(). If this proposal is accepted and the undersigned shall fail to execute a contract bond as required herein, it is hereby agreed that the amount of the proposal guaranty shall become the property of the State of Illinois, and shall be considered as payment of damages due to delay and other causes suffered by the State because of the failure to execute said contract and contract bond; otherwise, the bid bond shall become void or the proposal guaranty check shall be returned to the undersigned.

Attach Cashier's Check or Certified Check Here

In the event that one proposal guaranty check is intended to cover two or more proposals, the amount must be equal to the sum of the proposal guaranties which would be required for each individual proposal. If the guaranty check is placed in another proposal, state below where it may be found.

The proposal guaranty check will be found in the proposal for:

Item _____

Section No. _____

County _____

Mark the proposal cover sheet as to the type of proposal guaranty submitted.

BD 354 (Rev. 11/2001)

RETURN WITH BID

6. **COMBINATION BIDS.** The undersigned further agrees that if awarded the contract for the sections contained in the following combination, he/she will perform the work in accordance with the requirements of each individual proposal comprising the combination bid specified in the schedule below, and that the combination bid shall be prorated against each section in proportion to the bid submitted for the same. If an error is found to exist in the gross sum bid for one or more of the individual sections included in a combination, the combination bid shall be corrected as provided in the specifications.

When a combination bid is submitted, the schedule below must be completed in each proposal comprising the combination.

If alternate bids are submitted for one or more of the sections comprising the combination, a combination bid must be submitted for each alternate.

Schedule of Combination Bids

Combination No.	Sections Included in Combination	Combination Bid	
		Dollars	Cents

7. **SCHEDULE OF PRICES.** The undersigned bidder submits herewith, in accordance with the rules and instructions, a schedule of prices for the items of work for which bids are sought. The unit prices bid are in U.S. dollars and cents, and all extensions and summations have been made. The bidder understands that the quantities appearing in the bid schedule are approximate and are provided for the purpose of obtaining a gross sum for the comparison of bids. If there is an error in the extension of the unit prices, the unit prices shall govern. Payment to the contractor awarded the contract will be made only for actual quantities of work performed and accepted or materials furnished according to the contract. The scheduled quantities of work to be done and materials to be furnished may be increased, decreased or omitted as provided elsewhere in the contract.
8. **CERTIFICATE OF AUTHORITY.** The undersigned bidder, if a business organized under the laws of another State, assures the Department that it will furnish a copy of its certificate of authority to do business in the State of Illinois with the return of the executed contract and bond. Failure to furnish the certificate within the time provided for execution of an awarded contract may be cause for cancellation of the award and forfeiture of the proposal guaranty to the State.

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 1
10/15/2003

State Job # - C-95-025-95
PPS NBR - 5-90740-0000
County Name - CLARK- -
Code - 23 - -
District - 5 - -
Section Number - 1RS-4 & (25,24,23)RS-3

Project Number
ACNHF-0332/070/000

Route
FAP 332

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
A2001120	T-ACER RUB OG 2-1/2	EACH	1.000				
A2007120	T-QUERCUS RUBRA 2-1/2	EACH	1.000				
XX001003	SIGN & POST	EACH	15.000				
XX003503	FLARED END SEC REM	EACH	1.000				
XZ193300	SURVEY MARKER T1 SPL	EACH	10.000				
XZ193400	SURVEY MARKER T2 SPL	EACH	40.000				
X0301232	SURVEY MARKER VAULT	EACH	1.000				
X0320547	REM & REIN END SECT	EACH	20.000				
X0321475	PIPE ELBOW, 12"	EACH	2.000				
X0321560	GRATING FOR BOX CUL	EACH	13.000				
X0324133	COR STL P TEE 42P 12R	EACH	2.000				
X0930400	STORM SEW WATER MN 12	FOOT	82.000				
X3560130	BC BC WIDE SUPER 9	SQ YD	3,166.000				
X4066416	BC SC SUPER "C" N70	TON	19,072.000				
X4066616	BCBC SUP IL-19.0 N70	TON	744.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
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NUMBER - 90730

Page 2
10/15/2003

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Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
X4066770	LEV BIND MM SUPER N70	TON	9,240.000				
X7015005	CHANGEABLE MESSAGE SN	CAL DA	8.000				
X8801100	SH P LED 1F 1S PM	EACH	4.000				
Z0004800	BIT MIX FOR PATCHING	TON	1,272.000				
Z0013798	CONSTRUCTION LAYOUT	L SUM	1.000				
Z0023500	FILL EXIST CULVERTS	CU YD	80.000				
Z0070100	SURV MONUMENT COV ASY	EACH	1.000				
Z0076600	TRAINEES	HOURL	500.000		0.800		400.000
20100500	TREE REMOV ACRES	ACRE	4.000				
20101000	TEMPORARY FENCE	FOOT	9,241.000				
20200100	EARTH EXCAVATION	CU YD	34,416.000				
20200410	EARTH EXCAVATION SPL	CU YD	2,000.000				
20600200	GRAN EMBANK SPEC	CU YD	1,384.000				
20800150	TRENCH BACKFILL	CU YD	315.000				
20900110	POROUS GRAN BACKFILL	CU YD	961.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 3
10/15/2003

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County Name - CLARK- -
Code - 23 - -
District - 5 - -
Section Number - 1RS-4 & (25,24,23)RS-3

Project Number
ACNHF-0332/070/000

Route
FAP 332

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
21101505	TOPSOIL EXC & PLAC	CU YD	442.000				
25000200	SEEDING CL 2	ACRE	43.900				
25000300	SEEDING CL 3	ACRE	2.700				
25000350	SEEDING CL 7	ACRE	46.600				
25000400	NITROGEN FERT NUTR	POUND	8,390.000				
25000500	PHOSPHORUS FERT NUTR	POUND	8,390.000				
25000600	POTASSIUM FERT NUTR	POUND	8,390.000				
25100115	MULCH METHOD 2	ACRE	93.200				
25100630	EROSION CONTR BLANKET	SQ YD	1,048.000				
28000300	TEMP DITCH CHECKS	EACH	399.000				
28000400	PERIMETER EROS BAR	FOOT	550.000				
28000500	INLET & PIPE PROTECT	EACH	171.000				
28100107	STONE RIPRAP CL A4	SQ YD	2,315.000				
28100201	STONE RIPRAP CL A1	TON	172.000				
28200100	FILTER FAB FOR RIPRAP	SQ YD	2,462.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 4
10/15/2003

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District - 5 - -
Section Number - 1RS-4 & (25,24,23)RS-3

Project Number
ACNHF-0332/070/000

Route
FAP 332

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
31101200	SUB GRAN MAT B 4	SQ YD	2,834.000				
35100700	AGG BASE CSE A 8	SQ YD	371.000				
35101400	AGG BASE CSE B	TON	618.000				
35800100	PREPARATION OF BASE	SQ YD	2,497.000				
35800200	AGG BASE REPAIR	TON	153.000				
40200800	AGG SURF CSE B	TON	3,153.000				
40600100	BIT MATLS PR CT	GALLON	22,445.000				
40600300	AGG PR CT	TON	440.000				
40600895	CONSTRUC TEST STRIP	EACH	1.000				
40600980	BIT SURF REM BUTT JT	SQ YD	3,869.000				
40600985	PCC SURF REM BUTT JT	SQ YD	80.000				
40600990	TEMPORARY RAMP	SQ YD	246.000				
40800010	BIT MATLS PR CT	GALLON	3,744.000				
40800030	AGG PR CT	TON	30.000				
40800040	INCIDENTAL BIT SURF	TON	1,837.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 5
10/15/2003

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County Name - CLARK- -
Code - 23 - -
District - 5 - -
Section Number - 1RS-4 & (25,24,23)RS-3

Project Number
ACNHF-0332/070/000

Route
FAP 332

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
42000300	PCC PVT 8	SQ YD	900.000				
42001200	PAVEMENT FABRIC	SQ YD	900.000				
42300400	PCC DRIVEWAY PAVT 8	SQ YD	865.000				
42400200	PC CONC SIDEWALK 5	SQ FT	3,724.000				
44000009	BIT SURF REM 3	SQ YD	7,571.000				
44000025	BIT SURF REM SPL	SQ YD	1,858.000				
44000030	BIT SURF REM VAR DP	SQ YD	4,594.000				
44000065	BIT SUR RM (CM) SPL	SQ YD	7,703.000				
44000100	PAVEMENT REM	SQ YD	870.000				
44000200	DRIVE PAVEMENT REM	SQ YD	1,442.000				
44000400	GUTTER REM	FOOT	2,170.000				
44000500	COMB CURB GUTTER REM	FOOT	505.000				
44000600	SIDEWALK REM	SQ FT	1,865.000				
44000900	BIT CONC REMOVAL	SQ YD	957.000				
44000920	BIT CONC SHLD REM	SQ YD	1,348.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
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Page 6
10/15/2003

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ACNHF-0332/070/000

Route
FAP 332

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
44001210	B C SURF REM (PROFIL)	SQ YD	1,176.000				
44001420	BIT SHOULDER REMOV	FOOT	9,341.000				
44004000	PAVED DITCH REMOVAL	FOOT	253.000				
44201721	CL D PATCH T3 6	SQ YD	142.000				
44201723	CL D PATCH T4 6	SQ YD	328.000				
44201753	CL D PATCH T2 9	SQ YD	107.000				
44201757	CL D PATCH T3 9	SQ YD	112.000				
44201759	CL D PATCH T4 9	SQ YD	994.000				
48100100	AGGREGATE SHLDS A	TON	1,511.000				
48101200	AGGREGATE SHLDS B	TON	6,784.000				
48202410	BIT SHLD SUPER 6 1/2	SQ YD	5,846.000				
48202600	BIT SHLD SUPER 8	SQ YD	1,216.000				
50100300	REM EXIST STRUCT N1	EACH	1.000				
50100400	REM EXIST STRUCT N2	EACH	1.000				
50100500	REM EXIST STRUCT N3	EACH	1.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER -

Page 7
10/15/2003

90730

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District - 5 - -
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Project Number
ACNHF-0332/070/000

Route
FAP 332

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
50100600	REM EXIST STRUCT N4	EACH	1.000				
50100700	REM EXIST STRUCT N5	EACH	1.000				
50100800	REM EXIST STRUCT N6	EACH	1.000				
50100900	REM EXIST STRUCT N7	EACH	1.000				
50104300	CONC HDWL REM	CU YD	20.600				
50104400	CONC HDWL REM	EACH	17.000				
50105210	REM EXIST CULVERTS	FOOT	4,931.000				
50105220	PIPE CULVERT REMOV	FOOT	23.000				
50800105	REINFORCEMENT BARS	POUND	170.000				
54001001	BOX CUL END SEC C1	EACH	2.000				
54001002	BOX CUL END SEC C2	EACH	2.000				
54001003	BOX CUL END SEC C3	EACH	2.000				
54001004	BOX CUL END SEC C4	EACH	2.000				
54001005	BOX CUL END SEC C5	EACH	2.000				
54001006	BOX CUL END SEC C6	EACH	1.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 8
10/15/2003

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District - 5 - -
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ACNHF-0332/070/000

Route
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Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
54001007	BOX CUL END SEC C7	EACH	2.000				
54002020	EXPAN BOLTS 3/4	EACH	75.000				
54002060	EXPAN BOLTS 3/4 X 12	EACH	25.000				
54010703	PCBC 7X3	FOOT	70.000				
54020502	PCBC 5X2 (M273)	FOOT	48.000				
54020603	PCBC 6X3 (M273)	FOOT	8.500				
54020703	PCBC 7X3 (M273)	FOOT	44.000				
54020802	PCBC 8X2 (M273)	FOOT	60.000				
54020903	PCBC 9X3 (M273)	FOOT	60.000				
54021205	PCBC 12X5 (M273)	FOOT	60.000				
542D0217	P CUL CL D 1 12	FOOT	111.000				
542D0220	P CUL CL D 1 15	FOOT	3,169.000				
542D0223	P CUL CL D 1 18	FOOT	822.000				
542D0226	P CUL CL D 1 21	FOOT	164.000				
542D0229	P CUL CL D 1 24	FOOT	335.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 9
10/15/2003

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FAP 332

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
542D0235	P CUL CL D 1 30	FOOT	182.000				
542D0241	P CUL CL D 1 36	FOOT	72.000				
542D1060	P CUL CL D 2 15	FOOT	300.000				
542D1063	P CUL CL D 2 18	FOOT	305.000				
542D1069	P CUL CL D 2 24	FOOT	70.000				
542D5491	P CUL CL D 1 EQRS 36	FOOT	45.000				
54200430	P CUL 1 RCCP 15	FOOT	475.000				
54200433	P CUL 1 RCCP 18	FOOT	387.500				
54200439	P CUL 1 RCCP 24	FOOT	65.000				
54200445	P CUL 1 RCCP 30	FOOT	60.000				
54200667	P CUL 1 CS/A CP 42	FOOT	220.000				
54201273	P CUL 2 RCCP 18	FOOT	60.000				
54201279	P CUL 2 RCCP 24	FOOT	159.000				
54201282	P CUL 2 RCCP 27	FOOT	78.500				
54201285	P CUL 2 RCCP 30	FOOT	20.000				

**ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES**

Page 10
10/15/2003

**CONTRACT
NUMBER -**

90730

State Job # - C-95-025-95
PPS NBR - 5-90740-0000
County Name - CLARK- -
Code - 23 - -
District - 5 - -
Section Number - 1RS-4 & (25,24,23)RS-3

Project Number
ACNHF-0332/070/000

Route
FAP 332

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
54207159	P CUL 1 RC-E EQRS 24	FOOT	4.500				
54213447	END SECTIONS 12	EACH	10.000				
54213450	END SECTIONS 15	EACH	145.000				
54213453	END SECTIONS 18	EACH	38.000				
54213456	END SECTIONS 21	EACH	8.000				
54213459	END SECTIONS 24	EACH	14.000				
54213465	END SECTIONS 30	EACH	6.000				
54213471	END SECTIONS 36	EACH	4.000				
54213507	END SECTIONS 72	EACH	2.000				
54213660	PRC FLAR END SEC 15	EACH	13.000				
54213663	PRC FLAR END SEC 18	EACH	14.000				
54213669	PRC FLAR END SEC 24	EACH	4.000				
54213672	PRC FLAR END SEC 27	EACH	4.000				
54213675	PRC FLAR END SEC 30	EACH	3.000				
54213897	STEEL END SEC 42	EACH	2.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 11
10/15/2003

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Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
54214311	END SEC EQV R-S 36	EACH	2.000				
54214509	PRC FL END S EQ RS 24	EACH	1.000				
54215436	CIP RC END SEC 36	EACH	1.000				
54223545	REM & RELAY P C	FOOT	524.000				
54244600	FL INLET BOX	EACH	6.000				
54247140	GRATING-C FL END S 27	EACH	1.000				
54248500	CONCRETE HEADWALLS	CU YD	0.600				
54248510	CONCRETE COLLAR	CU YD	1.900				
550A0050	STORM SEW CL A 1 12	FOOT	52.000				
550A0070	STORM SEW CL A 1 15	FOOT	337.000				
550A0090	STORM SEW CL A 1 18	FOOT	49.000				
550A0120	STORM SEW CL A 1 24	FOOT	260.000				
550A0130	STORM SEW CL A 1 27	FOOT	145.000				
550A2320	SS RG CL A 1 12	FOOT	94.000				
55100500	STORM SEWER REM 12	FOOT	329.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 12
10/15/2003

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District - 5 - -
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Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
60109000	P UNDR PER COR S P 12	FOOT	113.000				
60218400	MAN TA 4 DIA T1F CL	EACH	2.000				
60221000	MAN TA 5 DIA T1F OL	EACH	1.000				
60221100	MAN TA 5 DIA T1F CL	EACH	2.000				
60221900	MAN TA 5 DIA T10F&G	EACH	1.000				
60222930	MAN TA 5 DIA SPL F&G	EACH	2.000				
60223700	MAN TA 6 DIA T1F OL	EACH	1.000				
60223810	MAN TA 6 DIA T3F&G	EACH	1.000				
60232610	MAN SPL 6 DIA	EACH	5.000				
60236200	INLETS TA T8G	EACH	3.000				
60238700	INLETS TA W/SPL F&G	EACH	1.000				
60240210	INLETS TB T1F OL	EACH	2.000				
60240215	INLETS TB T1F CL	EACH	1.000				
60240305	INLETS TB T10F&G	EACH	1.000				
60404940	FR & GRATES T23	EACH	2.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 13
10/15/2003

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Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
60405700	FR & GRATES SPEC	EACH	8.000				
60500050	REMOV CATCH BAS	EACH	9.000				
60600095	CLASS SI CONC OUTLET	CU YD	140.900				
60602700	CONC GUTTER TA SPL	FOOT	1,635.500				
60602800	CONC GUTTER TB	FOOT	2,060.000				
60605000	COMB CC&G TB6.24	FOOT	146.000				
61100605	MISC CONCRETE	CU YD	3.500				
63000000	SPBGR TY A	FOOT	1,000.000				
63100167	TR BAR TRM T1 SPL TAN	EACH	12.000				
63200310	GUARDRAIL REMOV	FOOT	650.000				
63301000	REM & RE-ERECT SPBGR	FOOT	37.500				
63500105	DELINEATORS	EACH	225.000				
63500120	DELINEATOR REMOVAL	EACH	223.000				
66300105	CALCIUM CHLORIDE APLD	TON	26.000				
66600105	FUR ERECT ROW MARKERS	EACH	54.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 14
10/15/2003

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District - 5 - -
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Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
66900200	NON SPL WASTE DISPOSL	CU YD	100.000				
66900450	SPL WASTE PLNS/REPORT	L SUM	1.000				
66900510	BETX-PNAS SOIL ANALY	EACH	2.000				
66900530	SOIL DISPOSAL ANALY	EACH	1.000				
66900635	LEAD TCLP SOIL ANAL	EACH	2.000				
67000400	ENGR FIELD OFFICE A	CAL MO	17.000				
67100100	MOBILIZATION	L SUM	1.000				
70100450	TRAF CONT-PROT 701201	L SUM	1.000				
70100460	TRAF CONT-PROT 701306	L SUM	1.000				
70100500	TRAF CONT-PROT 701326	L SUM	1.000				
70101255	TC-PROT 701206 SPL	EACH	1.000				
70102620	TR CONT & PROT 701501	L SUM	1.000				
70102640	TR CONT & PROT 701801	L SUM	1.000				
70103815	TR CONT SURVEILLANCE	CAL DA	45.000				
70300100	SHORT-TERM PAVT MKING	FOOT	16,736.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 15
10/15/2003

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District - 5 - -
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Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
70300220	TEMP PVT MK LINE 4	FOOT	204,169.000				
70301000	WORK ZONE PAVT MK REM	SQ FT	2,657.000				
78001110	PAINT PVT MK LINE 4	FOOT	204,169.000				
78100100	RAISED REFL PAVT MKR	EACH	1,115.000				
78200405	GUARDRAIL MARKERS	EACH	43.000				
78201000	TERMINAL MARKER - DA	EACH	19.000				
78300200	RAISED REF PVT MK REM	EACH	1,115.000				
80500100	SERV INSTALL TY A	EACH	1.000				
80802100	W POLE 35 CL 4	EACH	1.000				
81012500	CON T 1 1/2 PVC	FOOT	579.000				
81021540	CON AUGERED 1 1/2 PVC	FOOT	577.000				
81100500	CON AT ST 1 1/2 GALVS	FOOT	48.000				
81400100	HANDHOLE	EACH	1.000				
81500200	TR & BKFIL F ELECT WK	FOOT	579.000				
85800100	FL CONT	EACH	1.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION
SCHEDULE OF PRICES
CONTRACT
NUMBER - 90730

Page 16
10/15/2003

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District - 5 - -
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86600010	GULFBOX JUNCTION	EACH	1.000				
87301215	ELCBL C SIGNAL 14 2C	FOOT	1,356.000				
87301805	ELCBL C SERV 6 2C	FOOT	10.000				
89502400	REM EX FB INSTAL COMP	EACH	1.000				

CONTRACT NUMBER 90730

THIS IS THE TOTAL BID \$ _____

NOTES:

- 1. Each PAY ITEM should have a UNIT PRICE and a TOTAL PRICE.**
- 2. The UNIT PRICE shall govern if no TOTAL PRICE is shown or if there is a discrepancy between the product of the UNIT PRICE multiplied by the QUANTITY.**
- 3. If a UNIT PRICE is omitted, the TOTAL PRICE will be divided by the QUANTITY in order to establish a UNIT PRICE.**
- 4. A bid may be declared UNACCEPTABLE if neither a unit price nor a total price is shown.**

RETURN WITH BID

STATE REQUIRED ETHICAL STANDARDS GOVERNING CONTRACT PROCUREMENT: ASSURANCES, CERTIFICATIONS AND DISCLOSURES

I. GENERAL

A. Article 50 of the Illinois Procurement Code establishes the duty of all State chief procurement officers, State purchasing officers, and their designees to maximize the value of the expenditure of public moneys in procuring goods, services, and contracts for the State of Illinois and to act in a manner that maintains the integrity and public trust of State government. In discharging this duty, they are charged by law to use all available information, reasonable efforts, and reasonable actions to protect, safeguard, and maintain the procurement process of the State of Illinois.

B. In order to comply with the provisions of Article 50 and to carry out the duty established therein, all bidders are to adhere to ethical standards established for the procurement process, and to make such assurances, disclosures and certifications required by law. By execution of the Proposal Signature Sheet, the bidder indicates that each of the mandated assurances has been read and understood, that each certification is made and understood, and that each disclosure requirement has been understood and completed.

C. In addition to all other remedies provided by law, failure to comply with any assurance, failure to make any disclosure or the making of a false certification shall be grounds for termination of the contract and the suspension or debarment of the bidder.

II. ASSURANCES

A. The assurances hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous assurance, and the surety providing the performance bond shall be responsible for the completion of the contract.

B. Felons

1. The Illinois Procurement Code provides:

Section 50-10. Felons. Unless otherwise provided, no person or business convicted of a felony shall do business with the State of Illinois or any state agency from the date of conviction until 5 years after the date of completion of the sentence for that felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-10.

C. Conflicts of Interest

1. The Illinois Procurement Code provides in pertinent part:

Section 50-13. Conflicts of Interest.

(a) Prohibition. It is unlawful for any person holding an elective office in this State, holding a seat in the General Assembly, or appointed to or employed in any of the offices or agencies of state government and who receives compensation for such employment in excess of 60% of the salary of the Governor of the State of Illinois, or who is an officer or employee of the Capital Development Board or the Illinois Toll Highway Authority, or who is the spouse or minor child of any such person to have or acquire any contract, or any direct pecuniary interest in any contract therein, whether for stationery, printing, paper, or any services, materials, or supplies, that will be wholly or partially satisfied by the payment of funds appropriated by the General Assembly of the State of Illinois or in any contract of the Capital Development Board or the Illinois Toll Highway authority.

(b) Interests. It is unlawful for any firm, partnership, association or corporation, in which any person listed in subsection (a) is entitled to receive (i) more than 7 1/2% of the total distributable income or (ii) an amount in excess of the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.

(c) Combined interests. It is unlawful for any firm, partnership, association, or corporation, in which any person listed in subsection (a) together with his or her spouse or minor children is entitled to receive (i) more than 15%, in the aggregate, of the total distributable income or (ii) an amount in excess of 2 times the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.

(d) Securities. Nothing in this Section invalidates the provisions of any bond or other security previously offered or to be offered for sale or sold by or for the State of Illinois.

(e) Prior interests. This Section does not affect the validity of any contract made between the State and an officer or employee of the State or member of the General Assembly, his or her spouse, minor child or any combination of those persons if that contract was in existence before his or her election or employment as an officer, member, or employee. The contract is voidable, however, if it cannot be completed within 365 days after the officer, member, or employee takes office or is employed.

The current salary of the Governor is \$150,700.00. Sixty percent of the salary is \$90,420.00.

RETURN WITH BID

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-13, or that an effective exemption has been issued by the Board of Ethics to any individual subject to the Section 50-13 prohibitions pursuant to the provisions of Section 50-20 of the Code and Executive Order Number 3 (1998). Information concerning the exemption process is available from the Department upon request.

D. Negotiations

1. The Illinois Procurement Code provides in pertinent part:

Section 50-15. Negotiations.

(a) It is unlawful for any person employed in or on a continual contractual relationship with any of the offices or agencies of State government to participate in contract negotiations on behalf of that office or agency with any firm, partnership, association, or corporation with whom that person has a contract for future employment or is negotiating concerning possible future employment.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-15, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

E. Inducements

1. The Illinois Procurement Code provides:

Section 50-25. Inducement. Any person who offers or pays any money or other valuable thing to any person to induce him or her not to bid for a State contract or as recompense for not having bid on a State contract is guilty of a Class 4 felony. Any person who accepts any money or other valuable thing for not bidding for a State contract or who withholds a bid in consideration of the promise for the payment of money or other valuable thing is guilty of a Class 4 felony.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-25, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

F. Revolving Door Prohibition

1. The Illinois Procurement Code provides:

Section 50-30. Revolving door prohibition. Chief procurement officers, associate procurement officers, State purchasing officers, their designees whose principal duties are directly related to State procurement, and executive officers confirmed by the Senate are expressly prohibited for a period of 2 years after terminating an affected position from engaging in any procurement activity relating to the State agency most recently employing them in an affected position for a period of at least 6 months. The prohibition includes, but is not limited to: lobbying the procurement process; specifying; bidding; proposing bid, proposal, or contract documents; on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to persons who terminate an affected position on or after January 15, 1999.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-30, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

G. Reporting Anticompetitive Practices

1. The Illinois Procurement Code provides:

Section 50-40. Reporting anticompetitive practices. When, for any reason, any vendor, bidder, contractor, chief procurement officer, State purchasing officer, designee, elected official, or State employee suspects collusion or other anticompetitive practice among any bidders, offerors, contractors, proposers, or employees of the State, a notice of the relevant facts shall be transmitted to the Attorney General and the chief procurement officer.

2. The bidder assures the Department that it has not failed to report any relevant facts concerning the practices addressed in Section 50-40 which may involve the contract for which the bid is submitted.

H. Confidentiality

1. The Illinois Procurement Code provides:

Section 50-45. Confidentiality. Any chief procurement officer, State purchasing officer, designee, or executive officer who willfully uses or allows the use of specifications, competitive bid documents, proprietary competitive information, proposals, contracts, or selection information to compromise the fairness or integrity of the procurement, bidding, or contract process shall be subject to immediate dismissal, regardless of the Personnel code, any contract, or any collective bargaining agreement, and may in addition be subject to criminal prosecution.

2. The bidder assures the Department that it has no knowledge of any fact relevant to the practices addressed in Section 50-45 which may involve the contract for which the bid is submitted.

RETURN WITH BID

I. Insider Information

1. The Illinois Procurement Act provides:

Section 50-50. Insider information. It is unlawful for any current or former elected or appointed State official or State employee to knowingly use confidential information available only by virtue of that office or employment for actual or anticipated gain for themselves or another person.

2. The bidder assures the Department that it has no knowledge of any facts relevant to the practices addressed in Section 50-50 which may involve the contract for which the bid is submitted.

III. CERTIFICATIONS

A. The certifications hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous certification, and the surety providing the performance bond shall be responsible for completion of the contract.

B. Bribery

1. The Illinois Procurement Code provides:

Section 50-5. Bribery.

- (a) Prohibition. No person or business shall be awarded a contract or subcontract under this Code who:

(1) has been convicted under the laws of Illinois or any other state of bribery or attempting to bribe an officer or employee of the State of Illinois or any other state in that officer's or employee's official capacity; or

(2) has made an admission of guilt of that conduct that is a matter of record but has not been prosecuted for that conduct.

- (b) Businesses. No business shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of the business if the employee or agent is no longer employed by the business and:

(1) the business has been finally adjudicated not guilty; or

(2) the business demonstrates to the governmental entity with which it seeks to contract, and that entity finds that the commission of the offense was not authorized, requested, commanded, or performed by a director, officer, or high managerial agent on behalf of the business as provided in paragraph (2) of subsection (a) of Section 5-4 of the Criminal Code of 1961.

- (c) Conduct on behalf of business. For purposes of this Section, when an official, agent, or employee of a business committed the bribery or attempted bribery on behalf of the business and in accordance with the direction or authorization of a responsible official of the business, the business shall be chargeable with the conduct.

- (d) Certification. Every bid submitted to and contract executed by the State shall contain a certification by the contractor that the contractor is not barred from being awarded a contract or subcontract under this Section. A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

2. The bidder certifies that it is not barred from being awarded a contract under Section 50.5.

C. Educational Loan

1. Section 3 of the Educational Loan Default Act provides:

§ 3. No State agency shall contract with an individual for goods or services if that individual is in default, as defined in Section 2 of this Act, on an educational loan. Any contract used by any State agency shall include a statement certifying that the individual is not in default on an educational loan as provided in this Section.

2. The bidder, if an individual as opposed to a corporation, partnership or other form of business organization, certifies that the bidder is not in default on an educational loan as provided in Section 3 of the Act.

D. Bid-Rigging/Bid Rotating

1. Section 33E-11 of the Criminal Code of 1961 provides:

§ 33E-11. (a) Every bid submitted to and public contract executed pursuant to such bid by the State or a unit of local government shall contain a certification by the prime contractor that the prime contractor is not barred from contracting with any unit of State or local government as a result of a violation of either Section 33E-3 or 33E-4 of this Article. The State and units of local government shall provide the appropriate forms for such certification.

RETURN WITH BID

(b) A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

A violation of Section 33E-3 would be represented by a conviction of the crime of bid-rigging which, in addition to Class 3 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be barred for 5 years from the date of conviction from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

A violation of Section 33E-4 would be represented by a conviction of the crime of bid-rotating which, in addition to Class 2 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be permanently barred from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

2. The bidder certifies that it is not barred from contracting with the Department by reason of a violation of either Section 33E-3 or Section 33E-4.

E. International Anti-Boycott

1. Section 5 of the International Anti-Boycott Certification Act provides:

§ 5. State contracts. Every contract entered into by the State of Illinois for the manufacture, furnishing, or purchasing of supplies, material, or equipment or for the furnishing of work, labor, or services, in an amount exceeding the threshold for small purchases according to the purchasing laws of this State or \$10,000.00, whichever is less, shall contain certification, as a material condition of the contract, by which the contractor agrees that neither the contractor nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the U.S. Export Administration Act of 1979 or the regulations of the U.S. Department of Commerce promulgated under that Act.

2. The bidder makes the certification set forth in Section 5 of the Act.

F. Drug Free Workplace

1. The Illinois "Drug Free Workplace Act" applies to this contract and it is necessary to comply with the provisions of the "Act" if the contractor is a corporation, partnership, or other entity (including a sole proprietorship) which has 25 or more employees.

2. The bidder certifies that if awarded a contract in excess of \$5,000 it will provide a drug free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance, including cannabis, is prohibited in the contractor's workplace; specifying the actions that will be taken against employees for violations of such prohibition; and notifying the employee that, as a condition of employment on such contract, the employee shall abide by the terms of the statement, and notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.

(b) Establishing a drug free awareness program to inform employees about the dangers of drug abuse in the workplace; the contractor's policy of maintaining a drug free workplace; any available drug counseling, rehabilitation, and employee assistance programs; and the penalties that may be imposed upon employees for drug violations.

(c) Providing a copy of the statement required by subparagraph (1) to each employee engaged in the performance of the contract and to post the statement in a prominent place in the workplace.

(d) Notifying the Department within ten (10) days after receiving notice from an employee or otherwise receiving actual notice of the conviction of an employee for a violation of any criminal drug statute occurring in the workplace.

(e) Imposing or requiring, within 30 days after receiving notice from an employee of a conviction or actual notice of such a conviction, an appropriate personnel action, up to and including termination, or the satisfactory participation in a drug abuse assistance or rehabilitation program approved by a federal, state or local health, law enforcement or other appropriate agency.

(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the actions and efforts stated in this certification.

G. Debt Delinquency

1. The Illinois Procurement Code provides:

Section 50-11. Debt Delinquency.

- (a) No person shall submit a bid or enter into a contract with a State agency under this Code if that person knows or should know that he or she is delinquent in the payment of any debt to the State, unless the person has entered into a deferred payment plan to pay off the debt. For purposes of this Section, the phrase "delinquent in the payment of any debt" shall be determined by the Debt Collection Board.
 - (b) Every bid submitted to and contract executed by the State shall contain a certification by the bidder or contractor that the contractor is not barred from being awarded a contract under this Section and that the contractor acknowledges that the contracting State agency may declare the contract void if the certification completed pursuant to this subsection (b) is false.
2. The bidder certifies that it is not barred from being awarded a contract by this section. The bidder acknowledges that the Department may declare the contract void if this certification is false.

TO BE RETURNED WITH BID

IV. DISCLOSURES

A. The disclosures hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous disclosure, and the surety providing the performance bond shall be responsible for completion of the contract.

B. Financial Interests and Conflicts of Interest

1. Section 50-35 of the Illinois Procurement Code provides that all bids of more than \$10,000 shall be accompanied by disclosure of the financial interests of the bidder. This disclosed information for the successful bidder, will be maintained as public information subject to release by request pursuant to the Freedom of Information Act.

The financial interests to be disclosed shall include ownership or distributive income share that is in excess of 5%, or an amount greater than 60% of the annual salary of the Governor, of the bidding entity or its parent entity, whichever is less, unless the contractor or bidder is a publicly traded entity subject to Federal 10K reporting, in which case it may submit its 10K disclosure in place of the prescribed disclosure. If a bidder is a privately held entity that is exempt from Federal 10K reporting, but has more than 400 shareholders, it may submit the information that Federal 10K companies are required to report, and list the names of any person or entity holding any ownership share that is in excess of 5%. The disclosure shall include the names, addresses, and dollar or proportionate share of ownership of each person making the disclosure, their instrument of ownership or beneficial relationship, and notice of any potential conflict of interest resulting from the current ownership or beneficial interest of each person making the disclosure having any of the relationships identified in Section 50-35 and on the disclosure form.

In addition, all disclosures shall indicate any other current or pending contracts, proposals, leases, or other ongoing procurement relationships the bidding entity has with any other unit of state government and shall clearly identify the unit and the contract, proposal, lease, or other relationship.

2. **Disclosure Forms.** Disclosure Form A is attached for use concerning the individuals meeting the above ownership or distributive share requirements. Subject individuals should be covered each by one form. In addition, a second form (Disclosure Form B) provides for the disclosure of current or pending procurement relationships with other (non-IDOT) state agencies. **The forms must be included with each bid or incorporated by reference.**

C. Disclosure Form Instructions

Form A: For bidders that have previously submitted the information requested in Form A

The Department has retained the Form A disclosures submitted by all bidders responding to these requirements for the April 24, 1998 or any subsequent letting conducted by the Department. The bidder has the option of submitting the information again or the bidder may sign the following certification statement indicating that the information previously submitted by the bidder is, as of the date of signature, current and accurate. The Certification must be signed and dated by a person who is authorized to execute contracts for the bidding company. Before signing this certification, the bidder should carefully review its prior submissions to ensure the Certification is correct. If the Bidder signs the Certification, the Bidder should proceed to Form B instructions.

CERTIFICATION STATEMENT

I have determined that the Form A disclosure information previously submitted is current and accurate, and all forms are hereby incorporated by reference in this bid. Any necessary additional forms or amendments to previously submitted forms are attached to this bid.

(Bidding Company)

Name of Authorized Representative (type or print)

Title of Authorized Representative (type or print)

Signature of Authorized Representative

Date

Form A: For bidders who have NOT previously submitted the information requested in Form A

If the bidder is a publicly traded entity subject to Federal 10K reporting, the 10K Report may be submitted to meet the requirements of Form A. If a bidder is a privately held entity that is exempt from Federal 10K reporting, but has more than 400 shareholders, it may submit the information that Federal 10K companies are required to report, and list the names of any person or entity holding any ownership share that is in excess of 5%. If a bidder is not subject to Federal 10K reporting, the bidder must determine if any individuals are required by law to complete a financial disclosure form. To do this, the bidder should answer each of the following questions. A "YES" answer indicates Form A must be completed. If the answer to each of the following questions is "NO", then the NOT APPLICABLE STATEMENT on the second page of Form A must be signed and dated by a person that is authorized to execute contracts for the bidding company. Note: These questions are for assistance only and are not required to be completed.

1. Does anyone in your organization have a direct or beneficial ownership share of greater than 5% of the bidding entity or parent entity? YES ___ NO ___
2. Does anyone in your organization have a direct or beneficial ownership share of less than 5%, but which has a value greater than \$90,420.00? YES ___ NO ___
3. Does anyone in your organization receive more than \$90,420.00 of the bidding entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.) YES ___ NO ___
4. Does anyone in your organization receive greater than 5% of the bidding entity's or parent entity's total distributive income, but which is less than \$90,420.00? YES ___ NO ___
(Note: Only one set of forms needs to be completed per person per bid even if a specific individual would require a yes answer to more than one question.)

A "YES" answer to any of these questions requires the completion of Form A. The bidder must determine each individual in the bidding entity or the bidding entity's parent company that would cause the questions to be answered "Yes". Each form must be signed and dated by a person that is authorized to execute contracts for your organization. **Photocopied or stamped signatures are not acceptable.** The person signing can be, but does not have to be, the person for which the form is being completed. The bidder is responsible for the accuracy of any information provided.

If the answer to each of the above questions is "NO", then the NOT APPLICABLE STATEMENT on page 2 of Form A must be signed and dated by a person that is authorized to execute contracts for your company.

Form B: Identifying Other Contracts & Procurement Related Information Disclosure Form B must be completed for each bid submitted by the bidding entity. It must be signed by an individual who is authorized to execute contracts for the bidding entity. *Note: Signing the NOT APPLICABLE STATEMENT on Form A does not allow the bidder to ignore Form B. Form B must be completed, signed and dated or the bidder may be considered nonresponsive and the bid will not be accepted.*

The Bidder shall identify, by checking Yes or No on Form B, whether it has any pending contracts (including leases), bids, proposals, or other ongoing procurement relationship with any other (non-IDOT) State of Illinois agency. If "No" is checked, the bidder only needs to complete the signature box on the bottom of Form B. If "Yes" is checked, the bidder must do one of the following:

Option I: If the bidder did not submit an Affidavit of Availability to obtain authorization to bid, the bidder must list all non-IDOT State of Illinois agency pending contracts, leases, bids, proposals, and other ongoing procurement relationships. These items may be listed on Form B or on an attached sheet(s). Do not include IDOT contracts. Contracts with cities, counties, villages, etc. are not considered State of Illinois agency contracts and are not to be included. Contracts with other State of Illinois agencies such as the Department of Natural Resources or the Capital Development Board must be included. Bidders who submit Affidavits of Availability are suggested to use Option II.

Option II: If the bidder is required and has submitted an Affidavit of Availability in order to obtain authorization to bid, the bidder may write or type "See Affidavit of Availability" which indicates that the Affidavit of Availability is incorporated by reference and includes all non-IDOT State of Illinois agency pending contracts, leases, bids, proposals, and other ongoing procurement relationships. For any contracts that are not covered by the Affidavit of Availability, the bidder must identify them on Form B or on an attached sheet(s). These might be such things as leases.

D. Bidders Submitting More Than One Bid

Bidders submitting multiple bids may submit one set of forms consisting of all required Form A disclosures and one Form B for use with all bids. Please indicate in the space provided below the bid item that contains the original disclosure forms and the bid items which incorporate the forms by reference.

- The bid submitted for letting item _____ contains the Form A disclosures or Certification Statement and the Form B disclosures. The following letting items incorporate the said forms by reference:

ILLINOIS DEPARTMENT
OF TRANSPORTATIONForm A
Financial Information &
Potential Conflicts of Interest
Disclosure

Contractor Name		
Legal Address		
City, State, Zip		
Telephone Number	Email Address	Fax Number (if available)

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Code (30 ILCS 500). Vendors desiring to enter into a contract with the State of Illinois must disclose the financial information and potential conflict of interest information as specified in this Disclosure Form. This information shall become part of the publicly available contract file. This Form A must be completed for bids in excess of \$10,000, and for all open-ended contracts. **A publicly traded company may submit a 10K disclosure (or equivalent if applicable) in satisfaction of the requirements set forth in Form A. See Disclosure Form Instructions.**

DISCLOSURE OF FINANCIAL INFORMATION

1. Disclosure of Financial Information. The individual named below has an interest in the BIDDER (or its parent) in terms of ownership or distributive income share in excess of 5%, or an interest which has a value of more than \$90,420.00 (60% of the Governor's salary as of 7/1/01). **(Make copies of this form as necessary and attach a separate Disclosure Form A for each individual meeting these requirements)**

FOR INDIVIDUAL (type or print information)

NAME: _____

ADDRESS _____

Type of ownership/distributable income share:

stock _____ sole proprietorship _____ Partnership _____ other: (explain on separate sheet):
 % or \$ value of ownership/distributable income share: _____

2. Disclosure of Potential Conflicts of Interest. Check "Yes" or "No" to indicate which, if any, of the following potential conflict of interest relationships apply. If the answer to any question is "Yes", please attach additional pages and describe.

(a) State employment, currently or in the previous 3 years, including contractual employment of services.

Yes ___ No ___

If your answer is yes, please answer each of the following questions.

- Are you currently an officer or employee of either the Capitol Development Board or the Illinois Toll Highway Authority? Yes ___ No ___
- Are you currently appointed to or employed by any agency of the State of Illinois? If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds \$90,420.00, (60% of the Governor's salary as of 7/1/01) provide the name the State agency for which you are employed and your annual salary. _____

RETURN WITH BID/OFFER

3. If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds \$90,420.00, (60% of the Governor's salary as of 7/1/01) are you entitled to receive (i) more than 7 1/2% of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of the salary of the Governor? Yes ___ No ___
4. If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds \$90,420.00, (60% of the Governor's salary as of 7/1/01) are you and your spouse or minor children entitled to receive (i) more than 15% in aggregate of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of 2 times the salary of the Governor? Yes ___ No ___

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years.

Yes ___ No ___

If your answer is yes, please answer each of the following questions.

1. Is your spouse or any minor children currently an officer or employee of the Capitol Development Board or the Illinois Toll Highway Authority? Yes ___ No ___
2. Is your spouse or any minor children currently appointed to or employed by any agency of the State of Illinois? If your spouse or minor children is/are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds \$90,420.00, (60% of the Governor's salary as of 7/1/01) provide the name of the spouse and/or minor children, the name of the State agency for which he/she is employed and his/her annual salary. _____
3. If your spouse or any minor children is/are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds \$90,420.00, (60% of the salary of the Governor as of 7/1/01) are you entitled to receive (i) more than 7 1/2% of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of the salary of the Governor? Yes ___ No ___
4. If your spouse or any minor children are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds \$90,420.00, (60% of the Governor's salary as of 7/1/01) are you and your spouse or any minor children entitled to receive (i) more than 15% in the aggregate of the total distributable income from your firm, partnership, association or corporation, or (ii) an amount in excess of 2 times the salary of the Governor? Yes ___ No ___

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years.

Yes ___ No ___

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter.

Yes ___ No ___

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United State of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of the expenses incurred in the discharge of that office currently or in the previous 3 years.

Yes ___ No ___

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter.

Yes ___ No ___

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government.

Yes ___ No ___

RETURN WITH BID/OFFER

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ___ No ___

(i) Compensated employment, currently or in the previous 3 years, by any registered election or reelection committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections.

Yes ___ No ___

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who was a compensated employee in the last 2 years by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections.

Yes ___ No ___

APPLICABLE STATEMENT

This Disclosure Form A is submitted on behalf of the INDIVIDUAL named on previous page.

Completed by:

Name of Authorized Representative (type or print)

Completed by:

Title of Authorized Representative (type or print)

Completed by:

Signature of Individual or Authorized Representative

Date

NOT APPLICABLE STATEMENT

I have determined that no individuals associated with this organization meet the criteria that would require the completion of this Form A.

This Disclosure Form A is submitted on behalf of the CONTRACTOR listed on the previous page.

Name of Authorized Representative (type or print)

Title of Authorized Representative (type or print)

Signature of Authorized Representative

Date

RETURN WITH BID/OFFER

ILLINOIS DEPARTMENT
OF TRANSPORTATION

Form B
Other Contracts &
Procurement Related Information
Disclosure

Contractor Name		
Legal Address		
City, State, Zip		
Telephone Number	Email Address	Fax Number (if available)

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Act (30 ILCS 500). This information shall become part of the publicly available contract file. This Form B must be completed for bids in excess of \$10,000, and for all open-ended contracts.

DISCLOSURE OF OTHER CONTRACTS AND PROCUREMENT RELATED INFORMATION

1. Identifying Other Contracts & Procurement Related Information. The BIDDER shall identify whether it has any pending contracts (including leases), bids, proposals, or other ongoing procurement relationship with any other State of Illinois agency: Yes ___ No ___

If **"No"** is checked, the bidder only needs to complete the signature box on the bottom of this page.

2. If "Yes" is checked. Identify each such relationship by showing State of Illinois agency name and other descriptive information such as bid or project number (attach additional pages as necessary). SEE DISCLOSURE FORM INSTRUCTIONS:

THE FOLLOWING STATEMENT MUST BE SIGNED

_____ Name of Authorized Representative (type or print)	
_____ Title of Authorized Representative (type or print)	
_____ Signature of Authorized Representative	_____ Date

RETURN WITH BID

SPECIAL NOTICE TO CONTRACTORS

The following requirements of the Illinois Department of Human Rights' Rules and Regulations are applicable to bidders on all construction contracts advertised by the Illinois Department of Transportation:

CONSTRUCTION EMPLOYEE UTILIZATION PROJECTION

- (a) All bidders on construction contracts shall complete and submit, along with and as part of their bids, a Bidder's Employee Utilization Form (Form BC-1256) setting forth a projection and breakdown of the total workforce intended to be hired and/or allocated to such contract work by the bidder including a projection of minority and female employee utilization in all job classifications on the contract project.
- (b) The Department of Transportation shall review the Employee Utilization Form, and workforce projections contained therein, of the contract awardee to determine if such projections reflect an underutilization of minority persons and/or women in any job classification in accordance with the Equal Employment Opportunity Clause and Section 7.2 of the Illinois Department of Human Rights' Rules and Regulations for Public Contracts adopted as amended on September 17, 1980. If it is determined that the contract awardee's projections reflect an underutilization of minority persons and/or women in any job classification, it shall be advised in writing of the manner in which it is underutilizing and such awardee shall be considered to be in breach of the contract unless, prior to commencement of work on the contract project, it submits revised satisfactory projections or an acceptable written affirmative action plan to correct such underutilization including a specific timetable geared to the completion stages of the contract.
- (c) The Department of Transportation shall provide to the Department of Human Rights a copy of the contract awardee's Employee Utilization Form, a copy of any required written affirmative action plan, and any written correspondence related thereto. The Department of Human Rights may review and revise any action taken by the Department of Transportation with respect to these requirements.

RETURN WITH BID

**Contract No. 90730
CLARK County
Section 1RS-4&(25,24,23)RS-3
Project ACNHF-332(70)
Routes FAP 332 & FAS 1658
District 5 Construction Funds**

PART II. WORKFORCE PROJECTION - continued

- B. Included in "Total Employees" under Table A is the total number of **new hires** that would be employed in the event the undersigned bidder is awarded this contract.

The undersigned bidder projects that: (number) _____ new hires would be recruited from the area in which the contract project is located; and/or (number) _____ new hires would be recruited from the area in which the bidder's principal office or base of operation is located.

- C. Included in "Total Employees" under Table A is a projection of numbers of persons to be employed directly by the undersigned bidder as well as a projection of numbers of persons to be employed by subcontractors.

The undersigned bidder estimates that (number) _____ persons will be directly employed by the prime contractor and that (number) _____ persons will be employed by subcontractors.

PART III. AFFIRMATIVE ACTION PLAN

- A. The undersigned bidder understands and agrees that in the event the foregoing minority and female employee utilization projection included under **PART II** is determined to be an underutilization of minority persons or women in any job category, and in the event that the undersigned bidder is awarded this contract, he/she will, prior to commencement of work, develop and submit a written Affirmative Action Plan including a specific timetable (geared to the completion stages of the contract) whereby deficiencies in minority and/or female employee utilization are corrected. Such Affirmative Action Plan will be subject to approval by the contracting agency and the **Department of Human Rights**.
- B. The undersigned bidder understands and agrees that the minority and female employee utilization projection submitted herein, and the goals and timetable included under an Affirmative Action Plan if required, are deemed to be part of the contract specifications.

Company _____

Telephone Number _____

Address _____

NOTICE REGARDING SIGNATURE

The Bidder's signature on the Proposal Signature Sheet will constitute the signing of this form. The following signature block needs to be completed only if revisions are required.

Signature: _____ Title: _____ Date: _____

Instructions: All tables must include subcontractor personnel in addition to prime contractor personnel.

Table A - Include both the number of employees that would be hired to perform the contract work and the total number currently employed (Table B) that will be allocated to contract work, and include all apprentices and on-the-job trainees. The "Total Employees" column should include all employees including all minorities, apprentices and on-the-job trainees to be employed on the contract work.

Table B - Include all employees currently employed that will be allocated to the contract work including any apprentices and on-the-job trainees currently employed.

Table C - Indicate the racial breakdown of the total apprentices and on-the-job trainees shown in Table A.

BC-1256-Pg. 2 (Rev. 3/98)

RETURN WITH BID

ADDITIONAL FEDERAL REQUIREMENTS

In addition to the Required Contract Provisions for Federal-Aid Construction Contracts (FHWA 1273), all bidders make the following certifications.

- A. By the execution of this proposal, the signing bidder certifies that the bidding entity has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action, in restraint of free competitive bidding in connection with the submitted bid. This statement made by the undersigned bidder is true and correct under penalty of perjury under the laws of the United States.
- B. CERTIFICATION, EQUAL EMPLOYMENT OPPORTUNITY:
1. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause. YES _____ NO _____
 2. If answer to #1 is yes, have you filed with the Joint Reporting Committee, the Director of OFCC, any Federal agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements of those organizations? YES _____ NO _____

RETURN WITH BID

**Contract No. 90730
CLARK County
Section 1RS-4&(25,24,23)RS-3
Project ACNHF-332(70)
Routes FAP 332 & FAS 1658
District 5 Construction Funds**

PROPOSAL SIGNATURE SHEET

The undersigned bidder hereby makes and submits this bid on the subject Proposal, thereby assuring the Department that all requirements of the Invitation for Bids and rules of the Department have been met, that there is no misunderstanding of the requirements of paragraph 3 of this Proposal, and that the contract will be executed in accordance with the rules of the Department if an award is made on this bid.

(IF AN INDIVIDUAL)

Firm Name _____

Signature of Owner _____

Business Address _____

(IF A CO-PARTNERSHIP)

Firm Name _____

By _____

Business Address _____

Name and Address of All Members of the Firm:

(IF A CORPORATION)

Corporate Name _____

By _____

Signature of Authorized Representative _____

Typed or printed name and title of Authorized Representative _____

Attest _____

(IF A JOINT VENTURE, USE THIS SECTION
FOR THE MANAGING PARTY AND THE
SECOND PARTY SHOULD SIGN BELOW)

Business Address _____

Signature _____

(IF A JOINT VENTURE)

Corporate Name _____

By _____

Signature of Authorized Representative _____

Typed or printed name and title of Authorized Representative _____

Attest _____

Signature _____

Business Address _____

If more than two parties are in the joint venture, please attach an additional signature sheet.

RETURN WITH BID



**Illinois Department
of Transportation**

**Division of Highways
Proposal Bid Bond**
(Effective November 1, 1992)

Item No. _____
Letting Date _____

KNOW ALL MEN BY THESE PRESENTS, That We _____

as PRINCIPAL, and _____

_____ as SURETY, are
held jointly, severally and firmly bound unto the STATE OF ILLINOIS in the penal sum of 5 percent of the total bid price, or for the amount specified in
Article 102.09 of the "Standard Specifications for Road and Bridge Construction" in effect on the date of invitation for bids, whichever is the lesser sum, well
and truly to be paid unto said STATE OF ILLINOIS, for the payment of which we bind ourselves, our heirs, executors, administrators, successors and assigns.

THE CONDITION OF THE FOREGOING OBLIGATION IS SUCH, That Whereas, the PRINCIPAL has submitted a bid proposal to the STATE OF
ILLINOIS, acting through the Department of Transportation, for the improvement designated by the Transportation Bulletin Item Number and Letting Date
indicated above.

NOW, THEREFORE, if the Department shall accept the bid proposal of the PRINCIPAL; and if the PRINCIPAL shall, within the time and as specified
in the bidding and contract documents, submit a DBE Utilization Plan that is accepted and approved by the Department; and if, after award by the
Department, the PRINCIPAL shall enter into a contract in accordance with the terms of the bidding and contract documents including evidence of the required
insurance coverages and providing such bond as specified with good and sufficient surety for the faithful performance of such contract and for the prompt
payment of labor and material furnished in the prosecution thereof; or if, in the event of the failure of the PRINCIPAL to make the required DBE submission
or to enter into such contract and to give the specified bond, the PRINCIPAL pays to the Department the difference not to exceed the penalty hereof between
the amount specified in the bid proposal and such larger amount for which the Department may contract with another party to perform the work covered by
said bid proposal, then this obligation shall be null and void, otherwise, it shall remain in full force and effect.

IN THE EVENT the Department determines the PRINCIPAL has failed to comply with any requirement as set forth in the preceding paragraph, then
Surety shall pay the penal sum to the Department within fifteen (15) days of written demand therefor. If Surety does not make full payment within such
period of time, the Department may bring an action to collect the amount owed. Surety is liable to the Department for all its expenses, including attorney's
fees, incurred in any litigation in which it prevails either in whole or in part.

In TESTIMONY WHEREOF, the said PRINCIPAL and the said SURETY have caused this instrument to be signed by their respective officers this
_____ day of _____ A.D., _____.

PRINCIPAL

SURETY

(Company Name)

(Company Name)

By: _____ By: _____
(Signature & Title) (Signature of Attorney-in-Fact)

Notary Certification for Principal and Surety

STATE OF ILLINOIS,
COUNTY OF _____

I, _____, a Notary Public in and for said County, do hereby certify that
_____ and _____

(Insert names of individuals signing on behalf of PRINCIPAL & SURETY)

who are each personally known to me to be the same persons whose names are subscribed to the foregoing instrument on behalf of PRINCIPAL and
SURETY, appeared before me this day in person and acknowledged respectively, that they signed and delivered said instrument as their free and voluntary
act for the uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, A.D. _____.

My commission expires _____

Notary Public

In lieu of completing the above section of the Proposal Bid Form, the Principal may file an Electronic Bid Bond. By signing below the Principal is ensuring
the identified electronic bid bond has been executed and the Principal and Surety are firmly bound unto the State of Illinois under the conditions of the bid
bond as shown above.

Electronic Bid Bond ID# _____ Company/Bidder Name _____ Signature and Title _____

PROPOSAL ENVELOPE



Illinois Department
of Transportation

PROPOSALS

for construction work advertised for bids by the
Illinois Department of Transportation

Item No.	Item No.	Item No.

Submitted By:

Name:
Address:
Phone No.

Bidders should use an IDOT proposal envelope or affix this form to the front of a 10" x 13" envelope for the submittal of bids. If proposals are mailed, they should be enclosed in a second or outer envelope addressed to:

Engineer of Design and Environment - Room 323
Illinois Department of Transportation
2300 South Dirksen Parkway
Springfield, Illinois 62764

NOTICE

Individual bids, including Bid Bond and/or supplemental information if required, should be securely stapled.

CONTRACTOR OFFICE COPY OF CONTRACT SPECIFICATIONS

NOTICE

None of the following material needs to be returned with the bid package unless the special provisions require documentation and/or other information to be submitted.

Contract No. 90730
CLARK County
Section 1RS-4&(25,24,23)RS-3
Project ACNHF-332(70)
Routes FAP 332 & FAS 1658
District 5 Construction Funds



Illinois Department of Transportation



- 1. TIME AND PLACE OF OPENING BIDS.** Sealed proposals for the improvement described herein will be received by the Department of Transportation at the Harry R. Hanley Building, 2300 South Dirksen Parkway, in Springfield, Illinois until 10:00 o'clock a.m., November 7, 2003. All bids will be gathered, sorted, publicly opened and read in the auditorium at the Department of Transportation's Harry R. Hanley Building shortly after the 10:00 a.m. cut off time.
- 2. DESCRIPTION OF WORK.** The proposed improvement is identified and advertised for bids in the Invitation for Bids as:

**Contract No. 90730
CLARK County
Section 1RS-4&(25,24,23)RS-3
Project ACNHF-332(70)
Routes FAP 332 & FAS 1658
District 5 Construction Funds**

14.61 miles of 22 feet and variable width of bituminous resurfacing on Illinois Route 1 and County Highway 5 from Marshall to the Clark/Crawford County Line.

- 3. INSTRUCTIONS TO BIDDERS.** (a) This Notice, the invitation for bids, proposal and letter of award shall, together with all other documents in accordance with Article 101.09 of the Standard Specifications for Road and Bridge Construction, become part of the contract. Bidders are cautioned to read and examine carefully all documents, to make all required inspections, and to inquire or seek explanation of the same prior to submission of a bid.

(b) State law, and, if the work is to be paid wholly or in part with Federal-aid funds, Federal law requires the bidder to make various certifications as a part of the proposal and contract. By execution and submission of the proposal, the bidder makes the certification contained therein. A false or fraudulent certification shall, in addition to all other remedies provided by law, be a breach of contract and may result in termination of the contract.
- 4. AWARD CRITERIA AND REJECTION OF BIDS.** This contract will be awarded to the lowest responsive and responsible bidder considering conformity with the terms and conditions established by the Department in the rules, Invitation for Bids and contract documents. The issuance of plans and proposal forms for bidding based upon a prequalification rating shall not be the sole determinant of responsibility. The Department reserves the right to determine responsibility at the time of award, to reject any or all proposals, to readvertise the proposed improvement, and to waive technicalities.

By Order of the
Illinois Department of Transportation

Timothy W. Martin, Secretary

BD 351 (Rev. 01/2003)

INDEX
FOR
SUPPLEMENTAL SPECIFICATIONS
AND RECURRING SPECIAL PROVISIONS

Adopted January 1, 2003

This sheet contains a listing of SUPPLEMENTAL SPECIFICATIONS, frequently used RECURRING SPECIAL PROVISIONS and LOCAL ROADS AND STREETS RECURRING SPECIAL PROVISIONS.

ERRATA Standard Specifications for Road and Bridge Construction
(Adopted 1-1-02) (Revised 1-1-03)

SUPPLEMENTAL SPECIFICATIONS

<u>Std. Spec. Sec.</u>	<u>Page No.</u>
205 Embankment	1
251 Mulch	2
442 Pavement Patching	3
449 Removal and Replacement of Preformed Elastomeric Compression Joint Seal	4
505 Steel Structures	5
506 Cleaning and Painting Metal Structures	8
512 Piling	9
669 Removal and Disposal of Regulated Substances	10
671 Mobilization	11
702 Work Zone Traffic Control Devices	12
1003 Fine Aggregates	13
1004 Coarse Aggregate	14
1020 Portland Cement Concrete	17
1021 Concrete Admixtures	24
1024 Nonshrink Grout	25
1069 Pole and Tower	27
1070 Foundation and Breakaway Devices	28
1094 Overhead Sign Structures	30

RECURRING SPECIAL PROVISIONS

The following RECURRING SPECIAL PROVISIONS indicated by an "X" are applicable to this contract and are included by reference:

<u>CHECK SHEET #</u>	<u>PAGE NO.</u>
1 X State Required Contract Provisions All Federal-aid Construction Contracts (Eff. 2-1-69) (Rev. 10-1-83)....	31
2 X Subletting of Contracts (Federal-aid Contracts) (Eff. 1-1-88) (Rev. 5-1-93)	33
3 X EEO (Eff. 7-21-78) (Rev. 11-18-80).....	34
4 Specific Equal Employment Opportunity Responsibilities NonFederal-aid Contracts (Eff. 3-20-69) (Rev. 1-1-94)	45
5 Required Provisions - State Contracts (Eff. 4-1-65) (Rev. 4-1-93)	51
6 R.R. Protective Liability Form (Eff. 6-10-58) (Rev. 9-29-67)	56
7 X Asphalt Quantities and Cost Reviews (Eff. 7-1-88)	72
8 X National Pollutant Discharge Elimination System Permit (Eff. 7-1-94) (Rev. 1-1-03)	73
9 Haul Road Stream Crossings, Other Temporary Stream Crossings and In-Stream Work Pads (Eff. 1-2-92) (Rev. 1-1-98)	74
10 X Construction Layout Stakes Except for Bridges (Eff. 1-1-99) (Rev. 1-1-02)	75
11 Construction Layout Stakes (Eff. 5-1-93) (Rev. 1-1-02)	78
12 Use of Geotextile Fabric for Railroad Crossing (Eff. 1-1-95) (Rev. 1-1-97)	81
13 Asphaltic Emulsion Slurry Seal and Fibrated Asphaltic Emulsion Slurry Seal (Eff. 8-1-89) (Rev. 2-1-97)....	83
14 Bituminous Surface Treatments Half-Smart (Eff. 7-1-93) (Rev. 1-1-97)	89
15 X Quality Control/Quality Assurance of Bituminous Concrete Mixtures (Eff. 1-1-00) (Rev. 1-1-02)	95
16 Subsealing of Concrete Pavements (Eff. 11-1-84) (Rev. 2-1-95)	114
17 Bituminous Surface Removal (Cold Milling) (Eff. 11-1-87) (Rev. 10-15-97)	118
18 Resurfacing of Milled Surfaces (Eff. 10-1-95).....	120
19 PCC Partial Depth Bituminous Patching (Eff. 1-1-98)	121
20 Patching with Bituminous Overlay Removal (Eff. 10-1-95) (Rev. 7-1-99).....	123
21 Reserved	125
22 Protective Shield System (Eff. 4-1-95) (Rev. 1-1-03)	126
23 Polymer Concrete (Eff. 8-1-95) (Rev. 1-1-03).....	128
24 X Controlled Low-Strength Material (CLSM) (Eff. 1-1-90) (Rev. 1-1-00).....	130
25 Pipe Underdrains (Eff. 9-9-87) (Rev. 1-1-98).....	135
26 X Guardrail and Barrier Wall Delineation (Eff. 12-15-93) (Rev. 1-1-97)	136
27 Bicycle Racks (Eff. 4-1-94) (Rev. 1-1-97)	141
28 X Give em a Brake Sign (Eff. 8-1-89) (Rev. 8-1-91)	143
29 X Portable Changeable Message Signs (Eff. 11-1-93) (Rev. 2-1-96)	144
30 Reserved	145
31 Night Time Inspection of Roadway Lighting (Eff. 5-1-96)	146
32 Reserved	147
33 English Substitution of Metric Bolts (Eff. 7-1-96)	148
34 English Substitution of Metric Reinforcement Bars (Eff. 4-1-96) (Rev. 1-1-03)	149
35 Polymer Modified Emulsified Asphalt (Eff. 5-15-89)	151
36 Corrosion Inhibitor (Eff. 3-1-80) (Rev. 7-1-99)	153
37 Quality Control of Concrete Mixtures at the Plant-Single A (Eff. 8-1-00) (Rev. 11-1-01)	154
38 Quality Control of Concrete Mixtures at the Plant-Double A (Eff. 8-1-00) (Rev. 11-1-01).....	160
39 Quality Control/Quality Assurance of Concrete Mixtures (Eff. 4-1-92) (Rev. 1-1-02).....	168
40 X Traffic Barrier Terminal Type 1, Special (Eff. 8-1-94) (Rev. 1-1-03)	182
41 Traffic Barrier Terminal Type 3, Special (Eff. 8-1-94) (Rev. 1-1-03)	183
42 X Segregation Control of Bituminous Concrete (Eff. 7-15-97)	184
43 Superpave Bituminous Concrete Mixtures (Eff. 1-1-00) (Rev. 1-1-03)	187

TABLE OF CONTENTS

INTENT OF PROJECT	1
DESCRIPTION OF PROJECT	1
TRAFFIC CONTROL PLAN	2
STAGE CONSTRUCTED ACROSS ROAD STRUCTURES	5
PROTECTION OF PRAIRIE REMNANT AND SAVANNA	7
NON-SPECIAL WASTE WORKING CONDITIONS	7
FLASHING BEACON INSTALLATION SPECIAL PROVISIONS	8
BITUMINOUS BASE COURSE SUPERPAVE OR BITUMINOUS BASE COURSE	
WIDENING, SUPERPAVE 5-355PD3-00	10
BITUMINOUS RESURFACING 5-406PD1-2000	10
BITUMINOUS SURFACE REMOVAL (SPECIAL)	11
BITUMINOUS CONCRETE REMOVAL	11
BITUMINOUS SHOULDER REMOVAL	12
BITUMINOUS CONCRETE SHOULDER REMOVAL	12
BITUMINOUS SURFACE REMOVAL (VARIABLE DEPTH)	13
BITUMINOUS SURFACE REMOVAL (COLD MILLING), SPECIAL	14
CHANGEABLE MESSAGE SIGN 5-701PD1-95	14
BITUMINOUS CONCRETE SURFACE REMOVAL (PROFILING)	15
CLASS D PATCHING 5-442PD4-00	15
CONCRETE GUTTER, TYPE A (SPECIAL)	16
CONCRETE HEADWALL REMOVAL (CUYD)	17
CONCRETE HEADWALL REMOVAL (EACH)	18
CORRUGATED STEEL PIPE TEE, 42" PIPE WITH 12" RISER	18
DELINEATOR REMOVAL	18
EARTH EXCAVATION (SPECIAL)	19
FILLING EXISTING CULVERTS	20
FRAMES AND GRATES, SPECIAL	20
GRANULAR EMBANKMENT, SPECIAL 5-206PD05-01	21
GUARD RAIL REMOVAL AND INSTALLATION	24
GUARDRAIL INSTALLATION TIME	25
MANHOLES, SPECIAL, 6' - DIAMETER	25
PIPE CULVERT REMOVAL	26
PIPE ELBOW, 12"	26
PNEUMATIC-TIRED ROLLER FOR SUPERPAVE	27

POROUS GRANULAR BACKFILL	27
PRECAST CONCRETE BOX CULVERTS	28
RAISED REFLECTIVE PAVEMENT MARKER REMOVAL	28
REMOVE EXISTING CULVERTS	29
REMOVE AND RELAY PIPE CULVERTS	29
REMOVE AND RE-INSTALL END SECTIONS	30
REMOVAL OF EXISTING STRUCTURES NO. 1	30
REMOVAL OF EXISTING STRUCTURES NO. 2	30
REMOVAL OF EXISTING STRUCTURES NO. 3	31
REMOVAL OF EXISTING STRUCTURES NO. 4	31
REMOVAL OF EXISTING STRUCTURES NO. 5	31
REMOVAL OF EXISTING STRUCTURES NO. 7	32
SEEDING	32
SIGN & POST	33
STRINGLINE	33
TEMPORARY DRAINAGE INTO PROPOSED DRAINAGE STRUCTURES	34
STORM SEWER WATERMAIN AND STORM SEWER, RUBBER GASKET, CLASS A	34
TREATMENT OF EXISTING FIELD TILE SYSTEMS	36
STATUS OF UTILITIES	38
ADJUSTING FRAMES AND GRATES (BDE)	41
BITUMINOUS BASE COURSE / WIDENING SUPERPAVE (BDE)	44
BITUMINOUS CONCRETE SURFACE COURSE (BDE)	49
COARSE AGGREGATE FOR TRENCH BACKFILL, BACKFILL AND BEDDING (BDE)	50
CONCRETE ADMIXTURES (BDE)	56
CONTROLLED AGGREGATE MIXING SYSTEM (BDE)	58
CORRUGATED METAL PIPE CULVERTS (BDE)	59
DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION (BDE)	60
EPOXY COATINGS FOR STEEL REINFORCEMENT (BDE)	67
EPOXY COATING ON REINFORCEMENT (BDE)	67
EROSION AND SEDIMENT CONTROL DEFICIENCY DEDUCTION (BDE)	68
EXPANSION JOINTS (BDE)	68
SUBGRADE PREPARATION (BDE)	68
FLAGGER VESTS (BDE)	69
FLUORESCENT ORANGE SHEETING ON DRUMS (BDE)	69
FREEZE-THAW RATING (BDE)	69

HAND VIBRATOR (BDE).....	70
LIGHT EMITTING DIODE (LED) SIGNAL HEAD (BDE).....	70
PARTIAL PAYMENTS	72
PAYMENTS TO SUBCONTRACTORS.....	73
PLACEMENT OF ARROW BOARDS (BDE)	74
PORTLAND CEMENT CONCRETE (BDE)	74
PRECAST CONCRETE (BDE)	75
PREFORMED RECYCLED RUBBER JOINT FILLER (BDE)	76
RAP FOR USE IN BITUMINOUS CONCRETE MIXTURES (BDE)	77
REMOVE AND RE-ERECT STEEL PLATE BEAM GUARDRAIL AND TRAFFIC BARRIER TERMINALS.....	80
STABILIZED SUBBASE AND BITUMINOUS SHOULDERS SUPERPAVE (BDE)	81
SUPERPAVE BITUMINOUS CONCRETE MIXTURES (BDE)	86
TEMPORARY EROSION CONTROL (BDE)	92
TRAFFIC CONTROL DEFICIENCY DEDUCTION (BDE)	93
TRAINING SPECIAL PROVISIONS.....	94
VERTICAL BARRICADES (BDE).....	96
WEIGHT CONTROL DEFICIENCY DEDUCTION	97
WORK ZONE TRAFFIC CONTROL DEVICES (BDE).....	99
WORKING DAYS (BDE)	101
STORM WATER POLLUTION PREVENTION PLAN	102
NATIONWIDE 404 PERMIT	108

STATE OF ILLINOIS

SPECIAL PROVISIONS

The following Special Provisions supplement the "Standard Specifications for Road and Bridge Construction," adopted January 1, 2002, the latest edition of the "Manual on Uniform Traffic Control Devices for Streets and Highways," and the "Manual of Test Procedures for Materials" in effect on the date of invitation for bids, and the Supplemental Specifications and Recurring Special Provisions indicated on the Check Sheet included herein which apply to and govern the construction of FAP Route 332 and FAS Route 1658 (IL Route 1 & CH 5), Project ACNHF-0332(070) Section 1RS-4 & (25,24,23)RS-3, in Clark County and in case of conflict with any part or parts of said Specifications, the said Special Provisions shall take precedence and shall govern.

INTENT OF PROJECT

The intent of this project is to resurface the existing pavement on FAP 332, which will improve ride quality and skid resistance while extending service life of the pavement structure. The project's northern limit is the south edge of Marshall, extending 14.65 miles south to the Clark/Crawford County line. The intent of this project is also to eliminate the reoccurrence of several high water locations, replace A.R. culverts that are undersized or are in poor condition, and improve drainage through West Union, resulting in increased pavement life and improved safety. Other safety improvements include work at entrance and sideroad culverts, guardrail upgrades, the correction of superelevation transitions, and shoulder widening where ADT exceeds 3000. A Jurisdictional Transfer of 0.48 miles of FAS 1658/County Highway 5 thru West Union will also be conducted.

Appropriate measures will be taken by the contractor to preserve and protect the surrounding environment and to keep FAP 332 open to traffic at all times with limited disruption to the flow of traffic.

DESCRIPTION OF PROJECT

The work included in this section consists primarily of: 1) Patching the existing pavement; 2) Replacing A.R. culverts that are deteriorated or undersized; 3) Shoulder widening from Marshall to the Lincoln Trail State Park; 4) Bituminous Base Course Widening on the inside of all curves; 5) Ditch reprofiling and regrading at reoccurring high water locations and where shoulder widening will require earthwork; 6) Profile grade change from Sta. 399+00 to 412+00; 7) Correcting superelevation transitions in curves; 8) Safety improvements at all entrance, sideroad, and A.R. culverts; 9) Constructing foreslope embankment and guardrail upgrades between Sta. 477+00 and 515+00; 10) Constructing 5' wide bituminous shoulder and concrete "v"-gutter and entrances through West Union; 11) Storm sewer construction in West Union; 12) Sidewalk construction at designated locations along FAP 332 (Route 1) and FAS 1658 / County Highway 5 (Union St.) through West Union; 13) Drainage improvements near the intersection of FAS 1658 and Walnut St.; 14) Flashing beacon modernization at the intersection of FAP 332 and FAS 1658; 15) 2¼" resurfacing on FAP 332; 16) 1½" resurfacing on FAS 1658; 17) Placement of aggregate shoulders; 18) Restore pavement markings; 19) All other items necessary to complete construction of this project.

TRAFFIC CONTROL PLAN

Eff. 09-11-90

Rev.: 01-06-99

Traffic control shall be in accordance with the applicable sections of the Standard Specifications for Road and Bridge Construction, the applicable guidelines contained in the Illinois Manual on Uniform Traffic Control Devices for Streets and Highways, these Special Provisions and any special details and highway standards contained herein and in the plans.

Special attention is called to Articles 107.09 and 107.14 of the Standard Specifications, the following Highway Standards relating to Traffic Control, and the listed Supplemental Specifications and Recurring Special Provisions.

Highway Standards:	701001	701006	701011	701201	701206
	701301	701306	701311	701326	701501
	701801	702001			

Plan Detail: Typical Application of Traffic Control Devices for Side Street Closure

Traffic: It is the intention of the Department that FAP Route 332 (IL Route 1) be kept open to traffic at all times during the construction of this section. One-way traffic will be permitted in the immediate work areas during construction. At all other times, two-way traffic shall be maintained throughout the project.

At any particular location, the Contractor shall work on only one side of the pavement at a time and shall keep all equipment, materials and vehicles off the pavement, the shoulder, and right-of-way on the side of the pavement open to traffic.

The Contractor shall provide and maintain access to commercial and private properties abutting the highway being improved in accordance with Article 107.09 of the Standard Specifications. Access to commercial property shall at no time be shut off completely and at no time shall a private entrance be closed for an extended period of time as determined by the Engineer.

Minor side streets in West Union may be closed to traffic only for the time necessary to construct the proposed storm sewers across them, the p.c. concrete returns, and the bituminous transitions. During the periods of closure the Contractor shall provide traffic control devices in accordance with the Typical Applications of Traffic Control devices for Side Street Closures.

Minor side streets include North St., Washington St., and Donham St.

The Contractor shall close alternating side streets so as to keep disruption to traffic at a minimum. The Contractor shall schedule his operations on side streets closed to all traffic as to keep the time of closure to a minimum. The Contractor shall open the side street after each successive operation.

The following side streets will be constructed in half-widths to maintain access at all times: Union St. and Angling Rd. (County Highway 5).

Traffic Control in West Union between Sta. 670+60 and 672+80 will require the proper sequencing of construction activities. The existing surface in this location is to be milled down to the original PCC Pavement. The contractor will be required to place the Binder Course between Sta. 670+60 and 672+80 immediately following this milling operation. In no case will traffic be allowed to drive on the original bare PCC pavement.

The following traffic control standards shall be utilized during, but not limited to, the listed construction operations:

TRAFFIC CONTROL AND PROTECTION, STANDARD 701001

Traffic Control and Protection, Standard 701001 shall be used for the operations of earthwork, seeding, and any other construction operation that do not encroach closer than 5 m (15 ft.) from the edge of pavement. Traffic Control and Protection, Standard 701001 will not be measured for payment in accordance with Article 701.07(a) of the Standard Specifications.

TRAFFIC CONTROL AND PROTECTION, STANDARD 701006

Traffic Control and Protection, Standard 701006 shall be used for the operations of guardrail, culvert extensions, headwall work, seeding and any other construction operation that do not encroach closer than 600 mm (2 ft.) from the edge of pavement. Traffic Control and Protection, Standard 701006 will not be measured for payment in accordance with Article 701.07(a) of the Standard Specifications.

TRAFFIC CONTROL AND PROTECTION, STANDARD 701011

Traffic Control and Protection, Standard 701011 shall be used for any off-road moving operations such as seeding, grading and cutting ditches. Traffic Control and Protection, Standard 701011 will not be measured for payment in accordance with Article 701.07(a) of the Standard Specifications.

TRAFFIC CONTROL AND PROTECTION, STANDARD 701201

Traffic Control and Protection, Standard 701201 shall be utilized during pavement patching. Work shall be completed in accordance with Standard 701201 except the distance between the flagger sign and the flagger shall be a minimum distance of 60 m (200 ft.) and the maximum distance shall be determined by the Engineer, but should not exceed $\frac{1}{2}$ the length required for one normal working day's operation or 3200 m (2 miles), whichever is less.

Traffic Control and Protection, Standard 701201 shall also be utilized during storm sewer improvements, across road culvert installation, guardrail operations, and any other operations encroaching within 600 mm (2') of the edge of pavement.

Traffic Control and Protection, Standard 701201 will be measured for payment on a lump sum basis and paid for at the contract lump sum price for TRAFFIC CONTROL AND PROTECTION, STANDARD 701201.

TRAFFIC CONTROL AND PROTECTION, STANDARD 701206 (SPECIAL)

TRAFFIC CONTROL AND PROTECTION, STANDARD 701206 (SPECIAL) shall be utilized during the construction of the precast box culvert located at Station 238+94. Layout of Standard 701206 (Special) shall be in accordance with Standard 701206 except that drums will be required. Drums at the stage construction lines shall be placed at 10 feet centers and shall extend at least 20 feet beyond the work area. Changeable Message Signs will also be required during hours of darkness a distance of 1500' prior to the Road Construction Ahead signs and at locations deemed necessary by the Resident Engineer.

The Contractor's operations will be scheduled so that the removal and new construction can be completed in half widths with both lanes of IL Route 1 open to traffic as soon as possible and prior to weekend traffic.

Work on the culvert shall not stop, but shall continue around the clock until the roadway can be reopened to two-way, two-lane traffic. The site will be adequately lighted (work area totally illuminated with the lights a minimum of 20 feet above the ground) to allow approaching traffic to see the flagger and workers. Task lighting used to illuminate the site shall be directed in such a manner that workers can be seen without blinding the flaggers, workers, and approaching traffic. The flaggers shall wear reflective clothing in accordance with Article 701.04(c)(6) of the Standard Specifications.

This work will be paid for at the contract unit price each for TRAFFIC CONTROL AND PROTECTION STANDARD 701206 (SPECIAL) per each location. Changeable Message Signs will be paid for at the contract unit price per calendar day for CHANGEABLE MESSAGE SIGNS.

TRAFFIC CONTROL AND PROTECTION, STANDARD 701301

Traffic Control and Protection, Standard 701301 shall be used for marking patches, minor cleanup operations, and other short term operations of less than 60 minutes occurring between the centerline and a line 2 feet outside the edge of pavement. Traffic Control and Protection, Standard 701301 will not be measured for payment in accordance with Article 701.07(a) of the Standard Specifications.

TRAFFIC CONTROL AND PROTECTION, STANDARD 701306

Traffic Control and Protection, Standard 701306 shall be utilized during pavement priming, resurfacing, milling operations, incidental bituminous resurfacing, placing aggregate shoulders and any other slow moving operations. Special attention is called to Article 701.04(b) where reference is made to the protection of unattended obstacles or excavations in the work area. Traffic Control and Protection, Standard 701306 will be measured for payment on a lump sum basis and paid for at the contract lump sum price for TRAFFIC CONTROL AND PROTECTION, STANDARD 701306.

TRAFFIC CONTROL AND PROTECTION, STANDARD 701311

Traffic Control and Protection, Standard 701311 shall be used for pavement marking operations, debris cleanup, and any other continuous moving operations where the average speed is greater than 3 mph. A flagger will be required when workers are on the pavement. Traffic Control and Protection, Standard 701311 will not be measured for payment in accordance with Article 701.07(a) of the Standard Specifications.

TRAFFIC CONTROL AND PROTECTION, STANDARD 701326

Traffic Control and Protection, Standard 701326 shall be utilized during the excavation and construction of safety shoulders, bituminous concrete base course widening, and the bituminous shoulders. Special attention is called to Article 701.04(b) where reference is made to the protection of unattended obstacles or excavations in the work area. The length of excavation remaining open during non-working hours adjacent to the existing or widened pavement shall be determined by the Engineer. The excavation shall not exceed one-half day's normal operations and none will be permitted where visibility is limited due to road conditions such as curves and no passing zones. Open trench areas remaining during non-working hours shall be protected in accordance with Article 701.04(b)(3) Paragraph 2 of the Standard Specifications. No additional compensation will be allowed the contractor for compliance with the requirements of this Special Provision.

Traffic Control and Protection, Standard 701326 will be measured for payment on a lump sum basis and paid for at the contract lump sum price for TRAFFIC CONTROL AND PROTECTION, STANDARD 701326.

TRAFFIC CONTROL AND PROTECTION, STANDARD 701501

Traffic Control and Protection, Standard 701501 shall be used for patching, shoulder widening, milling, resurfacing, sewer construction, and any other operations requiring a lane closure in the two lane areas of construction through West Union and as directed by the Engineer. Special attention is called to Article 701.04(b) where reference is made to the protection of unattended obstacles or excavations in the work area. Traffic Control and Protection, Standard 701501 will be measured for payment on a lump sum basis and paid for at the contract lump sum price for TRAFFIC CONTROL AND PROTECTION, STANDARD 701501.

TRAFFIC CONTROL AND PROTECTION, STANDARD 701801

Traffic Control and Protection, Standard 701801 shall be used where sidewalk access will be closed due to the hindrance or unsafe conditions to pedestrian traffic. Traffic Control and Protection, Standard 701801 will be measured for payment on a lump sum basis and paid for at the contract lump sum price for TRAFFIC CONTROL AND PROTECTION, STANDARD 701801.

STAGE CONSTRUCTED ACROSS ROAD STRUCTURES

5-442PD20-94
Eff. 09-11-90
Rev.: 01-01-97

Across road structures shall be constructed in half lengths at locations as designated by the Engineer. During this operation, one-way traffic shall be permitted.

These structures shall be constructed in half lengths and in accordance with applicable portions of Article 442.04 of the Standard Specifications.

The following table gives an estimate of time to construct the A.R. structures at each location. The Traffic Control Standard to use at each location is also summarized.

A.R. CULVERT LOCATION	TRAFFIC CONTROL STANDARD	ESTIMATED TIME	CHANGEABLE MESSAGE SIGNS
STA. 238+94	701206 (SPECIAL)	3.5 Days – 84 hrs. – Non-Stop (4) – 12 hr. Day Shifts (3) – 12 hr. Night Shifts	8.0 CAL DAY (Two directions)
STA. 246+37	701201	24 hrs. – (2) – 12 hr. Day Shifts	N.A.
STA. 268+71	701201	24 hrs. – (2) – 12 hr. Day Shifts	N.A.
STA. 342+98	701201	24 hrs. – (2) – 12 hr. Day Shifts	N.A.
STA. 404+33	701201	24 hrs. – (2) – 12 hr. Day Shifts	N.A.
STA. 568+62	701201	24 hrs. – (2) – 12 hr. Day Shifts	N.A.
STA. 752+97	701201	24 hrs. – (2) – 12 hr. Day Shifts	N.A.

Pay Items

TRAFFIC CONTROL AND PROTECTION, STANDARD 701206 (SPECIAL) – 1 EACH
TRAFFIC CONTROL AND PROTECTION, STANDARD 701201 – 1 L. SUM
CHANGEABLE MESSAGE SIGNS – 8 CAL DAY

This work shall be accomplished by laying the first half length of structure, backfilling to the top of the sub-grade with porous granular backfill (CA-6), and then placing either a permanent or temporary patch. Permanent patches shall conform to the applicable portions of Section 442 of the Standard Specifications and to these Special Provisions. Temporary patches shall consist of seven inches of CA-6 compacted to the satisfaction of the Engineer and graded to within 50 mm (two inches) below the existing surface, and a 50 mm (two inch) lift of bituminous material meeting the approval of the Engineer used for the top 50 mm (two inch) left. The patches shall be placed before opening to traffic.

If temporary patches are used, they will be placed, maintained, and removed as directed by the Engineer at no additional cost to the State.

Temporary patches will not be paid for separately but will be considered included in the across road structure involved. Any delays or inconveniences caused the Contractor due to complying with this requirement will be considered incidental to the contract and no additional compensation will be allowed.

PROTECTION OF PRAIRIE REMNANT AND SAVANNA

In order to preserve several areas of prairie and savanna along Illinois Route 1, the contractor will be required to construct temporary fencing and erect "NO INTRUSION" signage along existing / proposed permanent easements and along temporary easements at the locations designated in the plans and as specified by the engineer.

In addition, under no circumstances will any staging, parking or maneuvering of machinery, storage of equipment or materials, or any other disturbances by the contractor be allowed on the east side of Route 1 from LT. Sta. 295+00 to 650+00. The only exception to this is at the three locations of temporary easement obtained across the abandoned railroad embankment for the purpose of restoring the natural course of drainage. The contractor will disturb only those areas within the temporary easement necessary to complete the proposed work to the line and grade shown in the plans. The contractor will not be allowed outside the limits of the temporary easement and temporary fencing.

This work will be paid for at the contract unit price per foot for TEMPORARY FENCE and at the contract unit price each for SIGN AND POST.

NON-SPECIAL WASTE WORKING CONDITIONS

This work shall be according to Article 669 of the Standard Specifications for Road and Bridge Construction adopted January 1, 2002 and the following:

Qualifications. The term environmental firm shall mean an environmental firm with at least five (5) documented leaking underground storage tank (LUST) cleanups or that is prequalified in hazardous waste by the Department. Documentation includes but not limited to verifying remediation and special waste operations for sites contaminated with gasoline, diesel, or waste oil in accordance with all Federal, State, or local regulatory requirements and shall be provided to the Engineer for approval.

General. Implementation of this Special Provision will likely require the Contractor to subcontract for the execution of certain activities. It will be the Contractor's responsibility to assess the working conditions and adjust anticipated production rates accordingly.

The Contractor shall manage all contaminated materials as non-special waste as previously identified. This work shall include monitoring and potential sampling, analytical testing, and management of petroleum contaminated material. **The generator number for _____ County is _____.**

The Contractor shall excavate and dispose of any soil classified as a non-special waste as directed by this project or the Engineer. Any excavation or disposal beyond what is required by this project or the Engineer shall be at the Contractor's expense. The preliminary site investigation (PSI) report, available through the District's Environmental Studies Unit, estimated the excavation quantity of non-special waste at the following location. The information available at the time of plan preparation determined the limits of the contamination and the quantities estimated were based on soil excavation for construction purposes only. The lateral distance is measured from centerline and the farthest distance is the offset distance or construction limit which ever is less. The Environmental Firm shall continuously monitor for worker protection and the Contractor shall manage and dispose of all soils excavated within the following areas as classified below.

1. Station 67+16 to Station 670+68 \pm 0 to 35 feet RT (Vacant Building #6, Former UST Site – IL 1) - non-special waste.

Although the above areas contain contaminated soil, the Environmental Firm must continuously monitor for worker protection and soil contamination at the following areas.

1. Station 670+10 to Station 670+75 \pm 0 to 35 feet RT (Vacant Building #6, Former UST site – IL 1). Contaminants of concern sampling parameters: TCLP Lead.

Basis of Payment. LEAD TCLP SOIL ANALYSIS using an ICP instrument and EPA Methods 1311(extraction) and 6010B will be paid for at the contract unit price per EACH. This price shall include transporting the sample from the job site to the laboratory.

FLASHING BEACON INSTALLATION SPECIAL PROVISIONS

DAMAGE TO EQUIPMENT: Any equipment damaged by the Contractor in his operations shall be replaced by him at his own expense, and no additional compensations will be allowed.

ELECTRIC CABLE: All signal and service cable shall be tagged with wiring identification markers at each point of access. All handholes, gulfbox junctions and controller cabinet shall be considered as points of access.

Wiring identification markers shall be in accordance with Article 1066.07 of the Standard Specifications.

Breakaway electrical connections shall be installed in the PVC junction box mounted on the wood sign posts as shown in the detail in the plans.

The cost associated with this compliance shall be considered as included in the contract unit price per FOOT for ELECTRIC CABLE of the size and type specified.

POLYCARBONATE SIGNAL HEADS: Steel reinforcement plates shall be installed in the interior and exterior of the signal head according to the detail shown in the plans.

All indications shall be LED.

Compliance with this special provision will be considered as included in the contract unit price, EACH, for POLYCARBONATE SIGNAL HEADS of the type specified and no additional compensation will be allowed.

PVC CONDUIT: This work shall consist of furnishing and installing a conduit of the type and size specified, in accordance with Section 810 of the Standard Specifications except as described herein.

The substitution of Polyethylene duct conduit in place of PVC conduit, augered or in trench, of the size specified in the plans is permitted with no change in compensation of this item.

The term augered shall cover both the pushed and bored method of installing the conduit. Because of differences in the equipment and techniques, the contractor may use either method to install the conduit for the item AUGERED.

If the contractor chooses to install conduit runs designated as trenched in the plans by augering, payment shall be at the contract unit price per FOOT for CONDUIT IN TRENCH of the size specified along with trench and backfill for this work.

When PVC Conduit is required to be spliced to steel conduit sections, a heavy wall set screw connector with a PVC female adapter shall be installed and sealed by duct seal and plastic tape.

A PVC junction box shall be installed according to the detail shown in the plans. It shall be placed in the same location as the predrilled holes in the wooden sign post. The intent is to provide for a breakaway point for the post, conduit and cable.

A 6 mm (1/4") polypropylene pull rope shall be installed in all conduit runs exceeding 6 m (20 feet). A minimum of 600 mm (2 feet) of rope shall be provided at each end of a conduit run. This work will be paid for at the contract unit price per FOOT for PVC CONDUIT, of the size and type specified. Trench and backfill when required will be paid for separately.

REMOVE FLASHING BEACON INSTALLATION COMPLETE: This work shall consist of the removal of the flashing beacon installation complete at IL Route 1 & Anglin Rd. This entails the dismantling of the span wire mounted flashers, removal of the existing service installation and flasher controller.

Any additional miscellaneous equipment which the Engineer requires to be removed will also be included in this pay item. Items removed shall become the property of the Contractor, except as noted on the plans.

Payment for complying with this Special Provision will be at the contract unit price EACH for REMOVE FLASHING BEACON INSTALLATION COMPLETE, and no additional compensation will be allowed.

SIGNS TO BE INSTALLED BY OTHERS: Prior to installing the flashing beacon installation the Contractor shall notify the Department's Traffic Operations Engineer at least 2 weeks in advance for advanced warning and stop sign installations.

**BITUMINOUS BASE COURSE SUPERPAVE OR BITUMINOUS BASE COURSE WIDENING,
SUPERPAVE** 5-355PD3-00

Eff. 11-08-2000
Rev. 04-02-2001

This work shall be according to Section 355 for Base Course, or Section 356 for Base Course Widening, except the mixtures and materials shall be according to the requirements for Superpave Bituminous Concrete Mixtures included elsewhere in this contract.

Unless otherwise shown in the contract, either the IL-19.0 or IL-25.0 gradation will be allowed. Further, unless otherwise shown in the contract, the performance graded asphalt cement and number of design gyrations for the mixture shall be the same as for other resurfacing mixtures specified to overlay the Base Course or Base Course Widening shown in the plans. Polymer modified asphalt cement will not be required for the Base Course or Base Course Widening.

Construction Requirement and Method of Measurement shall apply according to Sections 355 or 356 per the pay item involved.

This work will be paid for at the contract unit price per square meter (square yard) for BITUMINOUS BASE COURSE SUPERPAVE or BITUMINOUS BASE COURSE WIDENING, SUPERPAVE, of the thickness specified.

BITUMINOUS RESURFACING 5-406PD1-2000

Eff. 01/27/2000

Revise the first paragraph of Article 406.18 as follows:

“406.18 Butt Joints. When butt joints are to be constructed under traffic, the Contractor shall provide and maintain temporary bituminous ramps at both upstream and downstream ends of the area removed. The Contractor shall have sufficient bituminous material meeting the approval of the Engineer at the worksite to construct the ramps before beginning the pavement surface removal. Surface removal will be according to Section 440. Cold-milled bituminous tailings will not be acceptable for ramps. The temporary ramps shall be constructed immediately upon completion of the removal operation and the area between shall be leveled and filled as necessary. For Interstates and Expressways with posted speed limits of 55 mph or greater, ramps shall be constructed of hot mix bituminous concrete surface course or binder course meeting the approval of the Engineer, and shall have a minimum taper rate of 1:120 (V:H). Ramps for other roadways shall have a minimum taper rate of 1:40 (V:H). The temporary ramps shall be removed prior to placing the proposed surface course.”

Add the following paragraph to Article 701.05 (c)(3):

“Lane Dropoff Signs. When resurfacing operations result in a vertical differential along the longitudinal joint between lifts, or along the shoulder, the Contractor shall post Shoulder Dropoff Signs (W8-9a(O)-48). These signs shall be erected a minimum of 150 m (500') in advance of the location of the vertical differential. These signs shall be used on all roads with a posted speed limit greater than 45 mph.”

BITUMINOUS SURFACE REMOVAL (SPECIAL)

5-440PD3-95

Eff. 06-06-95

Rev 12-18-98

This work shall consist of partial removal of bituminous surfaces from existing entrances, mailbox turnouts, and/or sideroads. The work is intended to aid in matching the incidental resurfacing to the new pavement resurfacing and to the existing entrance, mailbox turnout, or sideroad. This work is also intended to aid in holding the shoulder slope through positive grade sideroads, for the purposes of safety and runoff control from sideroads. The required depth(s) of cut may vary from one location to another, but shall be generally as shown in the plans.

This work shall be done in accordance with the applicable portions of Section 440 and Article 440.03 of the Standard Specifications, and as shown on the plans.

This work will be measured in square meters of surface area, and will be paid for at the contract unit price per square meter for BITUMINOUS SURFACE REMOVAL (SPECIAL).

BITUMINOUS CONCRETE REMOVAL

Description. This work shall consist of the removal and satisfactory disposal of the bituminous materials, as specified herein, at locations shown on the plans and in accordance with applicable portions of Section 440 of the Standard Specifications.

General. This work shall consist of the removal of existing oil and chip surfaces and stabilized bituminous materials at specified sidestreets in West Union and for the "Y" township road at the end of the project. Removal of material in West Union shall be to a depth necessary to complete the sidestreet return construction as specified in the plans. Complete removal of material at the end of the project will be required to allow for final grading / blading and seeding of exposed earth.

Method of Measurement. Bituminous Concrete Removal will be measured for payment in place and the area computed in square yards for each specified area of material removed.

Basis of Payment. This work will be paid for at the contract unit price per square yard for BITUMINOUS CONCRETE REMOVAL, which price shall include all equipment, material, labor, and other items required for the complete removal and disposal of the bituminous material.

BITUMINOUS SHOULDER REMOVAL

Description. This work shall consist of the removal and satisfactory disposal of the existing safety shoulder, as specified herein, at locations shown on the plans and in accordance with applicable portions of Section 440 of the Standard Specifications.

General. The existing safety shoulder on the inside of all curves shall be completely removed to allow for the placement of Bituminous Concrete Base Course Widening, 9". At limited locations in West Union, the existing safety shoulder shall be completely removed to allow for the placement of new safety shoulders.

By review of as-built construction plans, the existing safety shoulder is approximately 18" (1.5 ft.) wide and 7.5" thick. In addition, as-built plans indicate that Type B Gutter had existed at various locations throughout the inside of curves and has been overlaid with approximately 5" of bituminous material. Removal of material in these areas would be approximately 21" (1.75 ft.) wide and 11" thick, and will also be included in BITUMINOUS SHOULDER REMOVAL.

Method of Measurement. Bituminous Shoulder Removal will be measured for payment in feet along the edge of pavement.

Basis of Payment. This work will be paid for at the contract unit price per foot for BITUMINOUS SHOULDER REMOVAL, which price shall include all equipment, material, labor, and other items required for the complete removal and disposal of the shoulder material. No additional compensation will be allowed for variations in the shoulder material dimensions.

BITUMINOUS CONCRETE SHOULDER REMOVAL

Description. This work shall consist of the removal and satisfactory disposal of the bituminous materials, as specified herein, at locations shown on the plans and in accordance with applicable portions of Section 440 of the Standard Specifications.

General. This work shall consist of the removal of the existing bituminous concrete shoulder "wedge" through West Union. Bituminous shoulders through West Union are typically 6' wide. Thickness of bituminous material at the edge of pavement (11' offset) is approximately 6" and tapers down to less than 1" at the edge of shoulder (typically 17' offset). Any earthwork required under the bituminous shoulder "wedge" to allow for the proposed construction will be paid for as Earth Excavation as shown in the plans.

Method of Measurement. Bituminous Concrete Shoulder Removal will be measured for payment in place and the area computed in square yards for the specified areas of material removed.

Basis of Payment. This work will be paid for at the contract unit price per square yard for BITUMINOUS CONCRETE SHOULDER REMOVAL, which price shall include all equipment, material, labor, and other items required for the complete removal and disposal of the bituminous material. No additional compensation will be allowed for variations in the bituminous shoulder "wedge" thickness.

BITUMINOUS SURFACE REMOVAL (VARIABLE DEPTH)

Description. This work shall consist of the milling and satisfactory disposal of the bituminous materials, as specified herein, at locations shown on the plans and in accordance with applicable portions of Section 440 of the Standard Specifications.

General. Bituminous Surface Removal (Variable Depth) shall consist of milling of the existing bituminous surface from Sta. 656+40 to 675+00 to establish the proposed profile and cross slope as shown in the plans. Milling between Sta. 670+60 to 672+80 will be down to the original PCC Pavement. The contractor will be required to place the Binder Course between Sta. 670+60 and 672+80 immediately following this milling operation. In no case will traffic be allowed to drive on the original bare PCC pavement.

Care shall be taken when milling between Sta. 656+40 to 660+61.15 to establish the profile, curve data, and superelevation attainment data provided in the plans.

The Contractor shall have two options for the machine(s) used for Bituminous Surface Removal (Variable Depth) on the through traffic lanes on this job:

1. The machine shall be capable of removing a layer of bituminous material at least 3.6 m (12 ft.) in width and 40 mm (1-1/2 inches) in depth in a single pass.

OR

2. The machine shall be capable of removing a layer of bituminous material at least 1.8 m (6 ft.) in width and 40 mm (1-1/2 inches) in depth in a single pass. If this option is used, the second pass shall be made immediately following the completion of the first pass, or two machines shall be operated in tandem.

Method of Measurement. Bituminous Surface Removal (Variable Depth) will be measured for payment in place and the area computed in square yards for the specified areas as shown on the Removal Sheets.

Basis of Payment. This work will be paid for at the contract unit price per square yard for BITUMINOUS SURFACE REMOVAL (VARIABLE DEPTH), which price shall include all equipment, material, labor, and other items required for the milling and disposal of the bituminous material.

BITUMINOUS SURFACE REMOVAL (COLD MILLING), SPECIAL

Description. This work shall consist of the milling and satisfactory disposal of the bituminous materials, as specified herein, at locations shown on the plans and in accordance with applicable portions of Section 440 of the Standard Specifications.

General. Bituminous Surface Removal (Cold Milling), Special will be required at locations throughout horizontal curves where a 0.75" lift of leveling binder would not be able to be maintained under existing conditions when following the proposed curve data. Milling depth should be 0.00" at the centerline and then variable depth across the width of the lane based on following the curve data and superelevation attainment data provided in the plans. Beginning and ending stations for this operation are provided by the schedule in the plans.

The Contractor shall have two options for the machine(s) used for Bituminous Surface Removal (Cold Milling), Special for establishing the proper superelevations and superelevation transitions on the horizontal curves throughout this job:

1. The machine shall be capable of removing a layer of bituminous material at least 3.6 m (12 ft.) in width and 40 mm (1-1/2 inches) in depth in a single pass.

OR

2. The machine shall be capable of removing a layer of bituminous material at least 1.8 m (6 ft.) in width and 40 mm (1-1/2 inches) in depth in a single pass. If this option is used, the second pass shall be made immediately following the completion of the first pass, or two machines shall be operated in tandem.

Method of Measurement. Bituminous Surface Removal (Cold Milling), Special will be measured for payment using the beginning and ending stations provided by the schedule in the plans and the area computed in square yards. Between the beginning and ending stations provided by the schedule in the plans, no deductions will be made for areas traversed by the milling machine where the teeth do not touch the pavement as long as the work is performed as directed by the engineer.

Basis of Payment. This work will be paid for at the contract unit price per square yard for BITUMINOUS SURFACE REMOVAL (COLD MILLING), SPECIAL which price shall include all equipment, material, labor, and other items required for the milling and disposal of the bituminous material.

CHANGEABLE MESSAGE SIGN 5-701PD1-95

Eff. 05-26-95

Rev.: 01-01-2002

Replace the last paragraph of Check Sheet #29 of the Supplemental Specifications with the following:

"The furnishing, placing, and maintaining of each Portable Message Sign shall be paid for at the unit price per CALENDAR DAY for CHANGEABLE MESSAGE SIGN. Any portion of one calendar day during which the sign is operated as directed by the Engineer shall be paid as one full calendar day."

BITUMINOUS CONCRETE SURFACE REMOVAL (PROFILING)

Description. This work shall consist of the milling and satisfactory disposal of the bituminous materials, as specified herein, at locations shown on the plans and in accordance with applicable portions of Section 440 of the Standard Specifications.

General. Bituminous Concrete Surface Removal (Profiling) shall consist of the milling of the existing bituminous parking lanes on Union St. at the locations designated in the plans. Parking lanes between Rt. & Lt. Sta. 4004+73 and 4007+27 will be milled to establish the proposed flowline profile, grade, and offset as shown in the plans. Parking lanes at all other locations shall be milled to facilitate drainage. Parking lanes are to consist of 2" of incidental resurfacing as requested by the local agencies when coordinating the terms of the Jurisdictional Transfer.

Method of Measurement. Bituminous Concrete Surface Removal (Profiling) will be measured for payment in place and the area computed in square yards for the specified areas to be milled.

Basis of Payment. This work will be paid for at the contract unit price per square yard for BITUMINOUS CONCRETE SURFACE REMOVAL (PROFILING), which price shall include all equipment, material, labor, and other items required for the milling and disposal of the bituminous material.

CLASS D PATCHING 5-442PD4-00

Eff. 11-08-2000

This work shall be according to Section 442 for Class D Patching except the mixtures and materials shall be according to the requirements for Superpave Bituminous Concrete Mixtures included elsewhere in this contract.

Unless otherwise shown in the contract, the performance graded asphalt cement and number of design gyrations for the mixture shall be the same as for other resurfacing mixtures specified to overlay the patching shown in the plans. Polymer modified asphalt cement will not be required for the Class D Patching.

Construction Requirement and Method of Measurement shall apply according to Section 442.

This work will be paid for at the contract unit price per square meter (square yard) for CLASS D PATCHES of the type and thickness specified.

CONCRETE GUTTER, TYPE A (SPECIAL)

Description. This work shall consist of constructing a special Type A concrete gutter as specified herein, at the locations shown on the plans, and in accordance with the applicable portions of Section 606 of the Standard Specifications.

General. The proposed Concrete Gutter, Type A (Special) shall be constructed with the cross sectional dimensions shown on the details in the plans. The cross section for the Type A Gutter Special was specifically designed to:

- ◆ maximize hydraulic capacity in the gutter section,
- ◆ minimize the entrance breakover between the Type A Gutter/Entrance and driveway pavement,
- ◆ improve ride quality through the Type A Gutter/Entrance;

therefore no changes in the gutter cross section will be allowed.

In addition, all joints and reinforcing steel for Concrete Gutter, Type A (Special), shall conform to the details shown in the plans, the applicable details of Standard 606001-01 (for curb and gutter), and the applicable portions of Section 606 of the Standard Specifications.

Method of Measurement. Concrete Gutter, Type A (Special) will be measured for payment in feet along the flow line of the gutter. Concrete inlets, entrances and outlets along the gutter section will be measured for payment in cubic yards.

Basis of Payment. This work will be paid for at the contract unit price per foot for CONCRETE GUTTER, TYPE A (SPECIAL) of the dimensions specified. Concrete inlets, entrances, and outlets along the gutter section will be paid for as CLASS SI CONCRETE (OUTLET).

CONCRETE HEADWALL REMOVAL (CUYD)

Description. This work shall consist of the removal and satisfactory disposal of existing portions of concrete headwalls, as specified herein, at locations shown on the plans and in accordance with applicable portions of Section 501 of the Standard Specifications.

General. Where existing box culverts are to be extended, or left in place and filled with controlled low-strength material, the Contractor shall remove such portions of the existing headwalls, wingwalls and barrel at the ends to be extended or filled as indicated on the plans or as determined necessary by the engineer. The existing headwalls and wingwalls shall be removed either down to the top of existing box culvert or to 300 mm (1 ft.) below the proposed elevation of the subgrade.

Any excavation made by the Contractor for the removal shall be replaced. The excavated space shall be filled with material satisfactory to the Engineer and placed in accordance with Section 205 by and at the expense of the Contractor.

Materials resulting from the partial removal of existing headwalls, wingwalls, and barrels shall be disposed of as specified in Article 202.03.

Method of Measurement. Concrete Headwall Removal shall be measured for payment in place and the volume computed in cubic meters (cubic yards) of concrete to be removed.

Basis of Payment. This work will be paid for at the contract unit price per cubic meter (cubic yard) for CONCRETE HEADWALL REMOVAL, which price shall include all equipment, material, labor, and other items required for the complete removal and disposal of the specified appurtenances.

CONCRETE HEADWALL REMOVAL (EACH)

Description. This work shall consist of the complete removal and satisfactory disposal of existing concrete headwalls, as specified herein, at locations shown on the plans and in accordance with applicable portions of Section 501 of the Standard Specifications.

General. Concrete headwalls at the ends of entrance and sideroad culverts that are to be completely removed will be paid for on an EACH basis. When applicable, any excavation made by the Contractor for the removal shall be replaced. The excavated space shall be filled with material satisfactory to the Engineer and placed in accordance with Section 205 by and at the expense of the Contractor.

Materials resulting from the removal of existing headwalls and wingwalls shall be disposed of as specified in Article 202.03.

Basis of Payment. This work will be paid for at the contract unit price each for CONCRETE HEADWALL REMOVAL, which price shall include all equipment, material, labor, and other items required for the complete removal and disposal of the specified appurtenances.

CORRUGATED STEEL PIPE TEE, 42" PIPE WITH 12" RISER

Description. This work shall consist of furnishing and installing pipe tees as specified herein, at locations shown on the plans, and in accordance with applicable portions of Section 542 of the Standard Specifications.

General. The material for pipe tees shall be the same as the corresponding pipe drain material as indicated in the plans, and in accordance with applicable portions of Section 601 of the Standard Specifications.

The connecting device for the tees will be approved by the Engineer prior to use.

Method of Measurement. Corrugated Steel Pipe Tee, 42" Pipe With 12" Riser will be measured for payment per each individual pipe tee.

Basis of Payment. This work will be paid for at the contract unit price each for CORRUGATED STEEL PIPE TEE, 42" PIPE WITH 12" RISER, which price shall include all equipment, material, labor, and other items required to furnish and install each individual pipe tee.

DELINEATOR REMOVAL

This work shall be performed in accordance with applicable portions of Section 635 of the Standard Specifications and plan details. This work shall be paid for at the contract unit price each for DELINEATOR REMOVAL, which price shall include all labor, equipment, and materials necessary to complete the work with no additional compensation to be allowed.

EARTH EXCAVATION (SPECIAL)

Description. This work shall consist of the excavation of open ditches through the abandoned railroad embankment, at the three (3) locations shown in the plans, and in accordance with the applicable portions of Section 202 of the Standard Specifications.

General. Earth Excavation Special shall include the excavation of earth, riprap, and concrete slats used as structural fill around the railroad pipe culverts, in addition to shaping the proposed channels to the line and grade shown on the plans. All Earth Excavation Special not used as embankment for shaping the open ditches through the abandoned embankment will remain on site for the future use of Reserve Coal Properties Company and Consol, Inc. All excess Earth Excavation Special including concrete slats and earth shall be neatly stacked and stockpiled within the temporary easements obtained as shown on the plans.

Method of Measurement. Earth Excavation Special will be measured for payment according to the applicable portions of Article 202.07.

Basis of Payment. This work will be paid for at the contract unit price per cubic yard for EARTH EXCAVATION (SPECIAL) which price shall include other items of work included under the general heading of Earthwork for which no payment item is included in the contract.

FILLING EXISTING CULVERTS

The existing concrete culverts shown in the plans shall be filled with a controlled low strength material. Prior to filling the culverts, the Contractor will be required to plug each end of the culvert to the approval of the Engineer.

The material used shall conform to Check Sheet #24 of the Supplemental Specifications.

This work shall consist of placing the material using the chutes of the concrete trucks to transfer the material to the access. The controlled low strength material shall be placed until it completely fills the culvert. The balance shall be filled with sand.

This work shall be paid at the contract unit price per cubic yard for FILLING EXISTING CULVERTS which price shall include all labor and material necessary to complete the work and no additional compensation will be allowed.

FRAMES AND GRATES, SPECIAL

Description. This work shall consist of furnishing and installing special frames and grates, as specified herein, at locations shown on the plans and in accordance with applicable portions of Sections 602 and 604 of the Standard Specifications.

General. All Frames and Grates, Special shall be Neenah R-3508-B or an approved equivalent. Approved equivalents shall have the same cross sectional dimensions as the Neenah casting. Frames and Grates shall be Heavy Duty. Approval of shop drawing is required.

The cross section for Frames and Grates, Special closely matches the cross section of Concrete Gutter Type A (Special). See sheet 1 of "Detail of Concrete Gutter Type A (Special)," for details of tapering the concrete from the Concrete Gutter Type A (Special) cross section to the Frames and Grates, Special cross section.

Basis of Payment. This work will be paid for at the contract unit price each for FRAMES AND GRATES, SPECIAL; INLETS, TYPE A, WITH SPECIAL FRAME AND GRATE; and MANHOLES, TYPE A, 5'-DIAMETER, WITH SPECIAL FRAME AND GRATE, which price shall include the cost of furnishing and installing the Frames and Grates, Special on the various types of drainage structures involved.

GRANULAR EMBANKMENT, SPECIAL 5-206PD05-01

Eff. 10-25-2001

Description. This work shall consist of the construction of granular embankment by placing and compacting gravel or crushed stone on an existing pavement, surface course, the adjacent shoulders or earth embankment.

Materials. Materials shall meet the requirements of the following Article of Section 1000 - Materials:

Item	Article/Section
(a) Granular Embankment, Special (Note 1) (Note 2).....	1004.04

Note 1. The aggregate shall have a bearing ratio of not less than 80. For crushed gravel, crushed stone, and crushed slag, the bearing ratio requirement shall not apply. The bearing ratio will be determined according to the Standard Methods adopted by the Department.

Note 2. The aggregate shall have a moisture content of not more than 55% of optimum as determined by AASHTO T99 (Method A or Method C.) After review of compaction results and/or the aggregate stability under traffic, the Engineer may increase or decrease the moisture content to ensure a stable surface.

Equipment. Equipment shall meet the requirements of the following Articles of Section 1100 - Equipment:

Item	Article/Section
(a) Tamping Roller	1101.01
(b) Pneumatic-tired Roller	1101.01
(c) Three-wheel Roller (Note 1)	1101.01
(d) Tandem Roller (Note 1)	1101.01
(e) Vibratory Machine (Note 2)	
(f) Wheel Saw (Note 3)	
(g) Spreading and Finishing Machine (Note 3).....	1102.03
(h) Spreaders (Note 3).....	1102.04

Note 1. The three-wheel or tandem roller shall weigh from 5.5 to 9 metric tons (6 to 10 tons) and shall weigh not less than 35 N/mm (200 lbs. per inch) nor more than 57 N/mm (325 lbs. per inch) of width of the roller.

Note 2. The vibratory machine shall meet the approval of the Engineer.

Note 3. The spreader may be used on all lifts except the top lift. The Spreading and Finishing Machine shall be used on the top lift. For the final lift, the Spreading and Finishing Machine shall be equipped as required for bituminous binder and surface course.

CONSTRUCTION REQUIREMENTS

Placing and Compacting Aggregate. The Contractor shall, at his/her own expense, submit to the Engineer a sample of the aggregate to be used for granular embankment at least 15 days prior to starting construction. The sample so submitted will be tested by the Department for acceptance.

The aggregate shall be uniform in gradation and moisture content. Before the aggregate is deposited on the subgrade, it shall contain the amount of moisture specified herein or as adjusted by the Engineer. The water and aggregate shall be mixed at a central mixing plant with water added, if required. The plant shall be equipped with a mechanical mixing device, and aggregate and water measuring devices, meeting the approval of the Engineer. Wetting the aggregate in cars, bins, stockpiles or trucks will not be permitted.

The granular embankment shall be constructed in layers not more than 100 mm (4 inches) thick when compacted, except that if tests indicate that the desired results are being obtained, the compacted thickness of any layer may be increased to a maximum of 200 mm (8 inches.) Construction shall be alternated on each lane width so that at no time will there be a difference of more than 100 mm (4 inches) in elevation. The aggregate shall be deposited full-lane width, directly on the pavement, surface course, earth embankment, shoulder, or preceding layer with a spreader, or spreading and finishing machine, as required herein. When placed, it shall be free from segregation and shall require minimum blading or manipulation. Immediately after the material has been placed, it shall be compacted. The manner of compaction shall be approved by the Engineer.

The aggregate shall be compacted to not less than 95% of standard laboratory density as determined by AASHTO T99 (Method A or Method C.) A coarse particle correction according to AASHTO T224 shall be used with Method A and may be used with Method C.

The density of the compacted granular embankment shall be determined by the Engineer at regular intervals according to AASHTO T191 or AASHTO T238 and T239, or by other methods approved by the Engineer.

Prior to opening each lift to traffic, Type S (solid) calcium chloride shall be applied to the surface at the rate of 1 to 2 kg/m² (2 to 4 lbs. per sq. yd.) according to Section 663. Also, prior to opening to traffic and subsequent to the application of the calcium chloride, the lift shall be rolled with a pneumatic tired roller to the satisfaction of the Engineer.

All lifts shall be stable under traffic before placing subsequent lifts. The Engineer shall evaluate the lifts for stability based on movement or rutting of the material. No subsequent lifts shall be placed without approval of the Engineer. Lifts that exhibit rutting, or are otherwise unstable under traffic shall be corrected by the Contractor and approved by the Engineer prior to placement of any additional material.

LOOSE GRAVEL, (W8-7(O)-30) signs shall be erected at the beginning and end of the Granular Embankment, Special limits and at all sideroad locations as noted in the plans.

Construction of Earth Berm. Prior to allowing traffic on the newly constructed layer, the adjacent earth berm shall be built flush with the top of the aggregate and the edges of the aggregate base compacted to the required density. The cost of constructing the earth berm in this manner will be considered as included in the contract unit price bid for Embankment and no additional compensation will be allowed.

Surface Treatment. After the top layer has been completed, it shall be opened to two-way traffic and shall be maintained by the Contractor until each Granular Embankment, Special location is completed and accepted. In no case shall the maintenance period be less than 3 days or more than 10 days before the bituminous resurfacing is placed on the granular embankment. The cost of such maintenance will not be paid for directly, but shall be considered as included in the unit price bid for Granular Embankment, Special and no additional compensation will be allowed, except that payment will be made for the aggregate and calcium chloride required for maintenance.

Method of Measurement. Granular Embankment, Special will be measured for payment in metric tons (tons), or cubic meters (cubic yards) according to Article 311.08. The unit of measurement will be shown in the plans.

Basis of Payment. Granular Embankment, Special will be paid for at the contract unit price per metric ton (ton) for GRANULAR EMBANKMENT, SPECIAL, or at the contract unit price per cubic meter (cubic yard) for GRANULAR EMBANKMENT, SPECIAL. The application of calcium chloride will be measured and paid for according to Section 663. Maintenance, correction, and repair of the granular embankment will not be paid for separately, but shall be considered as included in the payment per metric ton (ton), or cubic meter (cubic yard) for GRANULAR EMBANKMENT, SPECIAL.

GUARD RAIL REMOVAL AND INSTALLATION

5-630OP5-94

Eff. 09-11-90

Rev.: 01-01-97

This work shall be done in accordance with Sections 630, 632, and 701.05(f) of the Standard Specifications and as modified herein:

During the removal of guard rail, posts shall not be exposed overnight without rail elements.

New or additional guard rail shall be completed within three days after the posts have been installed.

Guard rail stored on the right-of-way prior to installation shall be placed against the posts or at the shoulder line.

Any inconvenience or delays caused the Contractor in complying with this Special Provision will be considered as incidental to the various pay items for guard rail removal and guard rail installation and no additional compensation will be allowed.

GUARDRAIL INSTALLATION TIME

5-632PD1-99

Eff. 01-29-99

Add the following to the end of the third paragraph of Article 701.05(f):

“Should the guardrail reinstallation be delayed beyond 10 calendar days following any removal of the existing guardrail, the contractor will be required to protect the hazard with attenuator drums or other redirective devices acceptable to the Engineer.

When Standard 701401 is specified in the contract for other construction operations and lane closures are required in accordance with Article 701.06(f) of the Standard Specifications, or when the incomplete reinstallation is located behind temporary concrete barriers required for traffic control in accordance with the contract, attenuator drums or other redirective devices will not be required in addition to the lane closure for protection of the hazards created by incomplete guardrail installations.”

MANHOLES, SPECIAL, 6' - DIAMETER

Description. This work shall consist of constructing a 6' manhole with a special flat slab top as specified herein, at the locations shown on the plans, and in accordance with the applicable portions of Section 602 of the Standard Specifications.

General. The 6' manhole with special flat slab top shall be constructed in accordance with Standard 602406, except that the special flat slab top will be constructed with two (2) 24" diameter holes as shown on the detail in the plans.

Basis of Payment. This work will be paid for at the contract unit price each for MANHOLES, SPECIAL, 6' – DIAMETER, of the dimensions specified, which price shall include all items listed in Article 602.15, with the exception of the frames and grates which will be paid for separately.

PIPE CULVERT REMOVAL

Description. This work shall consist of the removal and satisfactory disposal of existing vitrified clay or reinforced concrete pipe culvert sections, as specified herein, at the locations shown in the plans and in accordance with the applicable portions of Section 501 of the Standard Specifications.

General. The location, size, and type of existing culvert sections to be removed are shown in the plans. This work includes the removal of specified culvert sections from A.R. culverts at Sta. 542+30 and Sta. 623+40 for culvert repair and installation of proper end sections. Culvert sections to be removed are located in the foreslope and therefore will not require work under pavement or stabilized bases.

Method of Measurement. Pipe culvert removal will be measured for payment in place in feet along the flowline of the culvert.

Basis of Payment. This work will be paid for at the contract unit price per foot for PIPE CULVERT REMOVAL, which price shall include all equipment, labor, and other items required for removal and disposal of the specified culvert sections.

PIPE ELBOW, 12"

Description. This work shall consist of furnishing and installing pipe elbows as specified herein, at locations shown on the plans, and in accordance with applicable portions of Section 542 of the Standard Specifications.

General. The degree of elbow and the pipe size required for elbows shall be detailed on the plans for each individual installation. Before ordering the elbows, the Contractor with the Engineer shall field verify the degree of elbow required for each installation.

The material for pipe elbows shall be the same as the corresponding pipe drain material as indicated in the plans, and in accordance with applicable portions of Section 601 of the Standard Specifications.

The connecting device for the elbows will be approved by the Engineer prior to use.

Method of Measurement. Pipe Elbow 12" will be measured for payment per each individual pipe elbow.

Basis of Payment. This work will be paid for at the contract unit price each for PIPE ELBOWS 12", which price shall include all equipment, material, labor, and other items required to furnish and install each individual pipe elbow.

PNEUMATIC-TIRED ROLLER FOR SUPERPAVE

5-406OP6-98

Eff. 10-01-98

For all Superpave Bituminous Concrete Mixtures placed at a rate exceeding 75 metric tons per hour (85 tons per hour), a pneumatic-tired roller will be required as the intermediate roller. This roller shall meet the requirements of Table 1 of Article 406.16 of the Standard Specifications. This provision shall hold over any other requirements included elsewhere in the contract.

This work will not be measured for payment or paid for separately, but shall be considered as included in the price per metric ton (ton) or square meter (square yard) of the various items of BITUMINOUS CONCRETE MIXTURES SUPERPAVE, CLASS I, of the mixture, type, and thickness (if applicable) specified.

POROUS GRANULAR BACKFILL

5-209PD4-98

Eff 07-07-1998

POROUS GRANULAR BACKFILL shall be in accordance with the applicable portions of Section 209 of the Standard Specifications, and as shown in the plans.

Quality. The coarse aggregate shall be Class D Quality or better.

Gradation. The coarse aggregate gradation shall be CA-6.

Method of Measurement.

- a) Contract Quantities. The requirements for the use of the Contract Quantities shall conform to Article 202.07(a) of the Standard Specifications.
- b) Measured Quantities. POROUS GRANULAR BACKFILL will be measured for payment and the volume computed in cubic meters (cubic yards). The pay limits will be as shown in the plans. If the Contractor chooses to excavate beyond the limits shown, the additional quantities of POROUS GRANULAR BACKFILL will be at his/her own expense.

PRECAST CONCRETE BOX CULVERTS

5-540PD3-98

Eff 07-07-1998
Rev 01-01-2002

Revise the fourth paragraph of Article 540.06 of the Standard Specifications to read:

The excavation and backfilling for precast concrete box culvert shall be according to the requirements of Section 502 except a layer of porous granular material, at least 150 mm (6 inches) in thickness, shall be placed below the elevation of the bottom of the box. The porous granular material shall be gradation CA 7 and shall be placed to extend at least 600 mm (2 ft.) beyond each side of the box. The precast concrete box culvert shall be laid according to the applicable requirements of Article 542.04(d).

RAISED REFLECTIVE PAVEMENT MARKER REMOVAL

5-783PD1-97

Eff. 10-22-97
Rev. 12-21-2001

Delete the last sentence of the second paragraph of Article 783.03(a).

Replace Article 783.03(b) with the following:

“Where removal of raised reflective markers is indicated in the plans, this shall consist of complete removal of the castings, and reflectors from the pavement structure. Where cold milling is not proposed, or where the proposed depth of cold milling is less than 40 mm, the holes resulting from the removal of raised reflective markers shall immediately be cleaned out with compressed air, filled with a bituminous mixture meeting the requirements of Article 406.11, and compacted to the satisfaction of the Engineer. This work shall be completed prior to cold milling, or prior to bituminous concrete placement if cold milling is not specified.”

Add the following at the end of Article 783.06:

“The payment for RAISED REFLECTIVE MARKER REMOVAL shall include complete removal and disposal of the castings and reflectors, and furnishing, placing, and compacting the bituminous material in the holes as specified above.”

90730

REMOVE EXISTING CULVERTS

Description. This work shall consist of the removal and satisfactory disposal of existing entrance and sideroad pipe culverts and other metal pipe culverts, as specified herein, at the locations shown in the plans and in accordance with the applicable portions of Section 501 of the Standard Specifications.

General. The location, size, and type of existing culverts to be removed are shown in the plans. This pay item refers mostly, but is not limited to, the removal of metal entrance and sideroad pipe culverts. Removal shall include end sections when present. Concrete headwalls will be paid for separately as CONCRETE HEADWALL REMOVAL (EACH).

Method of Measurement. Removal of existing culverts will be measured for payment in place in feet along the flowline of the culvert.

Basis of Payment. This work will be paid for at the contract unit price per foot for REMOVE EXISTING CULVERTS, which price shall include all equipment, labor, and other items required for the complete removal and disposal of the culverts, and end sections if present.

REMOVE AND RELAY PIPE CULVERTS

Description. This work shall consist of the removal and relaying of existing pipe culverts, as specified herein, at the locations shown in the plans and in accordance with the applicable portions of Section 501 and 542 of the Standard Specifications.

General. The existing pipe culverts shall be removed, extended if specified, and relayed according to the line and grade shown in the plans or as specified by the engineer. New pipe used for extensions, when specified, will be paid for separately.

If the existing pipe culvert is damaged while performing this operation, the Contractor shall replace this pipe culvert at his/her own expense and at no additional cost to the State.

Method of Measurement. Remove and relay pipe culverts will be measured for payment in place in feet along the flowline of the culvert.

Basis of Payment. This work will be paid for at the contract unit price per foot for REMOVE AND RELAY PIPE CULVERTS which price shall include all equipment, material, labor, and all other items required to remove and relay pipe culverts.

REMOVE AND RE-INSTALL END SECTIONS

Description. This work shall consist of the removal and reinstallation of existing end sections, as specified herein, at the locations shown in the plans and in accordance with the applicable portions of Section 501 and 542 of the Standard Specifications.

General. The existing end sections shall be removed in order to extend the existing pipe culverts to the current policy length. The existing end sections will then be reinstalled on the extended pipe culvert. End sections may also need to be removed and reinstalled when re-laying an existing culvert to a proposed grade.

If the existing end section is damaged while performing this operation, the Contractor shall replace this end section at his/her own expense and at no additional cost to the State.

Basis of Payment. This work will be paid for at the contract unit price each for REMOVE AND RE-INSTALL END SECTIONS which price shall include the complete removal and reinstallation of the end sections, equipment, material, labor, and all other items required to complete the work.

REMOVAL OF EXISTING STRUCTURES NO. 1

This work shall consist of removing the existing 5' x 3' x 44' concrete box culvert, the two (2) 30" x 50' concrete or vitrified clay pipes, and the headwalls located at A.R. Station 238+94 as shown in the plans and disposing of unsuitable materials in accordance with the applicable portions of Article 202.03 and of Section 501 of the Standard Specifications. Exact size and material should be verified in the field.

This work will be paid for at the contract unit price each for REMOVAL OF EXISTING STRUCTURE NO. 1 which price shall include the removal and disposal of the concrete headwalls on the existing culvert.

REMOVAL OF EXISTING STRUCTURES NO. 2

This work shall consist of removing the existing 3' x 2.5' x 43' concrete box culvert and the headwalls located at A.R. Station 246+37 as shown in the plans and disposing of unsuitable materials in accordance with the applicable portions of Article 202.03 and of Section 501 of the Standard Specifications. Exact size should be verified in the field.

This work will be paid for at the contract unit price each for REMOVAL OF EXISTING STRUCTURE NO. 2 which price shall include the removal and disposal of the concrete headwalls on the existing box culvert.

REMOVAL OF EXISTING STRUCTURES NO. 3

This work shall consist of removing the existing 4' x 3' x 44' concrete box culvert and the headwalls located at A.R. Station 268+71 as shown in the plans and disposing of unsuitable materials in accordance with the applicable portions of Article 202.03 and of Section 501 of the Standard Specifications. Exact size should be verified in the field.

This work will be paid for at the contract unit price each for REMOVAL OF EXISTING STRUCTURE NO. 3 which price shall include the removal and disposal of the concrete headwalls on the existing box culvert.

REMOVAL OF EXISTING STRUCTURES NO. 4

This work shall consist of removing the existing 48" x 65' heavy duty casing pipe under the abandoned railroad embankment at A.R. Station 343+29 as shown in the plans and disposing of unsuitable materials in accordance with the applicable portions of Article 202.03 and of Section 501 of the Standard Specifications. Exact size and material should be verified in the field.

This work will be paid for at the contract unit price each for REMOVAL OF EXISTING STRUCTURE NO. 4 which price shall include all items necessary to complete the proposed work.

REMOVAL OF EXISTING STRUCTURES NO. 5

This work shall consist of removing the existing 36" x 65' heavy duty casing pipe under the abandoned railroad embankment at A.R. Station 382+90 as shown in the plans and disposing of unsuitable materials in accordance with the applicable portions of Article 202.03 and of Section 501 of the Standard Specifications. Exact size and material should be verified in the field.

This work will be paid for at the contract unit price each for REMOVAL OF EXISTING STRUCTURE NO. 5 which price shall include all items necessary to complete the proposed work.

REMOVAL OF EXISTING STRUCTURES NO. 6

This work shall consist of removing the existing 24" x 65' heavy duty casing pipe under the abandoned railroad embankment at A.R. Station 405+15 as shown in the plans and disposing of unsuitable materials in accordance with the applicable portions of Article 202.03 and of Section 501 of the Standard Specifications. Exact size and material should be verified in the field.

This work will be paid for at the contract unit price each for REMOVAL OF EXISTING STRUCTURE NO. 6 which price shall include all items necessary to complete the proposed work.

REMOVAL OF EXISTING STRUCTURES NO. 7

This work shall consist of removing the existing 12" x 110' concrete or vitrified clay pipe located at A.R. Station 568+65 as shown in the plans and disposing of unsuitable materials in accordance with the applicable portions of Article 202.03 and of Section 501 of the Standard Specifications. The clay tile is approximately 9 feet below the top of pavement. Exact size and material should be verified in the field.

This work will be paid for at the contract unit price each for REMOVAL OF EXISTING STRUCTURE NO. 7 which price shall include all items necessary to complete the proposed work.

SEEDING

5-250PD17-94

Eff. 09-11-90

Rev.: 01-01-97

Earth slopes which are disturbed as a result of the normal widening and shoulder operations as shown on the station cross sections shall be seeded with Class 2 or Class 3 Seeding as designated in the plans, fertilized and mulched in accordance with Method 2 in accordance with the applicable portions of Sections 250 and 251 of the Standard Specifications. Measurement for payment shall not be greater than as shown in the seeding schedule as based on the station cross sections. Areas outside the earthwork limits shown on the station cross sections which are disturbed by the Contractor's operations shall also be seeded, fertilized and mulched in accordance with the General Notes included in the plans and with Sections 250 and 251 of the Standard Specifications, and will not be measured for payment.

The Contractor shall specify at the time of the preconstruction conference which procedure method of mulching he/she plans to use.

This work will be paid for at the contract unit price for the items used, measured as specified herein and in the Standard Specifications and no additional compensation will be allowed.

SIGN & POST

Description. This work shall consist of picking up, erecting, removing, and returning “NO INTRUSION” signs, as specified herein, at locations shown on the plans and in accordance with applicable portions of Section 720 of the Standard Specifications.

General. The contractor will be required to protect areas of prairie remnant and savanna. Work includes the construction of temporary construction fence and erecting “NO INTRUSION” signing at the locations designated in the plans and as determined necessary by the engineer. The Bureau of Operations will provide all “NO INTRUSION” signs to be erected at locations shown within the plans. The Traffic Operations Engineer shall be given a minimum two weeks notice prior to the start of the project in order to fabricate the signs. The contractor shall be responsible for picking up the signs at the District Office, erecting the signs at the designated locations on the first work day of the contract, maintaining the signs during the course of the work, removing the signs upon completion of the project, and delivering the signs back to the District Office in an acceptable condition. The Contractor will be responsible for replacing any sign that the Engineer determines has been damaged beyond normal wear. The Engineer shall be the sole judge of damage and normal wear.

Basis of Payment. This work will be paid for at the contract unit price each for SIGN AND POST, which price shall include all equipment, material, labor, and other items necessary to complete the work of picking up, erecting, removing, and returning the “NO INTRUSION” signs.

STRINGLINE

5-440PD21-94

Eff. 11-27-91

Rev.10-13-99

Some or all of the cold-milling and leveling binder on this section is intended as the first step toward establishing the proposed profile grade. In these locations which are shown in the plans, the milling and leveling binder will be controlled by stringline(s) erected, maintained and removed and disposed of by the Contractor.

The cost of providing, erecting, maintaining, removing, disposing of and employing the stringline as the grade control will not be paid for separately but shall be considered as included in but not limited to the pay items for BITUMINOUS SURFACE REMOVAL (COLD MILLING) SPECIAL, BITUMINOUS SURFACE REMOVAL (VARIABLE DEPTH), GRANULAR EMBANKMENT SPECIAL, BITUMINOUS CONCRETE BINDER COURSE SUPERPAVE IL-19.0 N70, LEVELING BINDER (MACHINE METHOD) SUPERPAVE N70 and any other items of work deemed necessary by the Engineer.

TEMPORARY DRAINAGE INTO PROPOSED DRAINAGE STRUCTURES

5-602PD22-94

Eff. 09-11-90
Rev. 03-18-94

This work shall consist of providing temporary drainage into any proposed drainage structure that is to be constructed in sag locations. These sag locations shall also be interpreted to include side streets. This work shall consist of a 100 mm (4 inch) P.V.C. or polyethylene pipe installed from the surface of the proposed widening material into the proposed drainage structure near the 'resurfacing lip 'on the combination concrete curb and gutter. The 100 mm (4 inch) pipe shall be cut flush with the proposed widening material as directed by the Engineer. Prior to the final resurfacing operations, the 100 mm (4 inch) pipe shall be filled with concrete or bituminous material.

This work will not be paid for separately but shall be considered as included in the contract unit price for the various pay items involved and no additional compensation will be allowed.

STORM SEWER WATERMAIN AND STORM SEWER, RUBBER GASKET, CLASS A

Eff: 08/03/1999

5-550PD4-99

STORM SEWER WATERMAIN, and STORM SEWER, RUBBER GASKET, CLASS A are being used to satisfy the EPA requirements for vertical and horizontal separation of water mains from sewers as outlined in Section 41-2.01B and 41-2.01C, respectively, of the Standard Specifications for Water and Sewer Main Construction in Illinois.

STORM SEWER, RUBBER GASKET, CLASS A shall be installed at locations shown in the plans and shall be used to satisfy the requirements of Section 41-2.01B of the Standard Specifications for Water and Sewer Main Construction in Illinois. The work shall consist of construction of storm sewers with the necessary fittings according to Section 550 of the Standard Specifications for Road and Bridge Construction, with the following exception:

- The Contractor shall furnish and install a reinforced concrete pipe of the size, class, and type indicated with O-ring rubber gasket joints consisting of a compressive type ring in accordance with ASTM Specification C-361. Pressure testing shall not be required as part of this construction. The length of STORM SEWER, RUBBER GASKET, CLASS A shall extend a minimum of three meters (3 m) (ten (10) feet) perpendicular each side of the watermain that the storm sewer crosses. This item may only be used for crossings of a storm sewer and waterline. It may not be substituted for STORM SEWER, WATERMAIN.

STORM SEWER, WATERMAIN shall be used to satisfy the requirements of Section 41-2.01B of the Standard Specifications for Water and Sewer Main Construction in Illinois. Materials permitted and methods of construction are given below:

- **Plastic Pipe** may be used for watermain quality storm sewer, and shall be installed at locations shown in the plans. The plastic pipe shall be according to Sections 40-2.03, 40-2.04, and 40-2.05B of the Standard Specifications for Water & Sewer Main Construction in Illinois, dated May 1996. The Contractor shall install the pipe size specified or the next larger pipe size available, and methods of construction shall be in according to Section 550 of the Standard Specifications for Road and Bridge Construction. The pressure testing required by Section 41-2.01B of the Standard Specifications for Water and Sewer Main Construction in Illinois, May 1996 shall include a hydrostatic head to the top of casting elevation of the lower manhole on the run, or as otherwise shown in the plans.
- **Ductile Iron Pipe** may be used for watermain quality storm sewer, and shall be according to Sections 40-2.02, 40-2.04, and 40-2.05A of the Standard Specifications for Water & Sewer Main Construction in Illinois, dated May 1996. The Contractor shall install the pipe size specified or the next larger pipe size available, and methods of construction shall be in according to Section 550 of the Standard Specifications for Road and Bridge Construction. The pressure testing required by Section 41-2.01B of the Standard Specifications for Water and Sewer Main Construction in Illinois, May 1996 shall include a hydrostatic head to the top of lid elevation of the lower manhole on the run, or as otherwise shown in the plans.

This work will be measured according to Article 550.04 of the Standard Specifications for Road and Bridge Construction and shall be paid for at the contract unit price per linear meter (foot) for STORM SEWER, RUBBER GASKET, CLASS A of the type and size specified, and STORM SEWER WATERMAIN, of the size specified in the plans. This payment shall include the excavation, placement, and backfilling and shall be according to the applicable portions of Section 550 of the Standard Specifications except as otherwise described herein and no additional compensation will be allowed.

TREATMENT OF EXISTING FIELD TILE SYSTEMS

5-611PD8-98

Eff. 12-21-98

Revised 01-19-00

This work shall be according to Section 611, as shown in the plans, and as modified herein.

Storm Sewers, Special and Storm Sewers, Protected

For use in replacing existing field tile, pipe diameters of 100 mm (4 inches), 150 mm (6 inches), and 200 mm (8 inches) will be allowed. The materials specifications and requirements for these sizes shall be the same as for 250 mm (10 inch) pipe of the same material and of the same "Type" of installation as required in Article 550.03. For storm sewers of these sizes used to replace existing field tile, Class B storm sewer pipe may be used where Class A storm sewer pipe would otherwise be required.

Connections between storm sewers smaller than 250 mm (10 inches) in diameter may be made using prefabricated, commercially available couplers, consisting of a casing pipe with flexible tubing bands at each end. The casing pipe shall completely cover the joint area, and the tubing shall be drawn tight around each pipe with corrosion and rust proof bands or hose clamps. Concrete collars, as shown in the plans, may also be used for these connections.

For pipe sizes of 250 mm (10 inches) and larger, concrete collars as shown on the plans will be required.

Field Tile Junction Vaults

If known, the locations and depths of field tile junction vaults are shown on the plans. Other junction vaults provided as plan pay items shall be constructed according to the following:

FIELD TILE JUNCTION VAULTS 600MM DIA. shall be constructed according to Standard 602301, "Inlet, Type A", using a frame and closed lid as shown on Standard 604001, "Frame and Lids, Type 1." The maximum depth of the junction vault shall be 1.8 m (6 feet) from the flowline to the top of masonry. One or more Storm Sewer or field tiles will enter each of these junction vaults, and there will be at least one outlet pipe.

FIELD TILE JUNCTION VAULTS 900MM DIA. shall be constructed according to Standard 602016, "Catch Basin, Type D", using a frame and closed lid as shown on Standard 604001, "Frame and Lids, Type 1." The maximum depth of the junction vault shall be 1.8 m (6 feet) from the flowline to the top of masonry. One or more Storm Sewer or field tiles will enter each of these junction vaults, and there will be at least one outlet pipe. The sump and baffle shall be omitted.

Where conditions found in the field require the use of flat slab tops for the junction vaults, this work will be according to Article 109.04.

Where conditions found in the field require depths in excess of 1.8 m (6 feet) for junction vaults, this work shall be according to Article 109.04.

Method of Measurement. Couplers for pipe sizes smaller than 250 mm (10 inches) will not be measured separately for payment.

Concrete collars will be measured in cubic meters, not to exceed the dimensions shown in the plans.

Field tile junction vaults will be measured on an each basis.

Basis of Payment. Concrete collars will be paid for at the contract unit price per cubic meter (cubic yard) for MISCELLANEOUS CONCRETE, which price shall include all excavation and backfill.

Risers and gratings for inspection wells shall be considered as included in the payment for STORM SEWER of the type and diameter specified.

Pay items not included in the contract and not included in other items of the contract will be paid according to agreed unit prices as negotiated by Project Implementation.

STATUS OF UTILITIES

<u>Name & Address of Utility</u>	<u>Type & Location</u>	<u>Estimated Date Relocation Completed</u>
Mr. Chris Fowler * MCI Worldcom Network Services, Inc. 730 West Henry Street Indianapolis, IN 46225 (317) 685-8050	Telephone AR 737+50+/-	Not required
Mr. Rick Poorman Union - York Water District 18819 E 300th Rd West Union, IL 62477 (217) 279-3612 - Ferrell Gas Co.	Water NCL West Union to West York	Required 11/5/2003
Mr. Joshua Stufft Marathon Ashland Pipe Line LLC 5000 W 86th St. Indianapolis, IN 46268 (317) 872-3200 Ext. 2067	Pipeline AR 306+50+/-	
Ms. Michelle Lewsader * Verizon North, Inc 212 E Grove St Rantoul, IL 61866 (217) 892-3427	Telephone Copper and fiber optic Throughout jobsite	Required 11/5/2003

The above represents the best information of the Department and is only included for the convenience of the bidder. The applicable provisions of Sections 102, 103, and Articles 105.07, 107.20, 107.31, and 108.02 of the Standard Specifications for Road and Bridge Construction shall apply.

The estimated utility relocation dates should be part of the progress schedule submitted by the contractor. If any utility adjustments or relocations have not been completed by the above dates specified and when required by the contractor's operations after these dates, the contractor should notify the Engineer in writing. A request for an extension of time will be considered to the extent the Contractor's critical path schedule is affected.

Status page 1 of 3

Toll Free J.U.L.I.E. Telephone Number (800) 892-0123

* = J.U.L.I.E. Member

STATUS OF UTILITIES TO BE ADJUSTED

The following utilities are involved in this project. The utility companies have provided the estimated dates.

<u>Name & Address of Utility</u>	<u>Type & Location</u>	<u>Estimated Date Relocation Completed</u>
Mr. Randy Collahan Mediacom LLC 710 W Jefferson Effingham, IL 62401 (217) 342-6656 Ext. 107	Cable TV Marshall	Not required
Ms. Mildred Trowbridge Clark-Edgar Rural Water District 475 IL Highway 1 Marshall, IL 62441 (217) 463-5888	Water Several crossing from 96+60 to 423+25	Required 11/5/2003
Mr. Roger Watwood * City of Marshall 201 S Michigan Ave PO Box 298 Marshall, IL 62441 (217) 826-8087	Water 8" water Rt. 60+00 to Rt. 97+00 Gas 2" gas Lt. 60+00 Lt. 70+50 Electric UG Elect. Lt. 64+25 to Lt. 70+30 Sanitary	Not required
Mr. Steve Bruner * AMEREN-CIPS 501 South Eaton Street Robinson, IL 62454 (618) 544-9563 ext. 40239	Electric Several crossings Distribution througout jobsite Electric AR 590+00 Transmission	Required 11/5/2003 Not required

The above represents the best information of the Department and is only included for the convenience of the bidder. The applicable provisions of Sections 102, 103, and Articles 105.07, 107.20, 107.31, and 108.02 of the Standard Specifications for Road and Bridge Construction shall apply.

The estimated utility relocation dates should be part of the progress schedule submitted by the contractor. If any utility adjustments or relocations have not been completed by the above dates specified and when required by the contractor's operations after these dates, the contractor should notify the Engineer in writing. A request for an extension of time will be considered to the extent the Contractor's critical path schedule is affected.

Status page 2 of 3

Toll Free J.U.L.I.E. Telephone Number (800) 892-0123

* = J.U.L.I.E. Member

STATUS OF UTILITIES TO BE ADJUSTED

The following utilities are involved in this project. The utility companies have provided the estimated dates.

<u>Name & Address of Utility</u>	<u>Type & Location</u>		<u>Estimated Date Relocation Completed</u>
Mr. Tim Haddix * Enerstar Power Corporation 11597 Illinois Hwy 1 PO Box 190 Paris, IL 61944 (217) 463-4145 press 141	Electric	Several crossings througout jobsite	Required 11/5/2003
Mr. Derrel Huggins Cable Direct 5937 West 71st Street Indianapolis, IN 46278 (317) 329-4790 Ext. 110 Cell: (404) 819-3599	Cable TV	West Union, West York	Required 11/5/2003
Mr. Vince Connerton P.O. Box 305 14554 N. State Hwy 1 Marshall, IL 62441 (217) 826-6686	Private Water	2" plastic Lt. 70+85 to Lt 93+75	Not required
Mr. Larry Malmen AMEREN-CIPS 711 South 9th Street Mattoon, IL 61938-5474 (217) 234-0441	Electric	Several crossing between Marshall and West Union	Required 11/5/2003

The above represents the best information of the Department and is only included for the convenience of the bidder. The applicable provisions of Sections 102, 103, and Articles 105.07, 107.20, 107.31, and 108.02 of the Standard Specifications for Road and Bridge Construction shall apply.

The estimated utility relocation dates should be part of the progress schedule submitted by the contractor. If any utility adjustments or relocations have not been completed by the above dates specified and when required by the contractor's operations after these dates, the contractor should notify the Engineer in writing. A request for an extension of time will be considered to the extent the Contractor's critical path schedule is affected.

Status page 3 of 3

Toll Free J.U.L.I.E. Telephone Number (800) 892-0123

• = J.U.L.I.E. Member

ADJUSTING FRAMES AND GRATES (BDE)

Effective: August 1, 2001
Revised: November 1, 2001

Add the following to Article 602.02 of the Standard Specifications:

- “(k) High Density Polyethylene (HDPE) Plastic Note 2
(l) Recycled Rubber..... Note 3

Note 2. HDPE plastic adjusting rings may be used to adjust the frames and grates of drainage and utility structures up to a maximum of 75 mm (3 in.). They shall be installed and sealed underneath the frames according to the manufacturer's specifications.

HDPE plastic adjusting rings shall be manufactured from Class B HDPE plastic, as identified in ASTM D 1248, using the injection molding process. They shall be designed and tested to meet or exceed an HS25 wheel load according to the AASHTO Standard Specifications for Highway Bridges and shall be stabilized against the effects of ultra violet light.

Recycled material may be used. If recycled material is used, only polyethylene and less than two percent polypropylene will be allowed in the reclaim process. All feed stock shall be tested by the manufacturer on a procurement/production batch basis to verify the following property values:

Physical Property	Test Standard	Value
Melt Flow Index	ASTM D 1238	0.30 to 30.0 g/10 min (0.01 to 1.06 oz/10 min)
Specific Gravity	ASTM D 792	0.84 to 0.98
Tensile Strength, Yield	ASTM D 638	13,800 kPa (2000 psi) minimum

HDPE plastic adjusting rings shall have no void areas, cracks, or tears, and have no effects due to exposure to ultraviolet light. Ripples or sags are limited to less than ten percent of the surface. The actual diameter or length shall not vary more than 3 mm (0.125 in.) from the specified diameter or length. Variations in height are limited to ± 1.6 mm (0.063 in.) for parts up to 50 mm (2 in.) or ± 3 mm (0.125 in.) for parts from 50 mm (2 in.) to 75 mm (3 in.). Variations shall not exceed 6 mm (0.25 in.) from flat (dish, bow or convoluting edge) or 3 mm (0.125 in.) for bulges or dips in the surface.

Note 3. Riser rings fabricated from recycled rubber may be used to adjust the frames and grates of drainage and utility structures up to a maximum of 50 mm (2 in.). They shall be installed and sealed underneath the frames according to the manufacturer's specifications.

Recycled rubber products shall consist of no less than 80 percent by weight recycled rubber. The riser shall meet or exceed the following when maintained at $23 \pm 2^{\circ}\text{C}$ ($73 \pm 3^{\circ}\text{F}$) for at least 24 hours prior to and during testing.

Physical Property	Test Standard	Value
Density	ASTM C 642-90	$1.10 \pm 0.034 \text{ g/cu cm}$ ($68.63 \pm 2.11 \text{ lb/cu ft}$)
Durometer Hardness	ASTM D 2240-97 Shore A	72 ± 6^1
Compression Deformation under 1000 kPa (145 psi)	ASTM D 575 –Test Method B Test of Specified Force	$9 \pm 4 \%$
Compression Set	ASTM D 395 – Illinois Modified Test Method B Compression Set under Constant Deflection in Air	$5 \pm 3 \%^2$
Weathering (70 hrs at 70°C (158°F)) Hardness retained	ASTM D 573	98 %, minimum
Freeze/thaw exposed to chemicals when deicing	ASTM C 672-91	3 % loss, maximum

¹ Average of three tests over a 28 mm (1.12 in.) diameter sample.

² Samples compressed to 75 percent of initial height.

Recycled rubber adjusting rings shall have no void areas, cracks, or tears, and have no effects due to exposure to ultraviolet light. The actual diameter or length shall not vary more than 3 mm (0.125 in.) from the specified diameter or length. Variations in height are limited to $\pm 1.6 \text{ mm}$ (0.063 in.) for parts up to 50 mm (2 in.)."

Revise Article 603.08 of the Standard Specifications to read:

“603.08 Adjusting Rings. As an option to Articles 603.03 through 603.07, the adjustment of frames and grates may be accomplished through the use of adjusting rings that fit on top of the frame. These adjusting rings shall be fabricated as a one-piece assembly from gray iron, ductile iron or structural steel. They shall provide a structural capacity equal to or greater than the existing frame and shall not affect the opening size or surface appearance. The rings shall have a device for positively positioning and fastening the ring to the existing frame to prevent movement under traffic.”

80052

BITUMINOUS BASE COURSE / WIDENING SUPERPAVE (BDE)

Effective: April 1, 2002

Revised: January 1, 2003

Description. This work shall consist of constructing bituminous base course Superpave and bituminous concrete base course widening Superpave according to Sections 355 and 356 respectively, of the Standard Specifications and the special provision, "Quality Control/Quality Assurance of Bituminous Concrete Mixtures" except as modified herein.

Revise Article 355.02(d) of the Standard Specifications to read:

"(d) RAP Material (Note3)"

Revise Note 2 of Article 355.02 of the Standard Specifications to read:

"Note 2. Unless otherwise specified on the plans, the bituminous material shall be performance graded (PG) asphalt cement (AC) , PG58-22. When more than 15 percent RAP is used, a softer PG binder may be required as determined by the Engineer. When the pavement has a structural number (D_t) of 3.00 or less, the low temperature grade of the asphalt cement shall be lowered one grade (i.e. PG58-28 replaces PG58-22)."

Add the following to the end Article 355.02 of the Standard Specifications:

"Note 3. RAP shall meet the requirements of the special provision "RAP for Use in Bituminous Concrete Mixtures"."

Add the following to Article 355.03 of the Standard Specifications:

"(k) Superpave Gyratory Compactor (Note 6)

(l) Ignition Oven (Note 7)

Note 6. The Superpave gyratory compactor (SGC) shall be used for all laboratory mixture compaction.

Note 7. The ignition oven shall be used for determination of AC content. The ignition oven shall also be used to recover aggregates for all required washed gradations. The Engineer may waive the ignition oven requirement for AC content if the aggregates to be used are known to have ignition AC content calibration factors which exceed 1.5 percent. If the calibration factor exceeds 1.5 percent other IDOT approved methods shall be utilized for determination of AC content."

Revise Article 355.05 of the Standard Specifications to read:

"355.05 Mixture Design. The Contractor shall submit mix designs for approval, for each required mixture. Mix designs shall be developed by Level III personnel who have completed the course, "Superpave Mix Design Upgrade". The mixtures shall be designed according to the respective Illinois Modified AASHTO references listed below:

AASHTO MP 2 Standard Specification for Superpave Volumetric Mix Design

AASHTO PP 2	Standard Practice for Short and Long Term Aging of Hot Mix Asphalt (HMA)
AASHTO PP 19	Standard Practice for Volumetric Analysis of Compacted Hot Mix Asphalt (HMA)
AASHTO PP 28	Standard Practice for Designing Superpave HMA
AASHTO T 209	Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures
AASHTO T 312	Preparing and Determining the Density of Hot Mix Asphalt (HMA) Specimens by Means of the Superpave Gyratory Compactor
AASHTO T 308	Determining the Asphalt Content of Hot Mix Asphalt (HMA) by the Ignition Method

(a) Job Mix Formula (JMF). The JMF shall be according to the following limits:

<u>Ingredient</u>	<u>Percent by Dry Weight</u>
Aggregate.....	93.0 to 96.0
Asphalt Cement.....	4.0 to 7.0
Dust/AC Ratio.....	1.4

When RAP material is being used, the JMF shall be according to the following limits:

<u>Ingredient</u>	<u>Percent by Dry Weight</u>
Virgin Aggregate(s)	46.0 to 96.0
RAP Material(s) (Note 1)	0 to 50
Mineral Filler (if required).....	0 to 5.0
Asphalt Cement.....	4.0 to 7.0
Dust/AC Ratio.....	1.4

Note 1. If specified on the plans, the maximum percentage of RAP shall be as specified therein.

It is recommended that the selected combined aggregate gradation not pass through the restricted zones specified in Illinois Modified AASHTO MP 2.

Bituminous concrete binder course Superpave mixture IL-25.0 or IL-19.0 meeting the requirements of the special provision, "Superpave Bituminous Concrete Mixtures" may also be used. The minimum compacted lift thickness specified therein shall apply.

(b) Volumetric Requirements.

Design Compactive Effort	Design Air Voids Target (%)
$N_{DES} = 50$	2.0

- (c) Determination of Need for Anti-Stripping Additive. The mixture designer shall determine if an additive is needed in the mix to prevent stripping. The determination will be made on the basis of tests performed according to Illinois Modified AASHTO T 283 using 4 in. Marshall bricks. To be considered acceptable by the Engineer as a mixture not susceptible to stripping, the ratio of conditioned to unconditioned split tensile strengths (TSR) shall be equal to or greater than 0.75. Mixtures, either with or without an additive, with TSR values less than 0.75 will be considered unacceptable.

If it is determined that an additive is required, the additive may be hydrated lime, slaked quicklime, or a liquid additive, at the Contractor's option. The liquid additive shall be selected from the Department's list of approved additives and may be limited to those which have exhibited satisfactory performance in similar mixes.

Dry hydrated lime shall be added at a rate of 1.0 to 1.5 percent by weight of total dry aggregate. Slurry shall be added in such quantity as to provide the required amount of hydrated lime solids by weight of total dry aggregate. The exact rate of application for all anti-stripping additives will be determined by the Engineer. The method of application shall be according to Article 406.12 of the Standard Specifications."

Revise Article 355.06 of the Standard Specifications to read:

"355.06 Mixture Production. The asphalt cement shall be transferred to the asphalt tanks and heated to a temperature of 120 °C (250 °F) to 175 °C (350 °F). If the loading temperature exceeds 175 °C (350 °F), the asphalt shall not be used until it has cooled to 175 °C (350 °F). Wide variations in temperature which affect the amount of asphalt delivered will not be permitted.

When a hot-mix plant conforming to Article 1102.01 is used, the aggregate shall be dried and heated in the revolving dryer to a temperature of 120 °C (250 °F) to 175 °C (350 °F).

The aggregate and bituminous material used in the bituminous aggregate mixture shall be measured separately and accurately by weight or by volume. When the aggregate is in the mixer, the bituminous material shall be added and mixing continued for a minimum of 30 seconds and until a homogeneous mixture is produced in which all particles of the aggregate are coated. The mixing period, size of the batch and the production rate shall be approved by the Engineer.

The ingredients shall be heated and combined in such a manner as to produce a mixture which, when discharged from the mixer, shall be workable and vary not more 10 °C (20 °F) from the temperature set by the Engineer.

When RAP material(s) is used in the bituminous aggregate mixture, the virgin aggregate(s) shall be dried and heated in the dryer to a temperature that will produce the specified resultant mix temperature when combined with the RAP material.

The heated virgin aggregates and mineral filler shall be combined with RAP material in such a manner as to produce a bituminous mixture which when discharged from the mixer shall not vary more than 15 °C (30 °F) from the temperature set by the Engineer. The combined ingredients shall be mixed for a minimum of 35 seconds and until a homogeneous mixture as to composition and temperature is obtained. The total mixing time shall be a minimum of 45 seconds consisting of dry and wet mixing. Variation in wet and dry mixing times may be permitted, depending on the moisture content and amount of salvaged material used. The mix temperature shall not exceed 175 °C (350 °F). Wide variations in the mixture temperature will be cause for rejection of the mix.

- (a) Personnel. The QC Manager and Level I Technician shall have successfully completed the Department's "Superpave Field Control Course".
- (b) Required Tests. Testing shall be conducted to control the production of the bituminous mixture at a frequency not less than that listed for Non-Class I mixtures in the special provision "QC/QA of Bituminous Concrete Mixtures".

During production, the ratio of minus 75 µm (#200) sieve material to total asphalt cement shall be not less than 0.6 nor more than 1.6, and the moisture content of the mixture at discharge from the mixer shall not exceed 0.5 percent. If at any time the ratio of minus 75 µm (#200) material to asphalt or moisture content of the mixture falls outside the stated limits, production of the mix shall cease. The cause shall be determined and corrective action satisfactory to the Engineer shall be initiated prior to resumption of production.

During production, mixture containing an anti-stripping additive will be tested by the Engineer for stripping according to Illinois Modified AASHTO T 283. If the mixture fails to meet the TSR criteria for acceptance, no further mixture will be accepted until the Contractor takes such action as is necessary to furnish a mixture meeting the criteria.

- (c) Control Charts/Limits. Control charts/limits shall be according to QC/QA requirements for Non-Class I Mixtures."

Revise Article 355.08 of the Standard Specifications to read:

"355.08 Placing. The bituminous mixture shall be placed with a spreading and finishing machine. The minimum compacted thickness of each lift shall be according to the following table:

Nominal Maximum Aggregate Size of Mixture	Minimum Compacted Lift Thickness
CA 10 - 19 mm (3/4 in.)	57 mm (2 1/4 in.)
CA 6 – 25 mm (1 in.)	76 mm (3 in.)

The maximum compacted thickness of each lift shall be 100 mm (4 in.). If the Contractor elects to substitute an approved vibratory roller for one of the required rollers, the maximum compacted thickness of the each lift, excluding the top lift, may be increased to 150 mm (6 in.) provided the required density is obtained.

The surface of each lift shall be clean and dry before succeeding lifts are placed."

Revise Article 355.13 of the Standard Specifications to read:

"355.13 Basis of Payment. This work will be paid for at the contract unit price per square meter (square yard) for BITUMINOUS BASE COURSE SUPERPAVE of the thickness specified."

Revise Article 356.02 of the Standard Specifications to read:

"356.02 Materials. The materials for the bituminous concrete mixture shall meet the requirements of Article 355.02, be designed according to Article 355.05 and produced according to Article 355.06. Bituminous concrete binder course Superpave mixture IL-25.0 or IL-19.0 meeting the requirements of the special provision, "Superpave Bituminous Concrete Mixtures" may also be used. The minimum compacted lift thickness specified therein shall apply."

Revise the first paragraph of Article 356.06 of the Standard Specifications to read:

"356.06 Base Course Widening. The bituminous concrete mixture shall be transported according to Article 406.14."

Revise the second sentence of the fifth paragraph of Article 356.06 of the Standard Specifications to read:

"The minimum compacted thickness of each lift shall be according to the table shown in Article 355.08."

Revise the first paragraph of Article 356.11 of the Standard Specifications to read:

"356.11 Basis of Payment. Where the Department requires that bituminous concrete be used, this work will be paid for at the contract unit price per square meter (square yard) for BITUMINOUS CONCRETE BASE COURSE WIDENING SUPERPAVE of the thickness specified."

80065

BITUMINOUS CONCRETE SURFACE COURSE (BDE)

Effective: April 1, 2001

Revised: April 1, 2003

Replace the fourth paragraph of Article 406.23(b) of the Standard Specifications with the following:

“Mixture for cracks, joints, flangeways, leveling binder (machine method), leveling binder (hand method) and binder course in excess of 103 percent of the quantity specified by the Engineer will not be measured for payment.

Surface course mixture in excess of 103 percent of adjusted plan quantity will not be measured for payment. The adjusted plan quantity for surface course mixtures will be calculated as follows:

Adjusted Plan Quantity = C x quantity shown on the plans or as specified by the Engineer.

where C = metric: $C = \frac{G_{mb} \times 24.99}{U}$ English: $C = \frac{G_{mb} \times 46.8}{U}$

and where:

G_{mb} = average bulk specific gravity from approved mix design.

U = Unit weight of surface course shown on the plans in kg/sq m/25 mm (lb/sq yd/in.), used to estimate plan quantity.

24.99 = metric constant.

46.8 = English constant.

If project circumstances warrant a new surface course mix design, the above equations shall be used to calculate the adjusted plan quantity for each mix design using its respective average bulk specific gravity.”

80050

COARSE AGGREGATE FOR TRENCH BACKFILL, BACKFILL AND BEDDING (BDE)

Effective: April 1, 2001

Revised: November 1, 2003

Revise Article 208.02 of the Standard Specifications to read:

"208.02 Materials. Materials shall be according to the following Articles of Section 1000 – Materials:

- (a) Fine Aggregate (Note 1) 1003.04
- (b) Coarse Aggregate (Note 2)..... 1004.06

Note 1. The fine aggregate shall be moist to the satisfaction of the Engineer.

Note 2. The coarse aggregate shall be wet to the satisfaction of the Engineer."

Revise the first sentence of the second paragraph of subparagraph (b) in Article 208.03 of the Standard Specifications to read:

"Any material meeting the requirements of Articles 1003.04 or 1004.06 which has been excavated from the trenches shall be used for backfilling the trenches."

Add the following to the end of Article 542.02 of the Standard Specifications:

- "(bb) Fine Aggregate (Note 1) 1003.04
- (cc) Coarse Aggregate (Note 2)..... 1004.06

Note 1. The fine aggregate shall be moist to the satisfaction of the Engineer.

Note 2. The coarse aggregate shall be wet to the satisfaction of the Engineer."

Revise the first and second sentences of the second paragraph of subparagraph (a) of Article 542.04 of the Standard Specifications to read:

"The unstable and unsuitable material shall be removed to a depth determined by the Engineer and for a width of one diameter (or equivalent diameter) of the pipe on each side of the pipe culvert, and replaced with aggregate. Rock shall be removed to an elevation 300 mm (1 ft) lower than the bottom of the pipe or to a depth equal to 40 mm/m (1/2 in./ft) of ultimate fill height over the top of the pipe culvert, whichever is the greater depth, and for a width as specified in (b) below, and replaced with aggregate."

Revise the second paragraph of subparagraph (c) of Article 542.04 of the Standard Specifications to read:

"Well compacted aggregate, at least 100 mm (4 in.) in depth below the pipe culvert, shall be placed the entire width of the trench and for the length of the pipe culvert, except well compacted impervious material shall be used for the outer 1 m (3 ft) at each end of the pipe. When the trench has been widened by the removal and replacement of unstable or unsuitable material, the foundation material shall be placed for a width not less than the above specified widths on each side of the pipe. The aggregate and impervious material shall be approved by the Engineer and shall be compacted to the Engineer's satisfaction by mechanical means."

Revise subparagraph (e) of Article 542.04 of the Standard Specifications to read:

"(e) Backfilling. As soon as the condition of the pipe culvert will permit, the entire width of the trench shall be backfilled with aggregate to a height of at least the elevation of the center of the pipe. The aggregate shall be placed longitudinally along the pipe culvert, except at the outer 1 m (3 ft) at each end of the culvert which shall be backfilled with impervious material. The elevation of the backfill material on each side of the pipe shall be the same. The space under the pipe shall be completely filled. The aggregate and impervious material shall be placed in 200 mm (8 in.) layers, loose measurement. When using PVC, PE, or corrugated metal pipe, the aggregate shall be continued to a height of at least 300 mm (1 ft) above the top of the pipe and compacted to a minimum of 85 percent of standard lab density by mechanical means. When reinforced concrete pipes are used and the trench is within 600 mm (2 ft) of the pavement structure, the backfill shall be compacted to a minimum of 85 percent of standard lab density by mechanical means.

When using PVC, PE, or corrugated metal pipe a minimum of 300 mm (1 ft) of cover from the top of the pipe to the top of the subgrade will be required.

The installed pipe and its embedment shall not be disturbed when using movable trench boxes and shields, sheet pile, or other trench protection.

The remainder of the trench shall be backfilled with select material, from excavation or borrow, free from large or frozen lumps, clods or rock, meeting the approval of the Engineer. The material shall be placed in layers not exceeding 200 mm (8 in.) in depth, loose measurement and compacted to 95 percent of the standard laboratory density. Compaction shall be obtained by use of mechanical tampers or with approved vibratory compactors. Before compacting, each layer shall be wetted or dried to bring the moisture content within the limits of 80 to 110 percent of optimum moisture content determined according to AASHTO T 99 (Method C). All backfill material shall be deposited in the trench or excavation in such a manner as not to damage the culvert. The filling of the trench shall be carried on simultaneously on both sides of the pipe. The Contractor may, at his/her expense, backfill the entire trench with aggregate in lieu of select material. The aggregate shall be compacted to the satisfaction of the Engineer by mechanical means.

The backfill material for all trenches and excavations made in the subgrade of the proposed improvement, and for all trenches outside of the subgrade where the inner edge of the trench is within 600 mm (2 ft) of the edge of the proposed pavement, curb, gutter, curb and gutter, stabilized shoulder, or sidewalk shall be according to Section 208. The trench backfill material shall be compacted to a minimum of 85 percent of standard lab density by mechanical means.

The Contractor may, at his/her expense, backfill the entire trench with controlled low strength material meeting the approval of the Engineer.

When the trench has been widened for the removal and replacement of unstable or unsuitable material, the backfilling with aggregate and impervious material, will be required for a width of at least the specified widths on each side of the pipe. The remaining width of each layer may be backfilled with select material. Each 200 mm (8 in.) layer for the entire trench width shall be completed before beginning the placement of the next layer."

Revise subparagraph (b) of Article 542.05 of the Standard Specifications to read:

"(b) Embankment. Embankment extending to an elevation of 300 mm (1 ft) over the top of the pipe shall be constructed according to Article 542.04(f), except the material up to the elevation of the center of the pipe and extending to a width of at least 450 mm (18 in.) on each side of the pipe, exclusive of the outer 1 m (3 ft) at each end of the pipe, shall consist of aggregate. At the outer 1 m (3 ft) at each end of the culvert, impervious material shall be used."

Add the following paragraph after the first paragraph of Article 542.10 of the Standard Specifications:

"Trench backfill will be measured for payment according to Article 208.03."

Add the following paragraph after the third paragraph of Article 542.11 of the Standard Specifications:

"Trench backfill will be paid for according to Article 208.04."

Add the following to of Article 550.02 of the Standard Specifications:

"(m) Fine Aggregate (Note 2)	1003.04
(n) Coarse Aggregate (Note 3).....	1004.06

Note 2. The fine aggregate shall be moist to the satisfaction of the Engineer.

Note 3. The coarse aggregate shall be wet to the satisfaction of the Engineer."

Revise the first two sentences of the third paragraph of Article 550.04 of the Standard Specifications to read:

"Well compacted, aggregate bedding material at least 100 mm (4 in.) in depth below the pipe, shall be placed for the entire width of the trench and length of the pipe. The aggregate shall be compacted to the satisfaction of the Engineer by mechanical means."

Revise Article 550.07 of the Standard Specifications to read:

"550.07 Backfilling. As soon as the condition of the pipe will permit, the entire width of the trench shall be backfilled with aggregate to a height of at least the elevation of the center of the pipe. The aggregate shall be placed longitudinally along the pipe. The elevation of the backfill material on each side of the pipe shall be the same. The space under the pipe shall be completely filled. The aggregate backfill material shall be placed in 200 mm (8 in.) layers, loose measurement and compacted to the satisfaction of the Engineer by mechanical means. When using PVC pipe, the aggregate shall be continued to a height of at least 300 mm (12 in.) above the top of the pipe.

The installed pipe and its embedment shall not be disturbed when using movable trench boxes and shields, sheet pile, or other trench protection.

The remainder of the trench and excavation shall be backfilled to the natural line or finished surface as rapidly as the condition of the sewer will permit. The backfill material shall consist of suitable excavated material from the trench or of trench backfill as herein specified. All backfill material shall be deposited in the trench or excavation in such a manner as not to damage the sewer and shall be compacted to the satisfaction of the Engineer by mechanical means. The filling of the trench shall be carried on simultaneously on both sides of the pipe.

The backfill material for trenches and excavation made in the subgrade of the proposed improvement, and for all trenches outside of the subgrade where the inner edge of the trench is within 600 mm (2 ft) of the edge of the proposed pavement, curb, gutter, curb and gutter, stabilized shoulder or sidewalk shall be according to Section 208. The backfill material shall be compacted to 85 percent of standard lab density by mechanical means.

All backfill material up to a height of 300 mm (1 ft) above the pipe shall be deposited in uniform layers not exceeding 200 mm (8 in.) thick, loose measurement. The material in each layer shall be compacted to the satisfaction of the Engineer by mechanical means. The backfilling above this height shall be done according to Method 1, 2 or 3 as described below, with the following exceptions.

When trench backfill or excavated material meeting the requirements of Section 208 is required above the first 300 mm (1 ft) of the pipe, the layers shall not exceed 200 mm (8 in.). Gradations CA6 or CA10 shall not be used with Method 2 or Method 3.

Method 1. The material shall be deposited in uniform layers not exceeding 300 mm (1 ft) thick, loose measurement, and each layer shall be compacted to the satisfaction of the Engineer by mechanical means.

Method 2. The material shall be deposited in uniform layers not exceeding 300 mm (1 ft) thick, loose measurement, and each layer shall be either inundated or deposited in water.

Method 3. The trench shall be backfilled with loose material, and settlement secured by introducing water through holes jetted into the backfill to a point approximately 600 mm (2 ft) above the top of the pipe. The holes shall be spaced as directed by the Engineer but shall be no farther than 2 m (6 ft) apart.

The water shall be injected at a pressure just sufficient to sink the holes at a moderate rate of speed. The pressure shall be such that the water will not cut cavities in the backfill material nor overflow the surface. If water does overflow the surface, it shall be drained into the jetted holes by means of shallow trenches.

Water shall be injected as long as it will be absorbed by the backfill material and until samples taken from test holes in the trench show a satisfactory moisture content. The Contractor shall bore the test holes not more than 15 m (50 ft) apart and at such other locations in the trench designated by the Engineer. As soon as the watersoaking has been completed, all holes shall be filled with soil and compacted by ramming with a tool approved by the Engineer.

Backfill material which has been watersoaked shall be allowed to settle and dry for at least 10 days before any surface course or pavement is constructed on it. The length of time may be altered, if deemed desirable, by the Engineer. Where the inner edge of the trench is within 600 mm (2 ft) of the edge of the proposed pavement, curb, gutter, curb and gutter, stabilized shoulder or sidewalk, the provisions of this paragraph shall also apply.

At the end of the settling and drying period, the crusted top of the backfill material shall be scarified and, if necessary, sufficient backfill material added, as specified in Method 1, to complete the backfilling operations.

The method used for backfilling and compacting the backfill material shall be the choice of the Contractor. If the method used does not produce results satisfactory to the Engineer, the Contractor will be required to alter or change the method being used so the resultant backfill will be satisfactory to the Engineer. Should the Contractor be required to alter or change the method being used, no additional compensation will be allowed for altering or changing the method.

The Contractor may, at his/her expense, backfill the entire trench with controlled low strength material meeting the approval of the Engineer.

When sheeting and bracing have been used, sufficient bracing shall be left across the trench as the backfilling progresses to hold the sides firmly in place without caving or settlement. This bracing shall be removed as soon as practicable. Any depressions which may develop within the area involved in the construction operation due to settlement of the backfilling material shall be filled in a manner approved by the Engineer.

When the Contractor constructs the trench with sloped or benched sides according to Article 550.04, backfilling for the full width of the excavation shall be as specified, except no additional compensation will be allowed for trench backfill material required outside the vertical limits of the specified trench width.

Whenever excavation is made for installing sewer pipe across earth shoulders or private property, the topsoil disturbed by excavation operations shall be replaced as nearly as possible in its original position, and the whole area involved in the construction operations shall be left in a neat and presentable condition.

When using any PVC pipe, the pipe shall be backfilled with aggregate to 300 mm (1 ft) over the top of the pipe and compacted to a minimum of 85 percent of standard lab density by mechanical means.

When reinforced concrete pipes are used and the trench is within 600 mm (2 ft) of the pavement structure, the backfill shall be compacted to a minimum of 85 percent of standard lab density by mechanical means.

Deflection Testing for Storm Sewers. All PVC storm sewers will be tested for deflection not less than 30 days after the pipe is installed and the backfill compacted.

For PVC storm sewers with diameters 600 mm (24 in.) or smaller, a mandrel drag shall be used for deflection testing. For PVC storm sewers with diameters over 600 mm (24 in.), deflection measurements other than by a mandrel drag shall be used.

Where the mandrel is used, the mandrel shall be furnished by the Contractor and pulled by hand through the pipeline with a suitable rope or cable connected to each end. Winching or other means of forcing the deflection gauge through the pipeline will not be allowed.

The mandrel shall be of a shape similar to that of a true circle enabling the gauge to pass through a satisfactory pipeline with little or no resistance. The mandrel shall be of a design to prevent it from tipping from side to side and to prevent debris build-up from occurring between the channels of the adjacent fins or legs during operation. Each end of the core of the mandrel shall have fasteners to which the pulling cables can be attached. The mandrel shall have 9, various sized fins or legs of appropriate dimension for various diameter pipes. Each fin or leg shall have a permanent marking that states its designated pipe size and percent of deflection allowable.

The outside diameter of the mandrel shall be 95 percent of the base inside diameter, where the base inside diameter is:

For all PVC pipe (as defined using ASTM D 3034 methodology):

If the pipe is found to have a deflection greater than specified, that pipe section shall be removed, replaced, and retested."

Revise subparagraph (c) of Article 1003.04 of the Standard Specifications to read:

"(c) Gradation. The fine aggregate gradation shall be as follows:

Backfill, bedding and trench backfill for pipe	
culverts and storm sewers	FA 1, FA 2, FA 6, or FA 21
Porous granular embankment and backfill, french drains,	
and sand backfill for underdrains	FA 1, FA 2, or FA20 (Note 1)

Note 1: For FA 1, FA 2, and FA 20 the percent passing the 75 μ m (No. 200) sieve shall be 2 ± 2 ."

Revise the title of Article 1004.06 of the Standard Specifications to read:

"Coarse Aggregate for Blotter, Embankment, Backfill, Trench Backfill, French Drains, and Bedding."

Add the following to the end of subparagraph (c) of Article 1004.06 of the Standard Specifications:

"Backfill, bedding, and trench backfill for pipe culverts
and storm sewers CA 6, CA 10, and CA 18"

CONCRETE ADMIXTURES (BDE)

Effective: January 1, 2003

Revise the sixth paragraph of Article 1020.05(b) of the Standard Specifications to read:

“The maximum slumps given in Table 1 may be increased to 175 mm (7 in.) when a high range water-reducing admixture is used for all classes of concrete except Class PV and PP.”

Revise Section 1021 of the Standard Specifications to read:

“SECTION 1021. CONCRETE ADMIXTURES

1021.01 General. Admixtures shall be furnished in liquid form ready for use. The admixtures may be delivered in the manufacturer's original containers, bulk tank trucks or such containers or tanks as are acceptable to the Engineer. Delivery shall be accompanied by a ticket which clearly identifies the manufacturer and trade name of the material. In all cases, containers shall be readily identifiable to the satisfaction of the Engineer as to manufacturer and trade name of the material they contain.

Prior to inclusion of a product on the Department's Approved List of Concrete Admixtures, the manufacturer shall submit a report prepared by an independent laboratory accredited by the AASHTO Accreditation Program. The report shall show the results of physical tests conducted no more than five years prior to the time of submittal, according to applicable specifications.

Tests shall be conducted using materials and methods specified on a "test" concrete and a "reference" concrete, together with a certification that no changes have been made in the formulation of the material since the performance of the tests. The report shall also include water contents and results of set time tests according to AASHTO T 197 that were conducted on both a test and reference concrete, using cement from the source that is used as a standard by the Bureau of Materials and Physical Research. The cement content for all required tests shall either be according to applicable specifications or 335 kg/cu m (5.65 cwt/cu yd). Compressive strength test results for six months and one year will not be required.

Prior to the approval of an admixture, the Engineer may conduct all or part of the applicable tests on a sample that is representative of the material to be furnished. The test and reference concrete mixtures tested by the Engineer will contain a cement content of 335 kg/cu m (5.65 cwt/cu yd).

The manufacturer shall submit certification, both initially and annually thereafter, giving the following information according to ASTM C 494; the average and manufacturing range of specific gravity, the average and manufacturing range of solids in the solution, and the average and manufacturing range of pH. The initial and annual certifications shall further state that all admixtures, except chloride-based accelerators, shall contain no more than 0.3 percent chloride by mass. The initial submittal shall also include an infrared spectrophotometer trace no more than five years old.

Annual re-submittals will be required and shall include certification that no changes have been made in the formulation since it was initially approved. The certification shall state that the admixture is the same as previously approved, and the Engineer may conduct such tests as deemed desirable to check the properties of the material before re-approval is granted.

When test results are more than seven years old, the manufacturer shall re-submit the infrared spectrophotometer trace and the report prepared by an independent laboratory that is accredited by AASHTO Accreditation Program.

1021.02 Air-Entraining Admixtures. Air-entraining admixtures shall conform to the requirements of AASHTO M 154.

If the manufacturer certifies that the air-entraining admixture is an aqueous solution of Vinsol resin that has been neutralized with sodium hydroxide (caustic soda), testing for compliance with the requirements may be waived by the Engineer. In the certification, the manufacturer shall show complete information with respect to the formulation of the solution, including the number of parts of Vinsol resin to each part of sodium hydroxide. Before the approval of its use is granted, the Engineer will test the solution for its air-entraining quality in comparison with a solution prepared and kept for that purpose.

1021.03 Retarding and Water-Reducing Admixtures. The admixture shall comply with the following requirements:

- (a) The retarding admixture shall comply with the requirements of AASHTO M 194, Type B (retarding) or Type D (water-reducing and retarding).
- (b) The water-reducing admixture shall comply with the requirements of AASHTO M 194, Type A.
- (c) The high range water-reducing admixture shall comply with the requirements of AASHTO M 194, Type F (high range water-reducing) or Type G (high range water-reducing and retarding).

When a Type F or Type G high range water-reducing admixture is used, water-cement ratios shall be a minimum of 0.32.

Type F or Type G admixtures may be used, subject to the following restrictions:

For Class MS, SI, RR, SC and SH concrete, the water-cement ratio shall be a maximum of 0.44.

The Type F or Type G admixture shall be added at the jobsite unless otherwise directed by the Engineer. The initial slump shall be a minimum of 40 mm (1 1/2 in.) prior to addition of the Type F or Type G admixture, except as approved by the Engineer.

When a Type F or Type G admixture is used, retempering with water or with a Type G admixture will not be allowed. An additional dosage of a Type F admixture, not to exceed 40 percent of the original dosage, may be used to retemper concrete once, provided set time is not unduly affected. A second retempering with a Type F admixture may be used for all classes of concrete except Class PP and SC, provided that the dosage does not exceed the dosage used for the first retempering, and provided that the set time is not unduly affected. No further retempering will be allowed.

Air tests shall be performed after the addition of the Type F or Type G admixture.

1021.04 Set Accelerating Admixtures. The admixture shall comply with the requirements of AASHTO M 194, Type C (accelerating) or Type E (water reducing and accelerating)”

CONTROLLED AGGREGATE MIXING SYSTEM (BDE)

Effective: November 1, 2002

Revise the fourth sentence of the first paragraph of Article 311.05(b) of the Standard Specifications to read:

“The water and granular material shall be mixed through a controlled aggregate mixing system. The system shall consist of a mechanical mixing device and aggregate and water measuring devices, meeting the approval of the Engineer.”

Revise the third and fourth sentences of the fourth paragraph of Article 351.05(b) of the Standard Specifications to read:

“The water and aggregate shall be mixed through a controlled aggregate mixing system. The system shall consist of a mechanical mixing device and aggregate and water measuring devices, meeting the approval of the Engineer.”

Delete the third sentence of the first paragraph of Article 351.05(c) of the Standard Specifications.

Revise the second and third sentences of the first paragraph of Article 481.04(a) of the Standard Specifications to read:

“The water and aggregate shall be mixed through a controlled aggregate mixing system. The system shall consist of a mechanical mixing device and aggregate and water measuring devices, meeting the approval of the Engineer.”

CORRUGATED METAL PIPE CULVERTS (BDE)

Effective: August 1, 2003

Revise the fourth paragraph of Article 542.04(d) of the Standard Specifications to read:

“When corrugated steel or aluminum alloy culvert pipe (including bituminous coated steel or aluminum and pre-coated steel) is used, the pipe shall be placed such that the longitudinal lap is placed at the sides and separate sections of pipe shall be joined with a hugger-type band. When the pipes are fabricated with a smooth sleeve-type coupler, the gasket shall meet the requirements of Article 1006.01.”

Add the following paragraph after the first paragraph of Article 1006.01 of the Standard Specifications:

“Round pipes 1200 mm (48 in.) in diameter and smaller may be fabricated with a smooth sleeve-type coupler. Gasket material on the smooth sleeve-type coupler shall be polyisoprene or equal with a durometer hardness of 45 ± 5 (ASTM D 2240, Shore A). Pipe used with smooth sleeve-type couplers shall contain a homing mark that indicates when the joint is tight. The homing mark shall consist of a painted stripe around the circumference of the male end of the pipe.”

Delete the last sentence of the second paragraph of Article 1006.01(a) of the Standard Specifications.

Add the following paragraph after the first paragraph of Article 1006.03 of the Standard Specifications:

“Round pipes 1200 mm (48 in.) in diameter and smaller may be fabricated with a smooth sleeve-type coupler. Gasket material on the smooth sleeve-type coupler shall be polyisoprene or equal with a durometer hardness of 45 ± 5 (ASTM D 2240, Shore A). Pipe used with smooth sleeve-type couplers shall contain a homing mark that indicates when the joint is tight. The homing mark shall consist of a painted stripe around the circumference of the male end of the pipe.”

80102

DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION (BDE)

Effective: September 1, 2000

Revised: October 1, 2002

FEDERAL OBLIGATION. The Department of Transportation, as a recipient of federal financial assistance, is required to take all necessary and reasonable steps to ensure nondiscrimination in the award and administration of contracts. Consequently, the federal regulatory provisions of 49 CFR part 26 apply to this contract concerning the utilization of disadvantaged business enterprises. This Special Provision will also be used by the Department to satisfy the requirements of the Business Enterprise for Minorities, Females, and Persons with Disabilities Act, 30 ILCS 575. For the purposes of this Special Provision, a disadvantaged business enterprise (DBE) means a business certified by the Department in accordance with the requirements of 49 CFR part 26 and listed in the DBE Directory or most recent addendum.

CONTRACTOR ASSURANCE. The Contractor makes the following assurance and agrees to include the assurance in each subcontract that the Contractor signs with a subcontractor:

The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of federally-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

OVERALL GOAL SET FOR THE DEPARTMENT. As a requirement of compliance with 49 CFR part 26, the Department has set an overall goal for DBE participation in its federally assisted contracts. That goal is 12.14% of all federal-aid funds the Department will expend in its federally assisted contracts for the subject reporting fiscal year. The Department is required to make a good faith effort to achieve this goal. The dollar amount paid to all approved DBE firms performing work called for in this contract is eligible to be credited toward fulfillment of the Department's overall goal.

CONTRACT GOAL TO BE ACHIEVED BY THE CONTRACTOR. This contract includes a specific DBE utilization goal established by the Department. The goal has been included because the Department has determined that the work of this contract has subcontracting opportunities that may be suitable for performance by DBE companies. This determination is based on an assessment of the type of work, the location of the work, and the availability of DBE companies to do a part of the work. The assessment indicates that, in the absence of unlawful discrimination, and in an arena of fair and open competition, DBE companies can be expected to perform 4.50% of the work. This percentage is set as the DBE participation goal for this contract. Consequently, in addition to the other award criteria established for this contract, the Department will award this contract to a bidder who makes a good faith effort to meet this goal of DBE participation in the performance of the work. A bidder makes a good faith effort for award consideration if either of the following is done in accordance with the procedures set forth in this Special Provision:

- (a) The bidder documents that firmly committed DBE participation has been obtained to meet the goal; or

- (b) The bidder documents that a good faith effort has been made to meet the goal, even though the effort did not succeed in obtaining enough DBE participation to meet the goal.

DBE LOCATOR REFERENCES. Bidders may consult the DBE Directory as a reference source for DBE companies certified by the Department. In addition, the Department maintains a letting and item specific DBE locator information system whereby DBE companies can register their interest in providing quotes on particular bid items advertised for letting. Information concerning DBE companies willing to quote work for particular contracts may be obtained by contacting the Department's Bureau of Small Business Enterprises at telephone number (217)785-4611, or by visiting the Department's web site at www.dot.state.il.us.

BIDDING PROCEDURES. Compliance with the bidding procedures of this Special Provision is required prior to the award of the contract and the failure of the as-read low bidder to comply will render the bid nonresponsive.

- (a) In order to assure the timely award of the contract, the as-read low bidder must submit a Disadvantaged Business Utilization Plan on Department form SBE 2026 within seven (7) working days after the date of letting. To meet the seven (7) day requirement , the bidder may send the Plan by certified mail or delivery service within the seven (7) working day period. If a question arises concerning the mailing date of a Plan, the mailing date will be established by the U.S. Postal Service postmark on the original certified mail receipt from the U.S. Postal Service or the receipt issued by a delivery service. It is the responsibility of the as-read low bidder to ensure that the postmark or receipt date is affixed within the seven (7) working days if the bidder intends to rely upon mailing or delivery to satisfy the submission day requirement. The Plan is to be submitted to the Department of Transportation, Bureau of Small Business Enterprises, Contract Compliance Section, 2300 South Dirksen Parkway, Room 319, Springfield, Illinois 62764 (Telefax: (217)785-1524). It is the responsibility of the bidder to obtain confirmation of telefax delivery. The Department will not accept a Utilization Plan if it does not meet the seven (7) day submittal requirement, and the bid will be declared nonresponsive. In the event the bid is declared nonresponsive due to a failure to submit a Plan or failure to comply with the bidding procedures set forth herein, the Department may elect to cause the forfeiture of the penal sum of the bidder's proposal guaranty, and may deny authorization to bid the project if re-advertised for bids. The Department reserves the right to invite any other bidder to submit a Utilization Plan at any time for award consideration or to extend the time for award.
- (b) The Utilization Plan shall indicate that the bidder either has obtained sufficient DBE participation commitments to meet the contract goal or has not obtained enough DBE participation commitments in spite of a good faith effort to meet the goal. The Utilization Plan shall further provide the name, telephone number and telefax number of a responsible official of the bidder designated for purposes of notification of plan approval or disapproval under the procedures of this Special Provision.
- (c) The Utilization Plan shall include a DBE Participation Commitment Statement, Department form SBE 2025, for each DBE proposed for the performance of work to achieve the contract goal. The signatures on these forms must be original signatures. All elements of information indicated on the said form shall be provided, including but not limited to the following:

- (1) The name and address of each DBE to be used;

- (2) A description, including pay item numbers, of the commercially useful work to be done by each DBE;
 - (3) The price to be paid to each DBE for the identified work specifically stating the quantity, unit price and total subcontract price for the work to be completed by the DBE. If partial pay items are to be performed by the DBE, indicate the portion of each item, a unit price where appropriate and the subcontract price amount;
 - (4) A commitment statement signed by the bidder and each DBE evidencing availability and intent to perform commercially useful work on the project; and
 - (5) If the bidder is a joint venture comprised of DBE firms and non-DBE firms, the plan must also include a clear identification of the portion of the work to be performed by the DBE partner(s).
- (d) The contract will not be awarded until the Utilization Plan submitted by the bidder is approved. The Utilization Plan will be approved by the Department if the Plan commits sufficient commercially useful DBE work performance to meet the contract goal. The Utilization Plan will not be approved by the Department if the Plan does not commit sufficient DBE performance to meet the contract goal unless the bidder documents that it made a good faith effort to meet the goal. The good faith procedures of Section VIII of this special provision apply. If the Utilization Plan is not approved because it is deficient in a technical matter, unless waived by the Department, the bidder will be notified and will be allowed no less than a five (5) working day period in order to cure the deficiency.

CALCULATING DBE PARTICIPATION. The Utilization Plan values represent work anticipated to be performed and paid for upon satisfactory completion. The Department is only able to count toward the achievement of the overall goal and the contract goal the value of payments made for the work actually performed by DBE companies. In addition, a DBE must perform a commercially useful function on the contract to be counted. A commercially useful function is generally performed when the DBE is responsible for the work and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. The Department and Contractor are governed by the provisions of 49 CFR part 26.55(c) on questions of commercially useful functions as it affects the work. Specific counting guidelines are provided in 49 CFR part 26.55, the provisions of which govern over the summary contained herein.

- (a) DBE as the Contractor: 100% goal credit for that portion of the work performed by the DBE's own forces, including the cost of materials and supplies. Work that a DBE subcontracts to a non-DBE firm does not count toward the DBE goals.
- (b) DBE as a joint venture Contractor: 100% goal credit for that portion of the total dollar value of the contract equal to the distinct, clearly defined portion of the work performed by the DBE's own forces.
- (c) DBE as a subcontractor: 100% goal credit for the work of the subcontract performed by the DBE's own forces, including the cost of materials and supplies. Work that a DBE subcontractor in turn subcontracts to a non-DBE firm does not count toward the DBE goal.

- (d) DBE as a trucker: 100% goal credit for trucking participation provided the DBE is responsible for the management and supervision of the entire trucking operation for which it is responsible. At least one truck owned, operated, licensed and insured by the DBE must be used on the contract. Credit will be given for the full value of all such DBE trucks operated using DBE employed drivers. Goal credit will be limited to the value of the reasonable fee or commission received by the DBE if trucks are leased from a non-DBE company.
- (e) DBE as a material supplier:
 - (1) 60% goal credit for the cost of the materials or supplies purchased from a DBE regular dealer.
 - (2) 100% goal credit for the cost of materials or supplies obtained from a DBE manufacturer.
 - (3) 100% credit for the value of reasonable fees and commissions for the procurement of materials and supplies if not a regular dealer or manufacturer.

GOOD FAITH EFFORT PROCEDURES. If the bidder cannot obtain sufficient DBE commitments to meet the contract goal, the bidder must document in the Utilization Plan the good faith efforts made in the attempt to meet the goal. This means that the bidder must show that all necessary and reasonable steps were taken to achieve the contract goal. Necessary and reasonable steps are those which could reasonably be expected to obtain sufficient DBE participation. The Department will consider the quality, quantity and intensity of the kinds of efforts that the bidder has made. Mere *pro forma* efforts are not good faith efforts; rather, the bidder is expected to have taken those efforts that would be reasonably expected of a bidder actively and aggressively trying to obtain DBE participation sufficient to meet the contract goal.

- (a) The following is a list of types of action that the Department will consider as part of the evaluation of the bidder's good faith efforts to obtain participation. These listed factors are not intended to be a mandatory checklist and are not intended to be exhaustive. Other factors or efforts brought to the attention of the Department may be relevant in appropriate cases, and will be considered by the Department.
 - (1) Soliciting through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising and/or written notices) the interest of all certified DBE companies that have the capability to perform the work of the contract. The bidder must solicit this interest within sufficient time to allow the DBE companies to respond to the solicitation. The bidder must determine with certainty if the DBE companies are interested by taking appropriate steps to follow up initial solicitations.
 - (2) Selecting portions of the work to be performed by DBE companies in order to increase the likelihood that the DBE goals will be achieved. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise prefer to perform these work items with its own forces.
 - (3) Providing interested DBE companies with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.

- (4) a. Negotiating in good faith with interested DBE companies. It is the bidder's responsibility to make a portion of the work available to DBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available DBE subcontractors and suppliers, so as to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBE companies that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBE companies to perform the work.
 - b. A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including DBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using DBE companies is not in itself sufficient reason for a bidder's failure to meet the contract DBE goal, as long as such costs are reasonable. Also, the ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the bidder of the responsibility to make good faith efforts. Prime contractors are not, however, required to accept higher quotes from DBE companies if the price difference is excessive or unreasonable.
- (5) Not rejecting DBE companies as being unqualified without sound reasons based on a thorough investigation of their capabilities. The contractor's standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations (for example union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the contractor's efforts to meet the project goal.
 - (6) Making efforts to assist interested DBE companies in obtaining bonding, lines of credit, or insurance as required by the recipient or contractor.
 - (7) Making efforts to assist interested DBE companies in obtaining necessary equipment, supplies, materials, or related assistance or services.
 - (8) Effectively using the services of available minority/women community organizations; minority/women contractors' groups; local, state, and Federal minority/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBE companies.

- (b) If the Department determines that the Contractor has made a good faith effort to secure the work commitment of DBE companies to meet the contract goal, the Department will award the contract provided that it is otherwise eligible for award. If the Department determines that a good faith effort has not been made, the Department will notify the bidder of that preliminary determination by contacting the responsible company official designated in the Utilization Plan. The preliminary determination shall include a statement of reasons why good faith efforts have not been found, and may include additional good faith efforts that the bidder could take. The notification will designate a five (5) working day period during which the bidder shall take additional efforts. The bidder is not limited by a statement of additional efforts, but may take other action beyond any stated additional efforts in order to obtain additional DBE commitments. The bidder shall submit an amended Utilization Plan if additional DBE commitments to meet the contract goal are secured. If additional DBE commitments sufficient to meet the contract goal are not secured, the bidder shall report the final good faith efforts made in the time allotted. All additional efforts taken by the bidder will be considered as part of the bidder's good faith efforts. If the bidder is not able to meet the goal after taking additional efforts, the Department will make a pre-final determination of the good faith efforts of the bidder and will notify the designated responsible company official of the reasons for an adverse determination.
- (c) The bidder may request administrative reconsideration of a pre-final determination adverse to the bidder within the five (5) working days after the notification date of the determination by delivering the request to the Department of Transportation, Bureau of Small Business Enterprises, Contract Compliance Section, 2300 South Dirksen Parkway, Room 319, Springfield, Illinois 62764 (Telefax: (217)785-1524). Deposit of the request in the United States mail on or before the fifth business day shall not be deemed delivery. The pre-final determination shall become final if a request is not made and delivered. A request may provide additional written documentation and/or argument concerning the issue of whether an adequate good faith effort was made to meet the contract goal. In addition, the request shall be considered a consent by the bidder to extend the time for award. The request will be forwarded to the Department's Reconsideration Officer. The Reconsideration Officer will extend an opportunity to the bidder to meet in person in order to consider all issues of whether the bidder made a good faith effort to meet the goal. After the review by the Reconsideration Officer, the bidder will be sent a written decision within ten (10) working days after receipt of the request for reconsideration, explaining the basis for finding that the bidder did or did not meet the goal or make adequate good faith efforts to do so. A final decision by the Reconsideration Officer that a good faith effort was made shall approve the Utilization Plan submitted by the bidder and shall clear the contract for award. A final decision that a good faith effort was not made shall render the bid nonresponsive.

CONTRACT COMPLIANCE. Compliance with this Special Provision is an essential part of the contract. The Department is prohibited by federal regulations from crediting the participation of a DBE included in the Utilization Plan toward either the contract goal or the Department's overall goal until the amount to be applied toward the goals has been paid to the DBE. The following administrative procedures and remedies govern the compliance by the Contractor with the contractual obligations established by the Utilization Plan. After approval of the Plan and award of the contract, the Utilization Plan and individual DBE Participation Statements become part of the contract. If the contractor did not succeed in obtaining enough DBE participation to achieve the advertised contract goal, and the Utilization Plan was approved and contract awarded based upon a determination of good faith, the total dollar value of DBE work calculated in the approved Utilization Plan as a percentage of the awarded contract value shall become the amended contract goal.

- (a) No amendment to the Utilization Plan may be made without prior written approval from the Department's Bureau of Small Business Enterprises. All requests for amendment to the Utilization Plan shall be submitted to the Department of Transportation, Bureau of Small Business Enterprises, Contract Compliance Section, 2300 South Dirksen Parkway, Room 319, Springfield, Illinois 62764. Telephone number (217) 785-4611. Telefax number (217) 785-1524.
- (b) All work indicated for performance by an approved DBE shall be performed, managed and supervised by the DBE executing the Participation Statement. The Contractor shall not terminate for convenience a DBE listed in the Utilization Plan and then perform the work of the terminated DBE with its own forces, those of an affiliate or those of another subcontractor, whether DBE or not, without first obtaining the written consent of the Bureau of Small Business Enterprises to amend the Utilization Plan. If a DBE listed in the Utilization Plan is terminated for reasons other than convenience, or fails to complete its work on the contract for any reason, the Contractor shall make good faith efforts to find another DBE to substitute for the terminated DBE. The good faith efforts shall be directed at finding another DBE to perform at least the same amount of work under the contract as the DBE that was terminated, but only to the extent needed to meet the contract goal or the amended contract goal. The Contractor shall notify the Bureau of Small Business Enterprises of any termination for reasons other than convenience, and shall obtain approval for inclusion of the substitute DBE in the Utilization Plan. If good faith efforts following a termination of a DBE for cause are not successful, the Contractor shall contact the Bureau and provide a full accounting of the efforts undertaken to obtain substitute DBE participation. The Bureau will evaluate the good faith efforts in light of all circumstances surrounding the performance status of the contract, and determine whether the contract goal should be amended.
- (c) The Contractor shall maintain a record of payments for work performed to the DBE participants. The records shall be made available to the Department for inspection upon request. After the performance of the final item of work or delivery of material by a DBE and final payment therefor to the DBE by the Contractor, but not later than thirty (30) calendar days after payment has been made by the Department to the Contractor for such work or material without regard to any retainage withheld by the Department, the Contractor shall submit a DBE Payment Report on Department form SBE 2115 to the District Engineer. If full and final payment has not been made to the DBE, the Report shall indicate whether a disagreement as to the payment required exists between the Contractor and the DBE or if the Contractor believes that the work has not been satisfactorily completed. If the Contractor does not have the full amount of work indicated in the Utilization Plan performed by the DBE companies indicated in the Plan, the Department will deduct from contract payments to the Contractor the amount of the goal not achieved as liquidated and ascertained damages.
- (d) The Department reserves the right to withhold payment to the Contractor to enforce the provisions of this Special Provision. Final payment shall not be made on the contract until such time as the Contractor submits sufficient documentation demonstrating achievement of the goal in accordance with this Special Provision or after liquidated damages have been determined and collected.

EPOXY COATINGS FOR STEEL REINFORCEMENT (BDE)

Effective: April 1, 2003

Revise Article 1006.10(b)(2) of the Standard Specifications to read:

“(2) Epoxy Coated Reinforcement Bars. Epoxy coated reinforcement bars shall conform to the requirements of AASHTO M 284M (M 284), except:

- a. The maximum thickness of epoxy coating on spiral reinforcement, coated after fabrication, shall be 0.5 mm (20 mils).
- b. No more than eight of the holidays permitted shall be in any 300 mm (1 ft) of length for continuity of coating.

The epoxy coating applicator shall be certified under the Concrete Reinforcing Steel Institute’s (CRSI) Epoxy Plant Certification Program.

The epoxy coater shall provide access for the Engineer at any time during production or shipping. Random bars may be checked at the epoxy coater’s facility or the jobsite for coating uniformity, thickness and discontinuity; cracks on the bends; and other damaged areas. Upon request, the coater shall provide samples for testing by the Engineer.

Bars may be sheared or sawn to length after coating, provided end damage to coating does not extend more than 15 mm (1/2 in.) back and the cut end is patched before any visible oxidation appears. Flame cutting will not be permitted.”

Add the following paragraph after the first paragraph of Article 1006.11(b) of the Standard Specifications:

“The epoxy coating applicator shall be certified under the Concrete Reinforcing Steel Institute’s (CRSI) Epoxy Plant Certification Program.”

80100

EPOXY COATING ON REINFORCEMENT (BDE)

Effective: April 1, 1997

Revised: January 1, 2003

For work outside the limits of bridge approach pavement, all references to epoxy coating in the Highway Standards and Standard Specifications for reinforcement, tie bars and chair supports will not apply for pavement, shoulders, curb, gutter, combination curb and gutter and median.

31578

EROSION AND SEDIMENT CONTROL DEFICIENCY DEDUCTION (BDE)

Effective: August 1, 2001
Revised: November 1, 2001

When the Engineer is notified or determines an erosion and/or sediment control deficiency(s) exists, he/she will direct the Contractor in writing to correct the deficiency. The Contractor shall then correct the deficiency within 24 hours. The deficiency may be any lack of repair, maintenance, or implementation of erosion and/or sediment control devices included in the contract, or any failure to comply with the conditions of the National Pollutant Discharge Elimination System (NPDES) Storm Water Permit for Construction Site Activities.

If the Contractor fails to correct the deficiency(s) within 24 hours, a daily monetary deduction will be imposed for each calendar day or fraction thereof the deficiency exists. The time period will begin with the initial written notification to the Contractor and end with the Engineer's acceptance of the corrected work. The per calendar day deduction will be either \$1000.00 or 0.05 percent of the awarded contract value, whichever is greater.

If the Contractor fails to respond, the Engineer may correct the deficiencies and deduct the cost from monies due or which may become due the Contractor. This corrective action shall in no way relieve the Contractor of his/her contractual requirements or responsibilities.

EXPANSION JOINTS (BDE)

Effective: August 1, 2003

Add the following paragraph after the second paragraph of Article 420.10(e) of the Standard Specifications:

"After the dowel bars are oiled, plastic expansion caps shall be secured to the bars maintaining a minimum expansion gap of 50 mm (2 in.) between the end of the bar and the end of the cap. The caps shall fit snugly on the bar and the closed end shall be watertight. For expansion joints formed using dowel bar basket assemblies, the caps shall be installed on the alternating free ends of the bars. For expansion joints formed using a construction header, the caps shall be installed on the exposed end of each bar once the header has been removed and the joint filler material has been installed."

SUBGRADE PREPARATION (BDE)

Effective: November 1, 2002

Revise the tenth paragraph of Article 301.03 of the Standard Specifications to read:

"Equipment of such weight, or used in such a way as to cause a rut in the finished subgrade of 13 mm (1/2 in.) or more in depth, shall be removed from the work or the rutting otherwise prevented."

FLAGGER VESTS (BDE)

Effective: April 1, 2003

Revise the first sentence of Article 701.04(c)(1) of the Standard Specifications to read:

“The flagger shall be stationed to the satisfaction of the Engineer and be equipped with a fluorescent orange, fluorescent yellow/green or a combination of fluorescent orange and fluorescent yellow/green vest meeting the requirements of the American National Standards Institute specification ANSI/ISEA 107-1999 for Conspicuity Class 2 garments and approved flagger traffic control signs conforming to Standard 702001 and Article 702.05(e).”

Revise Article 701.04(c)(6) of the Standard Specifications to read:

“(6) Nighttime Flagging. The flagger station shall be lit by additional overhead lighting other than streetlights. The flagger shall be equipped with a fluorescent orange or fluorescent orange and fluorescent yellow/green garment meeting the requirements of the American National Standards Institute specification ANSI/ISEA 107-1999 for Conspicuity Class 2 garments.”

FLUORESCENT ORANGE SHEETING ON DRUMS (BDE)

Effective: November 1, 2000

Revised: January 1, 2003

Revise the first sentence of the first paragraph of Article 702.03(e) of the Standard Specifications to read:

“Drums shall be nonmetallic and have alternating reflectorized Type AA or Type AP fluorescent orange and reflectorized white horizontal, circumferential stripes.”

80025

FREEZE-THAW RATING (BDE)

Effective: November 1, 2002

Revise the first sentence of Article 1004.02(f) of the Standard Specifications to read:

“When coarse aggregate is used to produce portland cement concrete for base course, base course widening, pavement, driveway pavement, sidewalk, shoulders, curb, gutter, combination curb and gutter, median, paved ditch or their repair using concrete, the gradation permitted will be determined from the results of the Department’s Freeze-Thaw Test.”

HAND VIBRATOR (BDE)

Effective: November 1, 2003

Add the following paragraph to Article 1103.17(a) of the Standard Specifications:

“The vibrator shall have a non-metallic head for areas containing epoxy coated reinforcement. The head shall be coated by the manufacturer. The hardness of the non-metallic head shall be less than the epoxy coated reinforcement, resulting in no damage to the epoxy coating. Slip-on covers will not be allowed.”

LIGHT EMITTING DIODE (LED) SIGNAL HEAD (BDE)

Effective: April 1, 2002

Revised: August 1, 2003

Add the following paragraph to the end of Article 802.03 of the Standard Specifications:

“ The warranty for light emitting diode (LED) modules, including the maintained minimum luminous intensities, shall cover a minimum of 60 months from the date of delivery.”

Revise Article 880.01 of the Standard Specifications to read:

“ **880.01 Description.** This work shall consist of furnishing and installing a conventional signal head, optically programmed signal head or light emitting diode (LED) signal head.”

Revise Article 880.02(a) of the Standard Specifications to read:

“ (a) Signal
Heads.....1078.01”

Revise the first sentence of the first paragraph of Article 880.03 of the Standard Specifications to read:

“ The signal head shall be installed on a post, bracket, span wire or mast arm as shown on the plans.”

Revise the first paragraph of Article 880.04 of the Standard Specifications to read:

“ **880.04 Basis of Payment.** This work will be paid for at the contract unit price each for SIGNAL HEAD, OPTICALLY PROGRAMMED SIGNAL HEAD, or SIGNAL HEAD, LED of the type specified and of the material type when specified.”

Revise Article 1078.01 of the Standard Specifications to read:

“ **1078.01 Signal Head, Optically Programmed Signal Head and Light Emitting Diode (LED) Signal Head.**”

Add the following to Article 1078.01(c) of the Standard Specifications:

“ (3) The LED signal section shall be according to the following:

a. General Requirements. The LED signal head shall meet the requirements of the Institute of Transportation Engineers (ITE) interim LED purchase specification, “Vehicle Traffic Control Signal Heads, Part 2: LED Vehicle Traffic Signal Modules”, or applicable successor ITE specifications, except as modified herein. The LEDs utilized in the modules shall not be Aluminum Gallium Arsenide (AlGaAs) material technology.

b. Physical and Mechanical Requirements. The power supply for the LED module shall be integrated with the unit.

c. Photometric Requirements. The candlepower values for yellow 300 mm (12 in.) circular modules shall be equal to the corresponding values for green 300 mm (12 in.) circular modules as listed in Table 1 of Section 4 of the aforementioned ITE specification based on normal use in traffic signal operation over the operating temperature range.

The illuminated portion of the arrow module shall be uniformly and completely dispersed with the LEDs.

d. Electrical Requirements. When applicable to the particular module type, the LED signal module shall be EPA Energy Star qualified. For yellow 300 mm (12 in.) circular and arrow modules, the wattage requirements shall be as follows:

Module Type	Maximum Watts (W) at 74 °C (165 °F)	Nominal Watts (W) at 25 °C (77 °F)
300 mm (12 in.) Yellow Circular	25	22
300 mm (12 in.) Yellow Arrow	12	10

The individual LEDs shall be wired such that a catastrophic loss or the failure of one LED will result in the loss of not more than 5 percent of the signal module light output.

e. Warranty. The LED modules shall be warrantied according to Article 802.03. The maintained minimum intensities for 300 mm (12 in.) arrow modules throughout the warranty period under the operating temperature and voltage range, and at the end of the warranty period shall not be less than the following values:

Module Type	Maintained Minimum Intensities (cd/sq m)
Red Arrow	5,000
Yellow Arrow	11,000
Green Arrow	11,000”

80067

PARTIAL PAYMENTS

Revise Article 109.07 of the Standard Specifications to read:

“109.07 Partial Payments. Partial payments will be made as follows:

- (a) Progress Payments. At least once each month, the Engineer will make a written estimate of the amount of work performed in accordance with the contract, and the value thereof at the contract unit prices. The amount of the estimate approved as due for payment will be vouchered by the Department and presented to the State Comptroller for payment. No amount less than \$1000.00 will be approved for payment other than the final payment.

The failure to perform any requirement, obligation, or term of the contract by the Contractor shall be reason for withholding any progress payments until the Department determines that compliance has been achieved. Furthermore, progress payments may be reduced by liens filed pursuant to Section 23(c) of the Mechanics Lien Act, 770 ILCS 60/23(c).

- (b) Material Allowances. At the discretion of the Department, payment may be made for materials, prior to their use in the work, when satisfactory evidence is presented by the Contractor. Satisfactory evidence includes justification for the allowance (to expedite the work, meet project schedules, regional or national material shortages, etc.), documentation of material and transportation costs, and evidence that such material is properly stored on the project or at a secure location acceptable and accessible to the Department.

Material allowances will be considered only for nonperishable materials when the cost, including transportation, exceeds \$10,000 and such materials are not expected to be utilized within 60 days of the request for the allowance. For contracts valued under \$500,000, the minimum \$10,000 requirement may be met by combining the principal (material) product of no more than two contract items. An exception to this two item limitation may be considered for any contract regardless of value for items in which material (products) are similar except for type and/or size.

Material allowances shall not exceed the value of the contract items in which used and shall not include the cost of installation or related markups. Amounts paid by the Department for material allowances will be deducted from estimates due the Contractor as the material is used. Two-sided copies of the Contractor's cancelled checks for materials and transportation must be furnished to the Department within 60 days of payment of the allowances or the amounts will be reclaimed by the Department.”

PAYMENTS TO SUBCONTRACTORS

Federal regulations found at 49 CFR §26.29 mandate the Department to establish a contract clause to require Contractors to pay subcontractors for satisfactory performance of their subcontracts no later than 30 days from the receipt of each payment made to the Contractor.

State law addresses the timing of payments to be made to subcontractors. Section 7 of the Prompt Payment Act, 30 ILCS 540/7, generally requires that when a Contractor receives any payment from the Department, the Contractor is required to make corresponding, proportional payments to each subcontractor performing work within 15 calendar days after receipt of the state payment. Section 7 of the State Prompt Payment Act further provides that interest in the amount of 2% per month, in addition to the payment due, shall be paid to any subcontractor by the Contractor if the payment required by the Act is withheld or delayed without reasonable cause. The Act also provides that the time for payment required and the calculation of any interest due applies to transactions between subcontractors and lower-tier subcontractors throughout the contracting chain.

This Special Provision establishes the required federal contract clause, and adopts the 15 calendar day requirement of the Act for purposes of compliance with the federal regulation regarding payments to subcontractors. This contract is subject to the following payment obligations.

As progress payments are made to the Contractor in accordance with Article 109.07 of the Standard Specifications for Road and Bridge Construction, the Contractor shall make a corresponding partial payment within 15 calendar days to each subcontractor in proportion to the work satisfactorily completed by each subcontractor. The proportionate amount of partial payment due to each subcontractor shall be determined by the quantities measured or otherwise determined as eligible for payment by the Department and included in the progress payment to the Contractor. Subcontractors shall be paid in full within 15 calendar days after the subcontractor's work has been satisfactorily completed. The Contractor shall hold no retainage from the subcontractors.

This Special Provision does not create any rights in favor of any subcontractor against the State of Illinois or authorize any cause of action against the State of Illinois on account of any payment, nonpayment, delayed payment or interest claimed by application of the State Prompt Payment Act. The Department will neither determine the reasonableness of any cause for delay of payment nor enforce any claim to payment, including interest. Moreover, the Department will not approve any delay or postponement of the 15 day requirement. State law creates remedies available to any subcontractor or material supplier, regardless of tier, who has not been paid for work properly performed or material furnished. These remedies are a lien against public funds set forth in Section 23(c) of the Mechanics Lien Act, 770 ILCS 60/23(c), and a recovery on the Contractor's payment bond in accordance with the Public Construction Bond Act, 30 ILCS 550.

PLACEMENT OF ARROW BOARDS (BDE)

Effective: August 1, 2001

Add the following to Article 701.04 of the Standard Specifications:

“(g) Arrow Boards. Arrow boards shown on standards or in the plans at the beginning of tapers, shall be placed at the beginning of the taper or in the closed lane within the first 90 m (300 ft) of the taper.”

PORTLAND CEMENT CONCRETE (BDE)

Effective: November 1, 2002

Add the following paragraph after the fourth paragraph of Article 1103.01(b) of the Standard Specifications:

“The truck mixer shall be approved before use according to the Bureau of Materials and Physical Research’s Policy Memorandum, “Approval of Concrete Plants and Delivery Trucks”.”

Add the following paragraph after the first paragraph of Article 1103.01(c) of the Standard Specifications:

“The truck agitator shall be approved before use according to the Bureau of Materials and Physical Research’s Policy Memorandum, “Approval of Concrete Plants and Delivery Trucks”.”

Add the following paragraph after the first paragraph of Article 1103.01(d) of the Standard Specifications:

“The nonagitator truck shall be approved before use according to the Bureau of Materials and Physical Research’s Policy Memorandum, “Approval of Concrete Plants and Delivery Trucks”.”

Revise the first sentence of the first paragraph of Article 1103.02 of the Standard Specifications to read:

“The plant shall be approved before production begins according to the Bureau of Materials and Physical Research’s Policy Memorandum, “Approval of Concrete Plants and Delivery Trucks”.”

80083

PRECAST CONCRETE (BDE)

Effective: July 1, 1999

Revised: January 1, 2002

Description. This special provision identifies non-prestressed, precast concrete products which shall be produced according to the Department's current, "Quality Control/Quality Assurance Program for Precast Concrete Products".

Products. The list of products is as follows:

Product Class	Precast Item
Box Culvert	Precast Concrete Box Culverts
Pipe	Reinforced Concrete Culvert, Storm Drain and Sewer Pipe
	Concrete Sewer, Storm Drain and Culvert Pipe
	Reinforced Concrete Elliptical Culvert, Storm Drain and Sewer Pipe
	Concrete Drain Tile
	Reinforced Concrete Arch Culvert, Storm Drain and Sewer Pipe
	Concrete Headwall for Pipe Drains
	Precast Reinforced Concrete Flared End Sections and Elliptical Flared End Sections
	Precast Reinforced Concrete Pipe Elbows, Tees and Collars
Structure	Precast Concrete Members
Block/Brick	Erosion Control: Concrete Block Riprap, Block Revetment Mat, and Articulated Block Mat
	Concrete Building Brick
	Concrete Masonry Units
Drainage Structure	Precast Reinforced Concrete Catch Basins, Manholes, Inlets, Miscellaneous Structures, Valve Vaults and Flat Slab Tops/Bottoms
Barrier	Concrete Barrier
	Temporary Concrete Barrier
Miscellaneous	Right of Way, Drainage, Section and Permanent Survey Markers, Bumper Blocks, Junction Boxes, and Handholes

For precast concrete products which are constructed according to AASHTO M 86, M 170, M 178, M 199, M 206, M 207, M 259, or M 273; portland or blended hydraulic cement shall be according to Article 1001.01 of the Standard Specifications, except the pozzolan constituent in the Type IP or Type I(PM) cement shall be fly ash. In addition, the minimum or maximum combination of a portland cement and a cementitious material shall be according to the AASHTO M specification. The cementitious material shall be according to Articles 1010.01, 1010.03, 1014.01, 1014.02, 1015.01, 1015.02, 1016.01 and 1016.02.

Acceptance. Products which have been lot or piece inspected and approved by the Department prior to July 1, 1999, will be accepted for use on this contract. Products produced on or after July 1, 1999, will be accepted only if produced according to the Department's current "Quality Control/Quality Assurance Program for Precast Concrete Products".

PREFORMED RECYCLED RUBBER JOINT FILLER (BDE)

Effective: November 1, 2002

Revise Article 503.02(c) of the Standard Specifications to read:

"(c) Preformed Expansion Joint Filler1051"

Revise Article 637.02(d) of the Standard Specifications to read:

"(d) Preformed Expansion Joint Filler1051"

Add the following Article to Section 1051 of the Standard Specifications:

"1051.10 Preformed Recycled Rubber Joint Filler. Preformed recycled rubber joint filler shall consist of ground tire rubber, free of steel and fabric, combined with ground scrap or waste polyethylene. It shall not have a strong hydrocarbon or rancid odor and shall meet the physical property requirements of ASTM D 1752. Water absorption by volume shall not exceed 5.0 percent."

80084

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RAP FOR USE IN BITUMINOUS CONCRETE MIXTURES (BDE)

Effective: January 1, 2000

Revised: April 1, 2002

Revise Article 1004.07 to read:

“1004.07 RAP Materials. RAP is reclaimed asphalt pavement resulting from cold milling or crushing of an existing dense graded hot-mix asphalt pavement. RAP must originate from routes or airfields under federal, state or local agency jurisdiction. The Contractor shall supply documentation that the RAP meets these requirements.

(a) Stockpiles. The Contractor shall construct individual, sealed RAP stockpiles meeting one of the following definitions. No additional RAP will be allowed on top of the pile after the pile has been sealed.

(1) Homogeneous. Homogeneous RAP stockpiles shall consist of RAP from Class I/ Superpave, or equivalent mixtures only and represent the same aggregate quality, but shall be at least C quality or better, the same type of crushed aggregate (either crushed natural aggregate, ACBF slag, or steel slag), similar gradation and similar AC content. If approved by the Engineer, combined single pass surface/binder millings may be considered “homogenous”, with a quality rating dictated by the lowest coarse aggregate quality present in the mixture. Homogenous stockpiles shall meet the requirements of Article 1004.07(d). Homogeneous RAP stockpiles not meeting these requirements may be processed (crushing and screening) and retested.

(2) Conglomerate. Conglomerate RAP stockpiles shall consist of RAP from Class I/ Superpave, or equivalent mixtures only. The coarse aggregate in this RAP shall be crushed aggregate only and may represent more than one aggregate type and/or quality but shall be at least C quality or better. This RAP may have an inconsistent gradation and/or asphalt cement content prior to processing. All conglomerate RAP shall be processed prior to testing by crushing to where all RAP shall pass the 16 mm (5/8 in.) or smaller screen. Conglomerate RAP stockpiles shall not contain steel slag or other expansive material as determined by the Department. Conglomerate RAP stockpiles shall meet the requirements of Article 1004.07(d).

(3) Conglomerate “D” Quality (DQ). Conglomerate DQ RAP stockpiles shall consist of RAP containing coarse aggregate (crushed or round) that is at least D quality or better. This RAP may have an inconsistent gradation and/or asphalt content. Conglomerate DQ RAP stockpiles shall not contain steel slag or other expansive material as determined by the Department. Conglomerate DQ RAP shall meet the requirements of Article 1004.07(d).

Reclaimed Superpave Low ESAL IL-9.5L surface mixtures shall only be placed in conglomerate DQ RAP stockpiles due to the potential for rounded aggregate.

(4) Other. RAP stockpiles that do not meet the requirements of the stockpile categories listed above shall be classified as “Other”. “Other” RAP stockpiles shall not be used in any of the Department’s bituminous mixtures.

- (b) Use. The allowable use of a RAP stockpile shall be set by the lowest quality of coarse aggregate in the RAP stockpile. Class I/Superpave surface mixtures are designated as containing Class B quality coarse aggregate only. Superpave Low ESAL IL-19.0L binder and IL-9.5L surface mixtures are designated as Class C quality coarse aggregate only. Class I/Superpave binder mixtures, bituminous base course mixtures, and bituminous base course widening mixtures are designated as containing Class C quality coarse aggregate only. Bituminous stabilized subbase and BAM shoulders are designated as containing Class D quality coarse aggregate only. Any mixture not listed above shall have the designated quality determined by the Department.

RAP containing steel slag or other expansive material, as determined by the Department, shall be homogeneous and will be approved for use in Class I/Superpave (including Low ESAL) surface mixtures only. RAP stockpiles for use in Class I/Superpave mixtures (including Low ESAL), base course, base course widening and Class B mixtures shall be either homogeneous or conglomerate RAP stockpiles except conglomerate RAP stockpiles shall not be used in Superpave surface mixture Ndesign 50 or greater. RAP for use in bituminous aggregate mixtures (BAM) shoulders and BAM stabilized subbase shall be from homogeneous, conglomerate, or conglomerate DQ stockpiles.

Additionally, RAP used in Class I/Superpave surface mixtures shall originate from milled or crushed mixtures only, in which the coarse aggregate is of Class B quality or better. RAP stockpiles for use in Class I/Superpave (including Low ESAL) binder mixes as well as base course, base course widening and Class B mixtures shall originate from milled or processed surface mixture, binder mixture, or a combination of both mixtures uniformly blended to the satisfaction of the Engineer, in which the coarse aggregate is of Class C quality or better.

- (c) Contaminants. RAP containing contaminants, such as earth, brick, sand, concrete, sheet asphalt, bituminous surface treatment (i.e. chip seal), pavement fabric, etc., will be unacceptable unless the contaminants are removed to the satisfaction of the Engineer. Sheet asphalt shall be stockpiled separately.
- (d) Testing. All RAP shall be sampled and tested either during or after stockpiling.

For testing during stockpiling, washed extraction samples shall be run at the minimum frequency of one sample per 450 metric tons (500 tons) for the first 1800 metric tons (2,000 tons) and one sample per 1800 metric tons (2,000 tons) thereafter. A minimum of five tests shall be required for stockpiles less than 3600 metric tons (4,000 tons).

For testing existing stockpiles, the Contractor shall submit a plan for approval to the District proposing a satisfactory method of sampling and testing the RAP pile either in-situ or by restockpiling. The sampling plan shall meet the minimum frequency required above and detail the procedure used to extract representative samples throughout the pile for testing.

Before extraction, each field sample shall be split to test sample size. One of the two test samples from the final split shall be labeled and stored for Department use. The Contractor shall extract the other test sample according to Department procedure. The Engineer reserves the right to test any sample (split or Department-taken) to verify Contractor test results.

All of the extraction results shall be compiled and averaged for asphalt content and gradation. Individual extraction test results, when compared to the averages, will be accepted if within the tolerances listed below.

Parameter	Homogeneous / Conglomerate	Conglomerate "D" Quality
25 mm (1 in.)		± 5%
12.5 mm (1/2 in.)	± 8%	± 15%
4.75 mm (No. 4)	± 6%	± 13%
2.36 mm (No. 8)	± 5%	
1.18 mm (No. 16)		± 15%
600 μm (No. 30)	± 5%	
75 μm (No. 200)	± 2.0%	± 4.0%
AC	± 0.4%	± 0.5%

If more than 20 percent of the individual sieves are out of the gradation tolerances, or if more than 20 percent of the asphalt content test results fall outside the appropriate tolerances, the RAP will not be allowed to be used in the Department's bituminous concrete mixtures unless the RAP representing the failing tests is removed from the stockpile to the satisfaction of the Engineer. All test data and acceptance ranges shall be sent to the District for evaluation.

With the approval of the Engineer, the ignition oven may be substituted for extractions according to the Illinois Test Procedure, "Calibration of the Ignition Oven for the Purpose of Characterizing Reclaimed Asphalt Pavement (RAP)".

- (e) Designs. At the Contractor's option, bituminous concrete mixtures may be constructed utilizing RAP material meeting the above detailed requirements. The amount of RAP included in the mixture shall not exceed the percentages specified in the plans.

RAP designs shall be submitted for volumetric verification. If additional RAP stockpiles are tested and found that no more than 20 percent of the results, as defined under "Testing" herein, are outside of the control tolerances set for the original RAP stockpile and design, and meets all of the requirements herein, the additional RAP stockpiles may be used in the original mix design at the percent previously verified.

- (f) Production. The coarse aggregate in all RAP used shall be equal to or less than the nominal maximum size requirement for the bituminous mixture being produced.

To remove or reduce agglomerated material, a scalping screen, crushing unit or comparable sizing device approved by the Engineer shall be used in the RAP feed system to remove or reduce oversized material. If material passing the sizing device adversely affects the mix production or quality of the mix, the sizing device shall be set at a size specified by the Engineer.

If the RAP control tolerances or QC/QA test results require corrective action, the Contractor shall cease production of the mixture containing RAP and either switch to the virgin aggregate design or submit a new RAP design.

REMOVE AND RE-ERECT STEEL PLATE BEAM GUARDRAIL AND TRAFFIC BARRIER TERMINALS

Effective: January 1, 2001

Existing steel block-outs shall be replaced with wooden block-outs during the removal and re-erection of steel plate beam guardrail and traffic barrier terminals. The Wood block-outs shall be according to the current standard applicable to the type of guardrail or terminal section being re-erected.

The existing steel posts may be drilled to match the bolt pattern shown on standard 630001 for the wood block-out or a new steel post shall be provided.

All existing "C" posts shall be removed and new steel posts shall be provided.

Payment for the replacement of the existing block-outs with new wood block-outs and the modification of the existing steel posts or new replacement posts shall be included in the contract unit price per meter (foot) for REMOVE AND RE-ERECT STEEL PLATE BEAM GUARDRAIL, of the type specified, and at the contract unit price each for REMOVE AND RE-ERECT TRAFFIC BARRIER TERMINALS, of the type specified.

80032

STABILIZED SUBBASE AND BITUMINOUS SHOULDERS SUPERPAVE (BDE)

Effective: April 1, 2002

Revised: January 1, 2003

Description. This work shall consist of constructing stabilized subbase and bituminous shoulders Superpave according to Sections 312 and 482 respectively, of the Standard Specifications and the special provision, "Quality Control/Quality Assurance of Bituminous Concrete Mixtures" except as modified herein.

Revise Article 312.03(b) of the Standard Specifications to read:

"(b) RAP Material (Note 3)"

Revise Note 2 of Article 312.03 of the Standard Specifications to read:

"Note 2. Gradation CA 6, CA 10, or CA 12 shall be used."

Revise Note 3 of Article 312.03 of the Standard Specifications to read:

"Note 3. RAP shall meet the requirements of the special provision "RAP for Use in Bituminous Concrete Mixtures". RAP containing steel slag shall be permitted for use in top-lift surface mixtures only."

Revise Note 4 of Article 312.03 of the Standard Specifications to read:

"Note 4. Unless otherwise specified on the plans, the bituminous material shall be performance graded asphalt cement, PG58-22. When more than 15 percent RAP is used, a softer PG binder may be required as determined by the Engineer."

Add the following to Article 312.04 of the Standard Specifications:

- "(k) Superpave Gyratory Compactor (Note 6)
- (l) Ignition Oven (Note 7)

Note 6. The Superpave gyratory compactor (SGC) shall be used for all laboratory mixture compaction.

Note 7. The ignition oven shall be used for determination of AC content. The ignition oven shall also be used to recover aggregates for all required washed gradations. The Engineer may waive the ignition oven requirement for AC content if the aggregates to be used are known to have ignition AC content calibration factors which exceed 1.5 percent. If the calibration factor exceeds 1.5 percent other IDOT approved methods shall be utilized for determination of AC content."

Revise Article 312.06 of the Standard Specifications to read:

"312.06 Mixture Design. The Contractor shall submit mix designs for approval, for each required mixture. Mix designs shall be developed by Level III personnel who have completed the course, "Superpave Mix Design Upgrade". The mixtures shall be designed according to the respective Illinois Modified AASHTO references listed below:

AASHTO MP 2	Standard Specification for Superpave Volumetric Mix Design
AASHTO PP 2	Standard Practice for Short and Long Term Aging of Hot Mix Asphalt (HMA)
AASHTO PP 19	Standard Practice for Volumetric Analysis of Compacted Hot Mix Asphalt (HMA)
AASHTO PP 28	Standard Practice for Designing Superpave HMA
AASHTO T 209	Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures
AASHTO T 312	Preparing and Determining the Density of Hot Mix Asphalt (HMA) Specimens by Means of the Superpave Gyratory Compactor
AASHTO T 308	Determining the Asphalt Content of Hot Mix Asphalt (HMA) by the Ignition Method

(a) Job Mix Formula (JMF). The JMF shall be according to the following limits:

<u>Ingredient</u>	<u>Percent by Dry Weight</u>
Aggregate.....	94.0 to 96.0
Asphalt Cement.....	4.0 to 6.0*
Dust/AC Ratio.....	1.4

*Upper limit may be raised for the lower or top lifts if the Contractor elects to use a highly absorptive coarse and/or fine aggregate requiring more than six percent asphalt. The additional asphalt shall be furnished at no cost to the Department.

When RAP material is being used, the JMF shall be according to the following limits:

<u>Ingredient</u>	<u>Percent by Dry Weight</u>
Virgin Aggregate(s)	46.0 to 96.0
RAP Material(s) (Note 1)	0 to 50
Mineral Filler (if required).....	0 to 5.0
Asphalt Cement.....	4.0 to 7.0
Dust/AC Ratio.....	1.4

Note 1. If specified on the plans, the maximum percentage of RAP shall be as specified therein.

It is recommended that the selected combined aggregate gradation not pass through the restricted zones specified in Illinois Modified AASHTO MP 2.

(b) Volumetric Requirements.

Design Compactive Effort	Design Air Voids Target (%)
N _{DES} =30	2.0

- (c) Determination of Need for Anti-Stripping Additive. The mixture designer shall determine if an additive is needed in the mix to prevent stripping. The determination will be made on the basis of tests performed according to Illinois Modified AASHTO T 283 using 4 in. Marshall bricks. To be considered acceptable by the Engineer as a mixture not susceptible to stripping, the ratio of conditioned to unconditioned split tensile strengths (TSR) shall be equal to or greater than 0.75. Mixtures, either with or without an additive, with TSR values less than 0.75 will be considered unacceptable.

If it is determined that an additive is required, the additive may be hydrated lime, slaked quicklime, or a liquid additive, at the Contractor's option. The liquid additive shall be selected from the Department's list of approved additives and may be limited to those which have exhibited satisfactory performance in similar mixes.

Dry hydrated lime shall be added at a rate of 1.0 to 1.5 percent by weight of total dry aggregate. Slurry shall be added in such quantity as to provide the required amount of hydrated lime solids by weight of total dry aggregate. The exact rate of application for all anti-stripping additives will be determined by the Engineer. The method of application shall be according to Article 406.12 of the Standard Specifications."

Revise Article 312.08 of the Standard Specifications to read:

"312.08 Mixture Production. When a hot-mix plant conforming to Article 1102.01 is used, the aggregate shall be dried and heated in the revolving dryer to a temperature of 120 °C (250 °F) to 175 °C (350 °F).

The aggregate and bituminous material used in the bituminous aggregate mixture shall be measured separately and accurately by weight or by volume. When the aggregate is in the mixer, the bituminous material shall be added and mixing continued for a minimum of 35 seconds and until a homogeneous mixture is produced in which all particles of the aggregate are coated. The mixing period, size of the batch and the production rate shall be approved by the Engineer.

The ingredients shall be heated and combined in such a manner as to produce a mixture which, when discharged from the mixer, shall be workable and vary not more 10 °C (20 °F) from the temperature set by the Engineer.

When RAP material(s) is used in the bituminous aggregate mixture, the virgin aggregate(s) shall be dried and heated in the dryer to a temperature that will produce the specified resultant mix temperature when combined with the RAP material.

The heated virgin aggregates and mineral filler shall be combined with RAP material in such a manner as to produce a bituminous mixture which when discharged from the mixer shall not vary more than 15 °C (30 °F) from the temperature set by the Engineer. The combined ingredients shall be mixed for a minimum of 35 seconds and until a homogeneous mixture as to composition and temperature is obtained. The total mixing time shall be a minimum of 45 seconds consisting of dry and wet mixing. Variation in wet and dry mixing times may be permitted, depending on the moisture content and amount of salvaged material used. The mix temperature shall not exceed 175 °C (350 °F). Wide variations in the mixture temperature will be cause for rejection of the mix.

- (a) Personnel. The QC Manager and Level I Technician shall have successfully completed the Department's "Superpave Field Control Course".
- (b) Required Tests. Testing for stabilized subbase and bituminous shoulders shall be conducted to control the production of the bituminous mixture at a frequency not less than that listed for Non-Class I mixtures in the special provision "QC/QA of Bituminous Concrete Mixtures".

During production, the ratio of minus 75 µm (#200) sieve material to total asphalt cement shall be not less than 0.6 nor more than 1.6, and the moisture content of the mixture at discharge from the mixer shall not exceed 0.5 percent. If at any time the ratio of minus 75 µm (#200) material to asphalt or moisture content of the mixture falls outside the stated limits, production of the mix shall cease. The cause shall be determined and corrective action satisfactory to the Engineer shall be initiated prior to resumption of production.

During production, mixture containing an anti-stripping additive will be tested by the Engineer for stripping according to Illinois Modified AASHTO T 283. If the mixture fails to meet the TSR criteria for acceptance, no further mixture will be accepted until the Contractor takes such action as is necessary to furnish a mixture meeting the criteria.

- (c) Control Charts/Limits. Control charts/limits shall be according to QC/QA requirements for Non-Class I Mixtures."

Replace the first paragraph of Article 312.10 of the Standard Specifications with the following:

"312.10 Placing and Compacting. After the subgrade has been compacted and is acceptable to the Engineer, the bituminous aggregate mixture shall be spread upon it with a mechanical spreader. The maximum compacted thickness of each lift shall be 150 mm (6 in.) provided the required density is obtained. The minimum compacted thickness of each lift shall be according to the following table:

Nominal Maximum Aggregate Size of Mixture	Minimum Compacted Lift Thickness
CA 12 – 12.5 mm (1/2 in.)	38 mm (1 1/2 in.)
CA 10 - 19 mm (3/4 in.)	57 mm (2 1/4 in.)
CA 6 – 25 mm (1 in.)	76 mm (3 in.)

The surface of each lift shall be clean and dry before succeeding lifts are placed."

Revise Article 482.02 of the Standard Specifications to read:

“482.02 Materials. Materials shall meet the requirements of Article 312.03. For the top lift, the aggregate used shall meet the gradation requirements for a CA 10 or CA 12. Blending of aggregates to meet these gradation requirements will be permitted.”

In the first sentence of the first paragraph of Article 482.04 of the Standard Specifications change “Class I Binder and Surface Course (Type 1 or Type 2)” to “Superpave Binder and Surface Course”.

Revise Article 482.04(c) of the Standard Specifications to read:

“(c) Mixture Production312.08”

Revise Article 482.05 of the Standard Specifications to read:

“482.05 Composition of Bituminous Aggregate Mixture. The composition of the mixture shall be according to Article 312.06, except that the amount of bitumen used in the top lift shall be increased up to 0.5 percent more than that required in the lower lifts. For resurfacing projects when the Superpave option is used, the bitumen used in the top lift shall not be increased. Superpave mixtures used on the top lift of such shoulders shall meet the gradation requirements of the special provision “Superpave Bituminous Concrete Mixtures”.

For shoulder and strip construction, the composition of the Superpave binder and surface course shall be the same as that specified for the mainline pavement.”

In the following locations of Section 482 of the Standard Specifications, change “Class I” to “Superpave”:

the second paragraph of Article 482.04
the first sentence of the second paragraph of Article 482.06
the first sentence of the fourth paragraph of Article 482.06
the second sentence of the fourth paragraph of Article 482.06
the first sentence of the third paragraph of Article 482.08(b)

Revise the first paragraph of Article 482.06 of the Standard Specifications to read:

“482.06 Placing and Compacting. This work shall be according to Article 312.10. The mechanical spreader for the top lift of shoulders shall meet the requirements of Article 1102.03 when the shoulder width is 3 m (10 ft) or greater.”

Revise Article 482.09 of the Standard Specifications to read:

“482.09 Basis of Payment. When bituminous shoulders are constructed along the edges of the completed pavement structure, this work will be paid for at the contract unit price per square meter (square yard) for BITUMINOUS SHOULDERS SUPERPAVE of the thickness specified. The specified thickness shall be the thickness shown on the plans at the edge of the pavement.

On pavement and shoulder resurfacing projects, the shoulder resurfacing will be paid for at the contract unit price per metric ton (ton) for BITUMINOUS SHOULDERS SUPERPAVE.

The construction of shoulder strips for resurfacing pavements will be paid according to the special provision, “Superpave Bituminous Concrete Mixtures”.

SUPERPAVE BITUMINOUS CONCRETE MIXTURES (BDE)

Effective: January 1, 2000

Revised: January 1, 2003

Description. This work shall consist of designing, producing and constructing Superpave bituminous concrete mixtures using Illinois Modified Strategic Highway Research Program (SHRP) Superpave criteria. This work shall be according to Sections 406 and 407 of the Standard Specifications and the special provision, "Quality Control/Quality Assurance of Bituminous Concrete Mixtures", except as follows.

Materials.

- (a) Fine Aggregate Blend Requirement. The Contractor may be required to provide FA 20 manufactured sand to meet the design requirements. For mixtures with $N_{design} \geq 90$, at least 50 percent of the required fine aggregate fraction shall consist of either stone sand, slag sand, or steel slag sand meeting the FA/FM 20 gradation.
- (b) Reclaimed Asphalt Pavement (RAP). If the Contractor is allowed to use more than 15 percent RAP, as specified in the plans, a softer performance-graded binder may be required as determined by the Engineer.

RAP shall meet the requirements of the special provision, "RAP for Use in Bituminous Concrete Mixtures".

RAP will not be permitted in mixtures containing polymer modifiers.

RAP containing steel slag will be permitted for use in top-lift surface mixtures only.

- (c) Bituminous Material. The asphalt cement (AC) shall be performance-graded (PG) or polymer modified performance-graded (SBS-PG or SBR-PG) meeting the requirements of Article 1009.05 of the Standard Specifications for the grade specified on the plans.

The following additional guidelines shall be used if a polymer modified asphalt is specified:

- (1) The polymer modified asphalt cement shall be shipped, maintained, and stored at the mix plant according to the manufacturer's requirements. Polymer modified asphalt cement shall be placed in an empty tank and shall not be blended with other asphalt cements.
- (2) The mixture shall be designed using a mixing temperature of $163 \pm 3^\circ\text{C}$ ($325 \pm 5^\circ\text{F}$) and a gyratory compaction temperature of $152 \pm 3^\circ\text{C}$ ($305 \pm 5^\circ\text{F}$).
- (3) Pneumatic-tired rollers will not be allowed unless otherwise specified by the Engineer. A vibratory roller meeting the requirements of Article 406.16 of the Standard Specifications shall be required in the absence of the pneumatic-tired roller.

- (4) A manufacturer's representative from the polymer asphalt cement producer shall be present during each polymer mixture start-up and shall be available at all times during production and lay-down of the mix.

Laboratory Equipment.

- (a) Superpave Gyratory Compactor. The superpave gyratory compactor (SGC) shall be used for all QC/QA testing.
- (b) Ignition Oven. The ignition oven shall be used to determine the AC content. The ignition oven shall also be used to recover aggregates for all required washed gradations.

The Engineer may waive the ignition oven requirement for AC content if the aggregates to be used are known to have ignition AC content calibration factors which exceed 1.5 percent. If the ignition oven requirement is waived, other Department approved methods shall be used to determine the AC content.

Mixture Design. The Contractor shall submit mix designs, for approval, for each required mixture. Mix designs shall be developed by Level III personnel who have successfully completed the course, "Superpave Mix Design Upgrade". Articles 406.10 and 406.13 of the Standard Specifications shall not apply. The mixtures shall be designed according to the respective Illinois Modified AASHTO references listed below.

AASHTO MP 2	Standard Specification for Superpave Volumetric Mix Design
AASHTO PP 2	Standard Practice for Short and Long Term Aging of Hot Mix Asphalt (HMA)
AASHTO PP 19	Standard Practice for Volumetric Analysis of Compacted Hot Mix Asphalt (HMA)
AASHTO PP 28	Standard Practice for Designing Superpave HMA
AASHTO T 209	Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures
AASHTO T 312	Preparing and Determining the Density of Hot Mix Asphalt (HMA) Specimens by Means of the Superpave Gyratory Compactor
AASHTO T 308	Determining the Asphalt Content of Hot Mix Asphalt (HMA) by the Ignition Method

- (a) Mixture Composition. The ingredients of the bituminous mixture shall be combined in such proportions as to produce a mixture conforming to the composition limits by weight. The gradation mixture specified on the plans shall produce a mixture falling within the limits specified in Table 1.

TABLE 1. MIXTURE COMPOSITION (% PASSING)^{1/}								
Sieve Size	IL-25.0 mm		IL-19.0 mm		IL-12.5 mm^{4/}		IL-9.5 mm^{4/}	
	min	max	min	max	min	max	min	max
37.5 mm (1 1/2 in.)		100						
25 mm (1 in.)	90	100		100				
19 mm (3/4 in.)		90	82	100		100		
12.5 mm (1/2 in.)	45	75	50	85	90	100		100
9.5 mm (3/8 in.)						90	90	100
4.75 mm (#4)	24	42 ^{2/}	24	50 ^{2/}	24	65	24	65
2.36 mm (#8)	16	31	16	36	16	48 ^{3/}	16	48 ^{3/}
1.18 mm (#16)	10	22	10	25	10	32	10	32
600 µm (#30)								
300 µm (#50)	4	12	4	12	4	15	4	15
150 µm (#100)	3	9	3	9	3	10	3	10
75 µm (#200)	3	6	3	6	4	6	4	6

- 1/ Based on percent of total aggregate weight.
- 2/ The mixture composition shall not exceed 40 percent passing the 4.75 mm (#4) sieve for binder courses with Ndesign ≥ 90.
- 3/ The mixture composition shall not exceed 40 percent passing the 2.36 mm (#8) sieve for surface courses with Ndesign ≥ 90.
- 4/ The mixture composition for surface courses shall be according to IL-12.5 mm or IL-9.5 mm, unless otherwise specified by the Engineer.

One of the above gradations shall be used for leveling binder as specified in the plans and according to Article 406.04 of the Standard Specifications.

It is recommended that the selected combined aggregate gradation not pass through the restricted zones specified in Illinois Modified AASHTO MP 2.

- (b) Dust/AC Ratio for Superpave. The ratio of material passing the 75 μ m (#200) sieve to total asphalt cement shall not exceed 1.0 for mixture design (based on total weight of mixture).
- (c) Volumetric Requirements. The target value for the air voids of the hot mix asphalt (HMA) shall be 4.0 percent at the design number of gyrations. The VMA and VFA of the HMA design shall be based on the nominal maximum size of the aggregate in the mix and shall conform to the requirements listed in Table 2.

TABLE 2. VOLUMETRIC REQUIREMENTS					
	Voids in the Mineral Aggregate (VMA), % minimum				Voids Filled with Asphalt (VFA), %
Ndesign	IL-25.0	IL-19.0	IL-12.5	IL-9.5	
50	12.0	13.0	14.0	15	65 - 78
70					65 - 75
90					
105					

- (d) Determination of Need for Anti-Stripping Additive. The mixture designer shall determine if an additive is needed in the mix to prevent stripping. The determination will be made on the basis of tests performed according to Illinois Modified T 283 using 4 in. Marshall bricks. To be considered acceptable by the Department as a mixture not susceptible to stripping, the ratio of conditioned to unconditioned split tensile strengths (TSRs) shall be equal to or greater than 0.75. Mixtures, either with or without an additive, with TSRs less than 0.75 will be considered unacceptable.

If it is determined that an additive is required, the additive may be hydrated lime, slaked quicklime, or a liquid additive, at the Contractor's option. The liquid additive shall be selected from the Department's list of approved additives and may be limited to those which have exhibited satisfactory performance in similar mixes.

Dry hydrated lime shall be added at a rate of 1.0 to 1.5 percent by weight of total dry aggregate. Slurry shall be added in such quantity as to provide the required amount of hydrated lime solids by weight of total dry aggregate. The exact rate of application for all anti-stripping additives will be determined by the Department. The method of application shall be according to Article 406.12 of the Standard Specifications.

Personnel. The QC Manager and Level I Technician shall have successfully completed the Department's "Superpave Field Control Course".

Required Plant Tests. Testing shall be conducted to control the production of the bituminous mixture. The Contractor shall use the test methods identified to perform the following mixture tests at a frequency not less than that indicated in Table 3.

TABLE 3. REQUIRED PLANT TESTS for SUPERPAVE		
Parameter		Frequency of Tests
Asphalt Content by Ignition Oven		1 per half day of production
Air Voids	Bulk Specific Gravity of Gyratory Sample	1 per half day of production for first 2 days and 1 per day thereafter (first sample of the day)
	Maximum Specific Gravity of Mixture	
		Illinois Modified AASHTO T 308
		Illinois Modified AASHTO T 312
		Illinois Modified AASHTO T 209

During production, the ratio of minus 75 μm (#200) sieve material to total asphalt cement shall be not less than 0.6 nor more than 1.2 and the moisture content of the mixture at discharge from the mixer shall not exceed 0.5 percent. If at any time the ratio of minus 75 μm (#200) material to asphalt or moisture content of the mixture falls outside the stated limits, production of the mix shall cease. The cause shall be determined and corrective action satisfactory to the Engineer shall be initiated prior to resuming production.

During production, mixtures containing an anti-stripping additive will be tested by the Department for stripping according to Illinois Modified T 283. If the mixture fails to meet the TSR criteria for acceptance, no further mixture will be accepted until the Contractor takes such action as is necessary to furnish a mixture meeting the criteria.

Construction Requirements

Lift Thickness.

- (a) Binder and Surface Courses. The minimum compacted lift thickness for constructing bituminous concrete binder and surface courses shall be according to Table 4:

TABLE 4 – MINIMUM COMPACTED LIFT THICKNESS	
Mixture	Thickness, mm (in.)
IL-9.5	32 (1 1/4)
IL-12.5	38 (1 1/2)
IL-19.0	57 (2 1/4)
IL-25.0	76 (3)

- (b) Leveling Binder. Mixtures used for leveling binder shall be as follows:

TABLE 5 – LEVELING BINDER	
Nominal, Compacted, Leveling Binder Thickness, mm (in.)	Mixture
≤ 32 (1 1/4)	IL-9.5
32 (1 1/4) to 50 (2)	IL 9.5 or IL-12.5

Density requirements shall apply for leveling binder when the nominal, compacted thickness is 32 mm (1 1/4 in.) or greater for IL-9.5 mixtures and 38 mm (1 1/2 in.) or greater for IL-12.5 mixtures.

- (c) Full-Depth Pavement. The compacted thickness of the initial lift of binder course shall be 100 mm (4 in.). The compacted thickness of succeeding lifts shall meet the minimums specified in Table 4 but not exceed 100 mm (4 in.).

If a vibratory roller is used for breakdown, the compacted thickness of the binder lifts, excluding the top lift, may be increased to 150 mm (6 in.) provided the required density is obtained.

- (d) Bituminous Patching. The minimum compacted lift thickness for constructing bituminous patches shall be according to Table 4.

Control Charts/Limits. Control charts/limits shall be according to QC/QA Class I requirements, except density shall be plotted on the control charts within the following control limits:

TABLE 6. DENSITY CONTROL LIMITS	
Parameter	Individual Test
Ndesign \geq 90	92.0 - 96.0%
Ndesign < 90	93 - 97%

Basis of Payment. On resurfacing projects, this work will be paid for at the contract unit price per metric ton (ton) for BITUMINOUS CONCRETE SURFACE COURSE, SUPERPAVE, of the friction aggregate mixture and Ndesign specified, LEVELING BINDER (HAND METHOD), SUPERPAVE, of the Ndesign specified, LEVELING BINDER (MACHINE METHOD), SUPERPAVE, of the Ndesign specified, and BITUMINOUS CONCRETE BINDER COURSE, SUPERPAVE, of the mixture composition and Ndesign specified.

On resurfacing projects in which polymer modifiers are required, this work will be paid for at the contract unit price per metric ton (ton) for POLYMERIZED BITUMINOUS CONCRETE SURFACE COURSE, SUPERPAVE, of the friction aggregate mixture and Ndesign specified, POLYMERIZED LEVELING BINDER (HAND METHOD), SUPERPAVE, of the Ndesign specified, POLYMERIZED LEVELING BINDER (MACHINE METHOD), SUPERPAVE, of the Ndesign specified, and POLYMERIZED BITUMINOUS CONCRETE BINDER COURSE, SUPERPAVE, of the mixture composition and Ndesign specified.

On full-depth pavement projects, this work will be paid for at the contract unit price per square meter (square yard) for BITUMINOUS CONCRETE PAVEMENT, (FULL-DEPTH), SUPERPAVE, of the thickness specified.

80010

TEMPORARY EROSION CONTROL (BDE)

Effective: November 1, 2002

Revise the fifth sentence of the third paragraph of Article 280.04(a) of the Standard Specifications to read:

“This work may be constructed of hay or straw bales, extruded UV resistant high density polyethylene panels, erosion control blanket, mulch barrier, aggregate barriers, excavation, seeding, or mulch used separately or in combination, as approved, by the Engineer.”

Add the following paragraphs after the fifth paragraph of Article 280.04(a) of the Standard Specifications.

“A ditch check constructed of extruded, UV resistant, high density polyethylene panels, “M” pins and erosion control blanket shall consist of the following materials:

Extruded, UV resistant, high density polyethylene panels shall have a minimum height of 250 mm (10 in.) and minimum length of 1.0 m (39.4 in.). The panels shall have a 51 mm (2 in.) lip along the bottom of the panel. Each panel shall have a single rib thickness of 4 mm (5/32 in.) with a 12 mm (1/2 in.) distance between the ribs. The panels shall have an average apparent opening size equal to 4.75 mm (No. 4) sieve, with an average of 30 percent open area. The tensile strength of each panel shall be 26.27 kN/m (1800 lb/ft) in the machine direction and 7.3 kN/m (500 lb/ft) in the transverse direction when tested according to ASTM D 4595.

“M” pins shall be at least 76 mm (3 in.) by 686 mm (27 in.), constructed out of deformed grade C1008 D3.5 rod (0.211 in. diameter). The rod shall have a minimum tensile strength of 55 MPa (8000 psi).

Erosion control blanket shall conform to Article 251.04.

A section of erosion control blanket shall be placed transverse to the flowline direction of the ditch prior to the construction of the polyethylene ditch check. The length of the section shall extend from the top of one side of the ditch to the top of the opposite side of the ditch, while the width of the section shall be one roll width of the blanket. The upstream edge of the erosion control blanket shall be secured in a 100 mm (4 in.) trench. The blanket shall be secured in the trench with 200 mm (8 in.) staples placed at 300 mm (1 ft) intervals along the edge before the trench is backfilled. Once the upstream edge of the blanket is secured, the downstream edge shall be secured with 200 mm (8 in.) staples placed at 300 mm (1 ft) intervals along the edge. The polyethylene ditch check shall be installed in the middle of the erosion control blanket, with the lip of each panel facing outward.

The ditch check shall consist of two panels placed back to back forming a single row. Placement of the first two panels shall be at the toe of the backslope or sideslope, with the panels extending across the bottom of the ditch. Subsequent panels shall extend both across the bottom of the ditch and up the opposite sideslope, as well as up the original backslope or sideslope at the distance determined by the Engineer.

The M pins shall be driven through the panel lips to secure the panels to the ground. M pins shall be installed in the center of the panels with adjacent panels overlapping the ends a minimum of 50 mm (2 in.). The pins shall be placed through both sets of panels at each overlap. They shall be installed at an interval of three M pins per one meter (39 in.) length of ditch check. The panels shall be wedged into the M pins at the top to ensure firm contact between the entire bottom of the panels and the soil."

80087

TRAFFIC CONTROL DEFICIENCY DEDUCTION (BDE)

Effective: April 1, 1992

Revised: January 1, 2003

To ensure a prompt response to incidents involving the integrity of work zone traffic control, the Contractor shall provide a telephone number where a responsible individual can be contacted 24 hours-a-day.

When the Engineer is notified, or determines a traffic control deficiency exists, he/she will notify and direct the Contractor to correct the deficiency within a specified time. The specified time, which begins upon notification to the Contractor, will be from 1/2 hour to 12 hours based upon the urgency of the situation and the nature of the deficiency. The Engineer shall be the sole judge.

The deficiency may be any lack of repair, maintenance or non-compliance with the traffic control plan.

If the Contractor fails to correct the deficiency within the specified time, a daily monetary deduction will be imposed for each calendar day or fraction thereof the deficiency exists. The calendar day(s) will begin with notification to the Contractor and end with the Engineer's acceptance of the correction. The daily monetary deduction will be either \$1,000 or 0.05 percent of the awarded contract value, whichever is greater.

In addition, if the Contractor fails to respond, the Engineer may correct the deficiency and the cost thereof will be deducted from monies due or which may become due the Contractor. This corrective action will in no way relieve the Contractor of his/her contractual requirements or responsibilities.

5729I

TRAINING SPECIAL PROVISIONS

This Training Special Provision supersedes Section 7b of the Special Provision entitled "Specific Equal Employment Opportunity Responsibilities," and is in implementation of 23 U.S.C. 140(a).

As part of the contractor's equal employment opportunity affirmative action program, training shall be provided as follows:

The contractor shall provide on-the-job training aimed at developing full journeyman in the type of trade or job classification involved. The number of trainees to be trained under this contract will be 3. In the event the contractor subcontracts a portion of the contract work, he shall determine how many, if any, of the trainees are to be trained by the subcontractor, provided however, that the contractor shall retain the primary responsibility for meeting the training requirements imposed by this special provision. The contractor shall also insure that this Training Special Provision is made applicable to such subcontract. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training.

The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within the reasonable area of recruitment. Prior to commencing construction, the contractor shall submit to the Illinois Department of Transportation for approval the number of trainees to be trained in each selected classification and training program to be used. Furthermore, the contractor shall specify the starting time for training in each of the classifications. The contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and will be reimbursed for such trainees as provided hereinafter.

Training and upgrading of minorities and women toward journeyman status is a primary objective of this Training Special Provision. Accordingly, the contractor shall make every effort to enroll minority trainees and women (e.g. by conducting systematic and direct recruitment through public and private sources likely to yield minority and women trainees) to the extent such persons are available within a reasonable area of recruitment. The contractor will be responsible for demonstrating the steps that he has taken in pursuance thereof, prior to a determination as to whether the contractor is in compliance with this Training Special Provision. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

No employee shall be employed as a trainee in any classification in which he has successfully completed a training course leading to journeyman status or in which he has been employed as a journeyman. The contractor should satisfy this requirement by including appropriate questions in the employee application or by other suitable means. Regardless of the method used the contractor's records should document the findings in each case.

The minimum length and type of training for each classification will be as established in the training program selected by the contractor and approved by the Illinois Department of Transportation and the Federal Highway Administration. The Illinois Department of Transportation and the Federal Highway Administration shall approve a program, if it is reasonably calculated to meet the equal employment opportunity obligations of the contractor and to qualify the average trainee for journeyman status in the classification concerned by the end of the training period. Furthermore, apprenticeship programs registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau and training programs approved by not necessarily sponsored by the U.S. Department of Labor, Manpower Administration, Bureau of Apprenticeship and Training shall also be considered acceptable provided it is being administered in a manner consistent with the equal employment obligations of Federal-aid highway construction contracts. Approval or acceptance of a training program shall be obtained from the State prior to commencing work on the classification covered by the program. It is the intention of these provisions that training is to be provided in the construction crafts rather than clerk-typists or secretarial-type positions. Training is permissible in lower level management positions such as office engineers, estimators, timekeepers, etc., where the training is oriented toward construction applications. Training in the laborer classification may be permitted provided that significant and meaningful training is provided and approved by the Illinois Department of Transportation and the Federal Highway Administration. Some offsite training is permissible as long as the training is an integral part of an approved training program and does not comprise a significant part of the overall training.

Except as otherwise noted below, the contractor will be reimbursed 80 cents per hour of training given an employee on this contract in accordance with an approved training program. As approved by the Engineer, reimbursement will be made for training of persons in excess of the number specified herein. This reimbursement will be made even though the contractor receives additional training program funds from other sources, provided such other source does not specifically prohibit the contractor from receiving other reimbursement. Reimbursement for offsite training indicated above may only be made to the contractor where he does one or more of the following and the trainees are concurrently employed on a Federal-aid project; contributes to the cost of the training, provides the instruction to the trainee or pays the trainee's wages during the offsite training period.

No payment shall be made to the contractor if either the failure to provide the required training, or the failure to hire the trainee as a journeyman, is caused by the contractor and evidences a lack of good faith on the part of the contractor in meeting the requirement of this Training Special Provision. It is normally expected that a trainee will begin his training on the project as soon as feasible after start of work utilizing the skill involved and remain on the project as long as training opportunities exist in his work classification or until he has completed his training program.

It is not required that all trainees be on board for the entire length of the contract. A contractor will have fulfilled his responsibilities under this Training Special Provision if he has provided acceptable training to the number of trainees specified. The number trained shall be determined on the basis of the total number enrolled on the contract for a significant period.

Trainees will be paid at least 60 percent of the appropriate minimum journeyman's rate specified in the contract for the first half of the training period, 75 percent for the third quarter of the training period, and 90 percent for the last quarter of the training period, unless apprentices or trainees in an approved existing program are enrolled as trainees on this project. In that case, the appropriate rates approved by the Departments of Labor or Transportation in connection with the existing program shall apply to all trainees being trained for the same classification who are covered by this Training Special Provision.

The contractor shall furnish the trainee a copy of the program he will follow in providing the training. The contractor shall provide each trainee with a certification showing the type and length of training satisfactorily complete.

The contractor will provide for the maintenance of records and furnish periodic reports documenting his performance under this Training Special Provision.

METHOD OF MEASUREMENT The unit of measurement is in hours.

BASIS OF PAYMENT This work will be paid for at the contract unit price of 80 cents per hour for TRAINEES. The estimated total number of hours, unit price and total price have been included in the schedule of prices.

20338

VERTICAL BARRICADES (BDE)

Effective: November 1, 2002

Revised: January 1, 2003

Add the following to Article 702.03 of the Standard Specifications:

“(h) Vertical Barricades. Vertical Barricades shall meet the requirements of the National Cooperative Highway Research Program (NCHRP) Report 350 and the special provision “Work Zone Traffic Control Devices”. Vertical barricades may be used in lieu of cones, drums or Type I and Type II barricades to channelize traffic. Vertical barricades shall not be used in lane closure tapers.”

80089

WEIGHT CONTROL DEFICIENCY DEDUCTION

Effective: April 1, 2001
Revised: August 1, 2002

The Contractor shall provide accurate weights of materials delivered to the contract for incorporation into the work (whether temporary or permanent) and for which the basis of payment is by weight. These weights shall be documented on delivery tickets which shall identify the source of the material, type of material, the date and time the material was loaded, the contract number, the net weight, the tare weight when applicable and the identification of the transporting vehicle. For aggregates, the Contractor shall have the driver of the vehicle furnish or establish an acceptable alternative to provide the contract number and a copy of the material order to the source for each load. The source is defined as that facility that produces the final material product that is to be incorporated into the contract pay items.

The Department will conduct random, independent vehicle weight checks for material sources according to the procedures outlined in the Documentation Section Policy Statement of the Department's Construction Manual and hereby incorporated by reference. The results of the independent weight checks shall be applicable to all contracts containing this Special Provision. Should the vehicle weight check for a source result in the net weight of material on the vehicle exceeding the net weight of material shown on the delivery ticket by 0.50% (0.70% for aggregates) or more, the Engineer will document the independent vehicle weight check and immediately furnish a copy of the results to the Contractor. No adjustment in pay quantity will be made. Should the vehicle weight check for a source result in the net weight of material shown on the delivery ticket exceeding the net weight of material on the vehicle by 0.50% (0.70% for aggregates) or more, the Engineer will document the independent vehicle weight check and immediately furnish a copy of the results to the Contractor. The Engineer will adjust the net weight shown on the delivery ticket to the checked delivered net weight as determined by the independent vehicle weight check.

The Engineer will also adjust the method of measurement for all contracts for subsequent deliveries of all materials from the source based on the independent weight check. The net weight of all materials delivered to all contracts containing this Special Provision from this source, for which the basis of payment is by weight, will be adjusted by applying a correction factor "A" as determined by the following formula:

$$A = 1.0 - \left(\frac{B - C}{B} \right); \text{ Where } A \leq 1.0; \left(\frac{B - C}{C} \right) > 0.50\% \text{ (0.70\% for aggregates)}$$

Where A = Adjustment factor
 B = Net weight shown on delivery ticket
 C = Net weight determined from independent weight check

The adjustment factor will be applied as follows:

$$\text{Adjusted Net Weight} = A \times \text{Delivery Ticket Net Weight}$$

The adjustment factor will be imposed until the cause of the deficient weight is identified and corrected by the Contractor to the satisfaction of the Engineer. If the cause of the deficient weight is not identified and corrected within seven (7) calendar days, the source shall cease delivery of all materials to all contracts containing this Special Provision for which the basis of payment is by weight.

Should the Contractor elect to challenge the results of the independent weight check, the Engineer will continue to document the weight of material for which the adjustment factor would be applied. However, provided the Contractor furnishes the Engineer with written documentation that the source scale has been calibrated within seven (7) calendar days after the date of the independent weight check, adjustments in the weight of material paid for will not be applied unless the scale calibration demonstrates that the source scale was not within the specified Department of Agriculture tolerance.

At the Contractor's option, the vehicle may be weighed on a second independent Department of Agriculture certified scale to verify the accuracy of the scale used for the independent weight check.

80048

WORK ZONE TRAFFIC CONTROL DEVICES (BDE)

Effective: January 1, 2003

Revised: April 1, 2003

Add the following to Article 702.01 of the Standard Specifications:

“All devices and combinations of devices shall meet the requirements of the National Cooperative Highway Research Program (NCHRP) Report 350 for their respective categories. The categories are as follows:

Category 1 includes small, lightweight, channelizing and delineating devices that have been in common use for many years and are known to be crashworthy by crash testing of similar devices or years of demonstrable safe performance. These include cones, tubular markers, flexible delineators and plastic drums with no attachments. Category 1 devices shall be crash tested and accepted or may be self-certified by the manufacturer.

Category 2 includes devices that are not expected to produce significant vehicular velocity change but may otherwise be hazardous. These include drums and vertical panels with lights, barricades and portable sign supports. Category 2 devices shall be crash tested and accepted for Test Level 3.

Category 3 includes devices that are expected to cause significant velocity changes or other potentially harmful reactions to impacting vehicles. These include crash cushions, truck mounted attenuators and other devices not meeting the definitions of Category 1 or 2. Category 3 devices shall be crash tested and accepted for Test Level 3.

Category 4 includes portable or trailer-mounted devices such as arrow boards, changeable message signs, temporary traffic signals and area lighting supports. Currently, there is no implementation date set for this category and it is exempt from the NCHRP 350 compliance requirement.

The Contractor shall provide a manufacturer's self-certification letter for each Category 1 device and an FHWA acceptance letter for each Category 2 and Category 3 device used on the contract. The letters shall state the device meets the NCHRP 350 requirements for its respective category and test level, and shall include a detail drawing of the device.”

Delete the third, fourth and fifth paragraphs of Article 702.03(b) of the Standard Specifications.

Delete the third sentence of the first paragraph of Article 702.03(c) of the Standard Specifications.

Delete the fourth paragraph of Article 702.05(a) of the Standard Specifications.

Revise the sixth paragraph of Article 702.05(a) of the Standard Specifications to read:

“When the work operations exceed four days, all signs shall be post mounted unless the signs are located on the pavement or define a moving or intermittent operation. When approved by the Engineer, a temporary sign stand may be used to support a sign at 1.2 m (5 ft) minimum where posts are impractical. Longitudinal dimensions shown on the plans for the placement of signs may be increased up to 30 m (100 ft) to avoid obstacles, hazards or to improve sight distance, when approved by the Engineer. “ROAD CONSTRUCTION AHEAD” signs will also be required on side roads located within the limits of the mainline “ROAD CONSTRUCTION AHEAD” signs.”

Delete all references to “Type 1A barricades” and “wing barricades” throughout Section 702 of the Standard Specifications.

80097

WORKING DAYS (BDE)

Effective: January 1, 2002

The Contractor shall complete the work within 170 working days.

80071

STORM WATER POLLUTION PREVENTION PLAN

NATIONWIDE 404 PERMIT

REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

	Page
I. General	1
II. Nondiscrimination	1
III. Nonsegregated Facilities	3
IV. Payment of Predetermined Minimum Wage.....	3
V. Statements and Payrolls	6
VI. Record of Materials, Supplies, and Labor.....	7
VIII. Safety: Accident Prevention	7
IX. False Statements Concerning Highway Projects.....	7
X. Implementation of Clean Air Act and Federal Water Pollution Control Act	8
XI. Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion	8
XII. Certification Regarding Use of Contract Funds for Lobbying	9

ATTACHMENTS

- A. Employment Preference for Appalachian Contracts
(included in Appalachian contracts only)

I. GENERAL

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.

3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.

4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

Section I, paragraph 2;
Section IV, paragraphs 1, 2, 3, 4 and 7;
Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6 and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.

6. Selection of Labor: During the performance of this contract, the contractor shall not:

a. Discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or

b. Employ convict labor for any purpose within the limits of

the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

II. NONDISCRIMINATION

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60 (and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 *et seq.*) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.

b. The contractor will accept as his operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job-training."

2. EEO Officer: The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.

3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above

agreement will be met, the following actions will be taken as a minimum:

- a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
- b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
- c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.
- d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
- e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

- a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employees referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish which such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.
- b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)
- c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.

5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

- a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
- b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any

evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.

b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to

the SHA and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or quailifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the SHA.

8. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.

b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.

c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

9. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and

(4) The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.

b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.

b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).

c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of \$10,000 or more and that it will retain such certifications in its files.

IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. General:

a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the

contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.

c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

2. Classification:

a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.

b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:

(1) the work to be performed by the additional classification requested is not performed by a classification in the wage determination;

(2) the additional classification is utilized in the area by the construction industry;

(3) the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and

(4) with respect to helpers, when such a classification prevails in the area in which the work is performed.

c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or

disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the question, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

3. Payment of Fringe Benefits:

a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.

b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any cost reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

4. Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:

a. Apprentices:

(1) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.

(2) The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not

be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

(3) Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

(4) In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

(1) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.

(2) The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(3) Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the

Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which cases such trainees shall receive the same fringe benefits as apprentices.

(4) In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV. 2. Any worker listed on a payroll at a helper wage rate, who is not a helper under an approved definition, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.

5. Apprentices and Trainees (Programs of the U.S. DOT):

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

6. Withholding:

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainee's and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

7. Overtime Requirements:

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

8. Violation:

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of \$10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

9. Withholding for Unpaid Wages and Liquidated Damages:

The SHA shall, upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

1. Compliance with Copeland Regulations (29 CFR 3):

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

2. Payrolls and Payroll Records:

a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.

b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan

or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.

c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period).

The payroll submitted shall set out accurately and completely all of the information required to be maintained under paragraph 2b of this Section V.

This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029-005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.

d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;

(2) that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;

(3) that each laborer or mechanic has been paid not less than the applicable wage rate and fringe benefits or cash equivalent for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.

f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S. C. 1001 and 31 U.S.C. 231.

g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for

inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

1. On all federal-aid contracts on the national highway system, except those which provide solely for the installation of protective devices at railroad grade crossings, those which are constructed on a force account or direct labor basis, highway beautification contracts, and contracts for which the total final construction cost for roadway and bridge is less than \$1,000,000 (23 CFR 635) the contractor shall:

a. Become familiar with the list of specific materials and supplies contained in Form FHWA-47, "Statement of Materials and Labor Used by Contractor of Highway Construction Involving Federal Funds," prior to the commencement of work under this contract.

b. Maintain a record of the total cost of all materials and supplies purchased for and incorporated in the work, and also of the quantities of those specific materials and supplies listed on Form FHWA-47, and in the units shown on Form FHWA-47.

c. Furnish, upon the completion of the contract, to the SHA resident engineer on Form FHWA-47 together with the data required in paragraph 1b relative to materials and supplies, a final labor summary of all contract work indicating the total hours worked and the total amount earned.

2. At the prime contractor's option, either a single report covering all contract work or separate reports for the contractor and for each subcontract shall be submitted.

VII. SUBLETTING OR ASSIGNING THE CONTRACT

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).

a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a

whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract.

Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

VIII. SAFETY: ACCIDENT PREVENTION

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification,

distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined not more than \$10,000 or imprisoned not more than 5 years or both."

X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$100,000 or more).

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 et seq., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.

2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.

3. That the firm shall promptly notify the SHA of the receipt of

any communication from the Director, Office of Federal Activities, EPA indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.

4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

1. Instructions for Certification - Primary Covered Transactions:

(Applicable to all Federal-aid contracts - 49 CFR 29)

a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.

f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled

"Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded from Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
- d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

2. Instructions for Certification - Lower Tier Covered Transactions:

(Applicable to all subcontracts, purchase orders and other lower tier transactions of \$25,000 or more - 49 CFR 29)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealing.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily

excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility And Voluntary Exclusion-Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 - 49 CFR 20)

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**MINIMUM WAGES FOR FEDERAL AND FEDERALLY
ASSISTED CONSTRUCTION CONTRACTS**

This project is funded, in part, with Federal-aid funds and, as such, is subject to the provisions of the Davis-Bacon Act of March 3, 1931, as amended (46 Sta. 1494, as amended, 40 U.S.C. 276a) and of other Federal statutes referred to in a 29 CFR Part 1, Appendix A, as well as such additional statutes as may from time to time be enacted containing provisions for the payment of wages determined to be prevailing by the Secretary of Labor in accordance with the Davis-Bacon Act and pursuant to the provisions of 29 CFR Part 1. The prevailing rates and fringe benefits shown in the General Wage Determination Decisions issued by the U.S. Department of Labor shall, in accordance with the provisions of the foregoing statutes, constitute the minimum wages payable on Federal and federally assisted construction projects to laborers and mechanics of the specified classes engaged on contract work of the character and in the localities described therein.

General Wage Determination Decisions, modifications and supersedes decisions thereto are to be used in accordance with the provisions of 29 CFR Parts 1 and 5. Accordingly, the applicable decision, together with any modifications issued, must be made a part of every contract for performance of the described work within the geographic area indicated as required by an applicable DBRA Federal prevailing wage law and 29 CFR Part 5. The wage rates and fringe benefits contained in the General Wage Determination Decision shall be the minimum paid by contractors and subcontractors to laborers and mechanics.

NOTICE

The most current **General Wage Determination Decisions** (wage rates) are available on the IDOT web site. They are located on the Letting and Bidding page at <http://www.dot.state.il.us/desenv/delett.html>.

In addition, ten (10) days prior to the letting, the applicable Federal wage rates will be e-mailed to subscribers. It is recommended that all contractors subscribe to the Federal Wage Rates List or the Contractor's Packet through IDOT's subscription service.

PLEASE NOTE: if you have already subscribed to the Contractor's Packet you will automatically receive the Federal Wage Rates.

The instructions for subscribing are at <http://www.dot.state.il.us/desenv/subsc.html>.

If you have any questions concerning the wage rates, please contact IDOT's Chief Contract Official at 217-782-7806.